

Thinking and Acting Like an Architect

Bill Seubert – IBM z Architect Leader

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Acknowledgements & sources

- "Becoming an Architect" Lee H. Waldrep, Ph.D
- "Architectural Thought The Design Process and the Expectant Eye" – Michael Brawne
- Presentation: "Architectural Thinking" David Jackson, Peter Bouchard





Topics

- Define "architect"
- What does an architect do?
- Architectural and "top-down" thinking
- The builder analogy
- Architecture and design
- **Design methods and Frameworks**
- **IBM's Team Solution Design**



The definition of an architect – from a building architect POV



From Ayn Rand's "The Fountainhead:

"He looked at the granite. To be cut, he thought, and made into walls. He looked at a tree. To be split and made into rafters. He looked at a streak of rust on the stone and thought of iron ore under the ground. To be melted and to emerge as girders against the sky.

These rocks, he thought, are waiting for me; waiting for the drill, the dynamite and my voice; waiting to be split, ripped, pounded, reborn; waiting for the shape my hands will give them."

Idea borrowed from "Becoming an Architect", Lee W. Waldrep, Ph.D

- There are a few things to be taken from that Rand quote
 - Note the repeated use of "thought"
 - Was the character thinking about what the walls, rafters, girders, etc. would look like and how they'd fit together, or was he thinking about how he would do the construction himself? Is he a "specialist" or an "architect"? What kind of thinking was he doing?
- What IS an architect?
 - 1. a person who engages in the profession of architecture.
 - 2. a person professionally engaged in the **design** of certain large constructions other than buildings and the like: *landscape architect; naval architect.*
 - 3. the deviser, maker, or creator of anything: *the architects of the Constitution of the United States*.
 - Word origin 1550s, from Middle French architecte, from Latin architectus, from Greek arkhitekton
 "master builder, director of works," from arkhi- "chief" (see archon) + tekton "builder, carpenter" (see texture). An Old English word for it was heahcræftiga "high-crafter."

in Seattle



A "system architect"

- (a.k.a. "IT Architect")
 - One who is engaged in the practice of creating systems architectures, primarily in a client centric role, through use of a methodical process employing a combination of artistic and engineering approaches.
 - "...an individual engaged in the process of architecting, regardless of domain, job title, or employer; by definition and practice both. From time to time an architect may perform engineering and an engineer may perform architecting – whatever it takes to get the job done."
 - Maier and Rechtin



What does an architect do?



Architects don't just draw pictures

- "In designing buildings, architects communicate with and assist those who have needs clients, users and the public as a whole – and those who will make the spaces that satisfy those needs – builders and contractors, plumbers and painters, carpenters, and air conditioning mechanics" (Waldrep)
 - A large part of the role is about <u>communication</u>
 - Requirements gathering is huge again, communication!
- "Architecture is the creation and communication of ideas. It is the creative and technical process for the design, management and construction of the built environment. It represents a collaboration and coordination with a broad range of experts to get a building built" – Robert D. Fox, AIA, IIDA, Principal, Fox Architects
- At IBM, our I/T architects ("client architects") have several defined roles:
 - Solution Designer
 - Methodologist
 - Technology Advisor
 - Project Leader
 - Facilitator
 - Business Advisor
 - Note the variety of responsibilities– they are NOT all technical in nature!
- IMPORTANT: These roles all reflect the need for architectural thinking



"I'm an architect"



• (video)



What would happen if you put a carpenter, a plumber, an electrician and an HVAC installer in a room and told them to build a house?



- No one to cast the vision
- No one to ensure the project fulfills the business strategy
- No one to ensure the project is in line with overall I/T strategy
- No one who is steering the organization in a consistent direction by establishing standards by which to build stuff
- Sometimes there's a project manager
- Usually someone is paying attention to what it costs
- Sometimes the project gets done
- Often it's over budget

Sometimes it does what it's supposed to.

- How do we avoid such issues?
 - At least one thing you can do is to appoint an architect

Well, at least it would help. IMO, a lot.



