

# z Processor Consumption Analysis, or What Is Consuming All The CPU? 14744

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z/OS Performance  
Education, Software, and  
Managed Service Providers



Creators of Pivotor®

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## Performance Workshops Available

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During these workshops you will be analyzing your own data!

- ❑ [WLM Performance and Re-evaluating of Goals](#)
  - ❑ Instructor: Peter Enrico
  - ❑ June 23 – 27, 2014 - Detroit, Michigan, USA
  - ❑ September 15 – 19, 2014 - Kansas City, Missouri, USA
- ❑ [Parallel Sysplex and z/OS Performance Tuning \(Web / Internet Based!\)](#)
  - ❑ Instructor: Peter Enrico
  - ❑ July 29 – 31, 2014 (Web)
  - ❑ August 19 – 21, 2014 (Web)
- ❑ [Essential z/OS Performance Tuning Workshop](#)
  - ❑ Instructors: Peter Enrico and Tom Beretvas
- ❑ [z/OS Capacity Planning and Performance Analysis](#)
  - Instructor: Ray Wicks



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### Questions?

Send email to Peter at [Peter.Enrico@EPStrategies.com](mailto:Peter.Enrico@EPStrategies.com), or visit our website at <http://www.epstrategies.com> or <http://www.pivotor.com>.

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## Abstract and Reports Offer

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### □ Abstract

- The first step to any processor analysis is to understand your processor configuration and settings. The second step is to understand what workloads, address spaces, and transactions are consuming the fixed processor resource. It is only after understanding what and how the processor is being consumed can you conduct any sort of processor tuning or optimization exercise.
- During this presentation Peter Enrico will show you how to conduct a processor resource consumption analysis. You will be provided with a top down approach to better understand processor measurements available to help you gain a drilldown insight into how the CPU resource is being consumed, and by what LPARs, Workloads, and transactions. Shown is what is known as a drill down approach for a processor performance analysis.

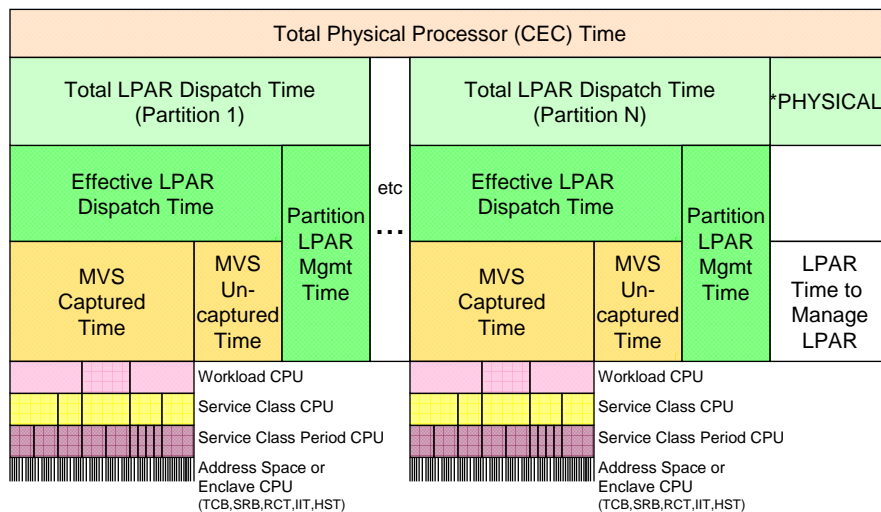


## Presentation Overview

- Basic Processor Consumption Analysis
  - Decomposing CPU Consumption
    - By importance level
    - Displaced workloads
    - By Service Class and Report Class
  - Looking at CPU Dispatching Priorities
  - Looking at Latent Demand
  - New SMF 30 instruction counts (z/OS R1V2)
  - Workload Activity Analysis
    - CPU time during promotion
    - Multiple period CPU analysis
  - SMF 30 Level Analysis