Architectural thinking and "top-down" vs "bottom-up"



- A "purpose orientation" drives architectural thinking
 - Systems architecting is driven by a client's purpose
 - President Kennedy didn't say build me an Apollo 3 stage rocket and a Lunar excursion module.
 - Useful purpose, affordable cost, acceptable period of time
 - Useful purpose is predominant
 - The architect works with the client and the builder on problems and solutions.
- Part of architectural thinking comes from "Insights and Heuristics"
 - A chess master does not think many moves ahead, they see a pattern on the board and have the insight and experience to know the outcome.
 - Heuristics are codified succinct expressions from lessons learned through your own or other's experience. Heuristics are a key tool of the systems architect.
 - Success comes from wisdom.
 - Wisdom comes from experience.
 - Experience comes from mistakes.
 - Good architects have a lot of experience and have probably made a lot of mistakes...
 - As a result of the wisdom and mistakes, they have identified patterns that WORK



Can specialists architect and design good systems?



• Maybe.



- "Builder-architected" systems
 - Systems architecting occurs in the context of an acquisition process
 - Tends to be a "form-first" architectural approach, with technology-driven systems rather than purpose driven systems.
 - Begins with a builder-conceived architecture in mind rather than with a set of clientaccepted purposes.
 - Uncertainty of end purpose is a major risk.
 - Form-first can often produce a solution looking for a problem
- Builders/specialists tend to think "bottom-up" and base designs on existing assets rather than a top-down consideration of requirements that lead to a solution
- Remember the carpenter/plumber/electrician analogy....



Architectural thinking involves observation of patterns



- Architects are observers of behavior.
- "...a place is given its character by certain patterns of events that keep on happening there...
- Architects create space where these patterns of behavior can happen, flourish, and be generative – or as Alexander puts it, be alive.
- From this activity a language of patterns emerges permitting endless possibilities of creation.
- Our role is to observe the behavior and pattern language in our customers, and to expand their vocabulary.





Taken from "Architectural Thinking" presentation – Jackson/Bouchard



Observing produces knowledge, but observing over time produces wisdom



- Information, knowledge and wisdom*:
 - **Information** is a sequence of symbols that can be interpreted as a message.
 - Knowledge is a unique set of facts and skills acquired by a person through experience or education.
 - Wisdom reflects understanding of "universal truths" or basic laws or patterns; it is knowledge that is based on values, meaning systems, and understanding that clarity is not always possible and that unpredictability and uncertainty are part of life.
- How does this apply to architectural thinking*?
 - Wisdom is the ability to make right use of knowledge. Some researchers have identified wisdom as the combination of two categories of attributes:
 - *Exceptional understanding* using common sense, learning from past experiences, and seeing things within the large context.
 - Judgment and communication being aware of sources of good advice, understanding life, thinking carefully before deciding, seeing and considering all points of view.
- Again, note the importance of experience, thought, and communication.

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* - Taken from: http://staroversky.com/blog/information-knowledge-and-wisdom-what-is-the-difference



Architects produce architectures and solution designs



- An architectural **framework** is often used:
 - a tool for:
 - Designing a broad range of a architectures
 - Assisting the evaluation of different architectures
 - Selecting and building the right architecture for an organization
 - It embodies best practice and acknowledged wisdom
 - It presents a set of services, standards, design concepts, components and configurations
 - It guides the development of specific architectures
- Examples: The Open Group Architecture Framework (TOGAF), Zachman, C4ISR (DoD)
- Architectures drive solution designs
 - The architect will create a higher-level abstraction, standards and guidelines that dictate how various solutions can be designed in their enterprise – the architecture
 - The solution design process follows the architecture



Architecture and Design



- It is said that "Architects produce architectures". That is true, but architects also deliver designs.
 - "All architecture is design but not all design is architecture. Architecture represents the significant design decisions that shape a system, where significant is measured by cost of change" – Grady Booch, IBM Fellow (and father of UML)
- Tom Graves, <u>on the topic</u>:
 - Architecture and design are closely related; the main difference between them is really about which way we face.
 - Architecture faces towards strategy, structure and purpose, towards the abstract.
 - Design faces towards implementation and practice, towards the concrete.
 - Most designers and architects will do both types of work; but most will describe themselves as either a 'designer' or an 'architect' according to which way they most often face.
 - Architecture without design does nothing: it can too easily remain stuck in an 'ivory-tower' world, seeking ever finer and more idealized abstractions.
 - Design without architecture tends toward point-solutions that are optimized solely for a single task and context, often developed only for the current techniques and technologies, and often with high levels of hidden 'technical debt'.



Creating an architecture involves "looking to the future"



- As an architect, one must not only look at solving the current problem but also to creating a solution architecture that will "age gracefully" and will be somewhat "futureproof"
 - "Charles Eames architect, furniture designer, film maker, exhibition designer on being asked 'What is your definition of design?' answered: 'A plan for arranging elements in such a way as to best accomplish a particular purpose' (Neuhart, neuhart & Eames, 1989, p.14) The definition places a good deal of emphasis on the eventual outcome and rather less on the process of arriving at a result. It does imply, however, that design is always concerned with some future event; that it is an attempt to forecast that event by whatever means are appropriate and available at a particular time: a drawing, a model, an electronic simulation. In a real sense it is a prophecy. In architecture, preceding that must invariably come visual thought" – Architectural Thought, Brawne.
 - So, architecture, to some extent, requires a bit of extrapolation into the future.
 - Will an architecture be useful into the future?
 - Are there interfaces that allow for expansion?
 - What will the "eventual outcome" be for the system being designed?
 - Is the architecture built to be "future-proof"
- Can you think of an <u>architecture</u> that matches that description? (Did you catch the hint?)

Complete your session



The heritage of a successful architecture



IBM[®] Mainframe50

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Technology Milestones



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Architectural Models and Modeling



- What are models?
 - The product of the architect is "a vision"; it is intangible.
 - The progression of models during the design life cycle can be visualized as a steady reduction of abstraction.
 - As architectural decisions are made (and recorded) the range of options narrows and the models become more specific.
 - Eventually the models become construction drawings and itemized budgets, and pass into the hands of the builders.
 - Part of the architect's role is to determine which views are most critical to system success, build models for those views, and then integrate as necessary to maintain system integrity.
- The objectives for modeling?
 - Match the desirability of purposes with the practical feasibility of a system to fulfill those purposes.
 - Help the customer clarify abstract objectives through provisional and explanatory models.

Using models to reflect the reduction of abstraction reflects "top-down" architectural thinking that is necessary to be an architect!



Kinds of models?

- Models of form
 - Physically identifiable elements of, and interfaces to what will be constructed.
 - Closely tied to particular construction technologies
 - Scale models prototypes and proofs of concept
 - Block diagrams system interconnect diagrams, system flow diagrams, structure charts, class and object diagrams
- Behavioral (functional) models
 - Describe specific patterns of behavior by the system
 - Threads and scenarios a sequence of system operations, a.k.a. use-cases.
 - Data and event flow allow threads to be collapsed into more compact models
- Performance models
 - Describes or predicts how effectively an aspect of the architecture satisfies some function
 - Usually quantitative and at a system level
 - These are "ilities" or nonfunctional requirements
- Data Models
 - What data does the system retain and what relationships among the data does it develop and maintain ?
 - Entity-Relationship diagrams for relational databases
 - In data-intensive systems, generating intelligent behavior is a matter of finding relationships and imposing a persistent structure on the records.





Modeling, to the extreme





- Gillian: "I never imagined that!"
- Roy: "Next time try sculpture."

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Turn thought into action by using a methodology



- Part of the definition of an architect is someone who uses a methodical approach.
- The use, transformation, and creation of method tools is seen as a sign of maturity in an architect.
- As a craft person becomes more mature in their practice, they grow in their facility to use the tools of their craft in different ways.
- This leads to generativity* in one's profession and extends the current boundaries of practice.
- But, one's use of methods should not be "mechanical"
- Methods provide structure
 - Methods provide structure to our thought processes to give us an idea of "the next thing to do"
 - Once you let go of the fear of not having "the next thing to do" the structures created by your use of method, in your mental "muscle memory" will take over.
 - You will come to understand that the structure of the method which once empowered you feels restrictive. This is the breakthrough point at which your creativity is freed.
 - But, you really have not left method behind, it still informs what you do.





The Architecture Development Method of TOGAF







An iterative process for developing architectures

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Client Value Method: an end-to-end Client Value experience





IBM's Team Solution Design methodology



A key success factor of the Client Value Method was the adoption of a **single pre-sales solution** design method by all brands and service organizations.

Team Solution Design includes standard document types, tasks, assets and guidance that provide IBM's disciplined, standard approach to pre-sales design best practices through delivery, across all brands and service organizations to deliver <u>a positive total Client Experience</u>.

- Increase our ability to design, propose, and build solutions
 - Reduced risk with predictable results and higher quality
- Better proposals, traceable to **Client need**
- Enable effective communications between sales and delivery
- Increase Client success by combining industry leading best practices

Team Solution Design is accepted by The Open Group as a standard design methodology and IBM's architect certification is interlocked with Open Group.



Identify High Level Data Sources

Document Architectural Decisions

Identify and Outline Requirements

Identify Non-Functional Requirements

Conduct Viability Assessment

UNDERSTAND Client's Business and

Describe Current Organization

Understand Business Environment and

Describe Current IT Environment and

Opportunity Qualified

🖃 🕋 Plan

Needs

Objectives

Identify Opportunity

Copportunity Validated

EXPLORE Options and Approach

Describe System Context

Plans

Pre-sale Solution Design

Define Project

- E BEVELOP and Agree to Client Solution
 - Develop Architecture Overview
 - Survey Candidate Assets
 - Define Key Services
 - Develop High Level Component Model
 - Develop High Level Operational Model
 - Identify Delivery Approach
 - Develop Solution Estimates
 - Refine Viability Assessment
 - Evaluate Integrated Solution
 - Propose Solution, Resolve Concerns
 - Solution Agreed To
- Support Implementation and Confirm Value
 - IMPLEMENT Client Solution
 - Transition to Implementation
 - Monitor Pilot and Early Implementation
 - Harvest Assets
 - Project Implemented
 - E Sconfirm Client Value and Experience
 - Evaluate Success
 - Explore New Client Issues
 - Value Confirmed
- Each task has certain roles and input/output work products associated with it



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Phases and steps in Team Solution Design



Example: Document Architectural Decisions

Delivery Processes > Team Solution Design > Pre-sale Solution Design > EXPLORE Options and Approach > Document Architectural Decisions

Task: Document Architectural Decisions

The purpose of this task is to identify and document important architectural decisions where alternatives exist, choices are unclear and impact is likely significant.

Purpose

-2

The purpose of this task is to identify and document important architectural decisions where alternatives exist, choices are unclear and impact is likely significant.

Relationships

Roles	Primary: Technical Solution Architect	Additional:	Assisting:
Inputs	Mandatory: • Architectural Decisions • Standards • Viability Assessment	Optional: • Service Model • Subject Area Model	External: • None
Outputs	Architectural Decisions		

Back to top

Back to top

Main Description

Various architectural decisions will be made regarding the proposed systems. These can be fairly general architectural principles or policies. They can also be fairly specific decisions regarding elements of the architecture. These may include prior decisions which will constrain this project in some way. The resulting work product will be updated during development of the architecture overview.

Ensure the issue or problem is clearly stated, evaluate the options that are available, make the decision and provide the rationale behind the decision, document the decision using the appropriate template.



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Key Team Solution Design Work Products by Phase





Not all of the work-products will be needed on every project. Work-products will be **SHARE** dependent on the solution/service type complete your session evaluations online at www.SHARE.org/Seattle-Eval

Team Solution Design Work Product Dependencies





NOTES: A work product is a tool that can be used to define and describe the items needed as input or created as output of one or more tasks. Colors indicate where the work products first get created. They continue to be updated throughout the Client project.



Work Product: Project Definition



Purpose

- Formalize the understanding of the project
- Serve as a basis for planning and controlling project activities
- Document who, what, where, why, when and how?
- Updated throughout the duration of the project

Description

- The project definition is a text document that addresses the key questions and issues necessary to define and manage a project.
- Examples of content include:
 - Project purpose and business problems to be to addressed
 - Project timeline and approach
 - Primary, high level functional aspects of the system
 - Information on sponsors, participants and responsibilities



Example: Project Definition



Contents

Introduction	4		
Project Objectives	5		
Business Needs and Environment	5		
Scope and Plan Constraints	6		
Solution background			
Requirements	7		
Target solution and Overall Approach	10		
Overview of the Target Solution	. 10		
Components	. 10		
3.2.1 Management	.11		
3.2.2 Compute Farm	. 13		
3.2.3 Data Gateway	. 15		
3.2.4 Data Farm	. 16		
Technical Environment and Key Options	. 17		
Project Scope	18		
Breadth	. 18		
Maior Deliverables	18		
	Introduction Project Objectives Business Needs and Environment Scope and Plan Constraints		







Work Product: System Context

Purpose

- To clarify and confirm the environment in which the system has to operate.
- To document information flows between the solution to be installed and external entities.
- Provide a basis for establishing scope of the target solution and external dependencies.

Description

- The System Context is usually represented in a diagram showing the entire proposed system as a single "black box" with relationships to users and external devices and systems.
- Documents important characteristics of the system context such as users, external interfaces and systems, inputs and outputs, and external devices.
- Identifies boundary definitions and the information and control flows with external systems.



Example: System Context









Work Product: Requirements Matrix



Purpose

- To capture Client requirements and to evaluate the initial functional "fit" of alternatives.
 - This artifact documents important Client functional requirements of the proposed system in a text form.
 - It provides an initial means to compare multiple alternatives against each other and to ensure that the products and technology selected are suited to their purpose.
 - It is used to identify initial functional gaps or special software enhancements needed to fulfill the Client's desired system capabilities.

Description

- The Requirements Matrix is a document detailing the functionality and technical requirements desired by the Client in the solution.
- It is either a simple table or a spreadsheet with multiple tabs, each tab representing a separate business area or other subset of the system requirements.



Example: Requirements Matrix (simple)



Functional Requirement ID	Functional Requirement Name	Functional Requirement	Requirement Supported by Software / Component	Comments
FR001	Backup/Recovery	Facilities and procedures created and defined to support backup and recovery of data at the plant location		
FR002	Archive data	Provide processes to periodically remove and archive on-line data		
FR003	Electronic notification	Ability to receive electronic notification/report of orders on a daily basis w/detail available		
FR004	Track goods	Ability to classify and track goods, materials/supplies by code, by property number, by cost center, by employee number, by location, by date of purchase, by warranty of equipment, by insurance code		Business requirement driven by initiative to reduce inventory.
FR004.1	Ad hoc reporting	Provides robust ad-hoc reporting facility and tools	cmpTool	This IT requirement needed to support FR004.
FR004.2	Automatic scheduling	Periodic reports, distribution of data, and system backups can be automatically scheduled and managed	cmpTool	This IT requirement needed to support FR004.
FR004.3	Inventory levels	Includes ability to set min/max for inventory items	cmpTool	This IT requirement needed to support FR004.





Work Product: Non-Functional Requirements



Purpose

- To describe the quality attributes of the system and the constraints which the design options will be required to satisfy in order to deliver the business goals, objectives or capabilities.
- To provide a quantitative basis for assessing the sizing, cost and viability of the proposed system.
- Establish key considerations for understanding service level agreements for operational management of the solution.

Description

- Non-functional requirements are established in areas such as performance and capacity, availability, usability, security and privacy, maintainability, manageability and flexibility.
- Specific examples might include number of concurrent users, update response time, end-user availability, etc.



Example: Non-Functional Requirements



Question	Response	e Explanation	
Number of Loans Processed 20,000		Revenue model estimates 10,000 loans processed in the year 1. All these loans are processed in the 4 month peak period	
Total number of anticipated users of the system	120	The number of anticipated users of the system is a fraction of the overall population of end users. How an organization would promote the usage of an e-business applications would determine what percentage of the overall population of end users would use the system.	
Anticipated number of Concurrent Users	50	This value was based upon 20000 applications over a 4 month time = 5000 applications per month. 5000 application over 30 days = 166 applications per day. Assuming a scenario in which all applications are created in 3-4 hours window, the anticipated number of concurrent users is approximately 50	
Expected Availability	12 hrs / 6 days per week.	Availability is best described in terms of XX% Uptime instead of 24X7. 24X7 could mean 100% availability and such requirements result in extremely expensive infrastructure.	
Expected Response Time	30 sec	The response time could vary substantially between static and dynamic pages. In the case of dynamic pages involving backend data processing the response time would depend on response time provided by the backend systems.	





Work Product: Architectural Decisions



Purpose:

- Provide a rigorous approach for key architectural decisions which require considerable analysis and may have enduring influence on future designs.
- Ensure there is a single, authoritative source for communicating key decisions made about the architecture.

Description:

- Each significant architectural decision is documented in a table. Examples of information include:
 - What is being decided or the issue that is being addressed.
 - What options were considered
 - What decision was made, including justification and implications

Use established architectural principles to drive the decisions



Example: Architectural Decisions



	Architectural Decision	
Subject Area	Enterprise Connectivity	
Architectural Decision	Means to communicate between with backend systems and databases	
Problem Statement	The e-business application should integrate with several backend systems and databases. Th question is how to best communicate with these systems.	
Assumptions	Interactions with back-end systems will be a mix of synchronous and asynchronous communications.	
Motivation	Because of the degree of application integration needed, a decision must be made on enterprise connectivity to avoid inconsistent and unmanageable connectivity.	
Alternatives	I. Point-to-Point Connectivity This alternative connects the application server connect direct and individually to each necessary enterprise system, using the protocol best suited for that system. For example, connectivity to the mainframe could use Common Programming Interface for Communications (CPI-C). Alternatively, messages could be exchanged individu with each system using a messaging product such as Message Queuing Series (MQSeries Electronic Data Interchange (EDI). A very basic option would be to exchange data via File Transfer Protocol (FTP). Integration Hub This alternative centralizes all enterprise communications at a common intermediary called an Integration Hub. Business-level messages are given to the hub for delivery. The hub routes the message to the correct enterprise system, translating the message if necessary into a format acceptable by the enterprise system and communicatir with the enterprise system in an appropriate protocol. This hub can be used to support synchronous and asynchronous integration. 3. Business Workflow This alternative adds onto the Integration Hub by adding business	
	process functions to its capabilities. A message would trigger a set of further messages to different destinations based on a pre-defined workflow for that business process.	



Architecture of the IBM 360



- The IBM 360 architecture is a marvelous case study
- The IBM Systems Journal ran an article on IBM 360 design in 1964:
 - <u>http://www.eecs.berkeley.edu/~culler/courses/cs252-s05/papers/</u> <u>amdahl.pdf</u> (Gene Amdahl was one of the authors)
 - <u>http://www.cs.tufts.edu/~nr/cs257/archive/alfred-spector/</u>
 <u>spector87ibm.pdf</u> great review of 360 as an architecture case stud
- Some of the design principles :

1. An approach to storage which permits and exploits very large capacities, hierarchies of speeds, readonly storage for microprogram control, flexible storage protection, and simple program relocation.

2. An input/output system offering new degrees of concurrent operation, compatible channel operation, data rates approaching 5,000,000 characters/second, integrated design of hardware and software, a new low-cost, multiple-channel package sharing main-frame hardware, new provisions for device status information, and a standard channel interface between central processing unit and input/output devices.

3. A truly general-purpose machine organization offering new supervisory facilities, powerful logical processing operations, and a wide variety of data formats.

4. Strict upward and downward machine-language compatibility over a line of six models having a performance range factor of 50.

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Work Product: Architecture Overview



Purpose

- Provide a high-level shared vision of the architecture of the solution and its scope.
- Provide the sponsor and stakeholders a conceptual understanding of the intended architecture.
- Support evaluation of alternative architectural options.
- Enable early recognition and validation of the architectural approach.

Description

- This artifact illustrates the basic ideas of the proposed architecture, serving as means of confirming architectural understanding between IBM and the Client.
- Architecture Overview Diagrams may be created for different audiences and at different levels of detail.
- This description of the architecture is intended to be brief and understandable rather than comprehensive or accurate in all details (like Component or Operational Models).



Example: Architecture Overview







How does this apply to IBMz?



- Obviously the aforementioned 360 architecture and its development over the years
- Enterprise architectures that include the mainframe
- Solution designs that encompass portions of your z/OS or z Linux implementations
 - Mobile
 - Analytics
 - Services/SOA
- Be ready to provide IBM z "participation" in enterprise system designs
- Know how to talk to your enterprise architects



Let's summarize...



- Architects should think like architects top-down
- Architects should be methodological
 - But the architectural method-of-choice need not be a mechanical thing
- Base solution designs on an architecture
- A good guideline:
 - Existing environment business and I/T
 - Requirements business and I/T, functional and non
 - Consider the alternatives
 - Assess the risk
 - Use models to represent various facets of the design
- The architect must be involved through the lifecycle of the solution







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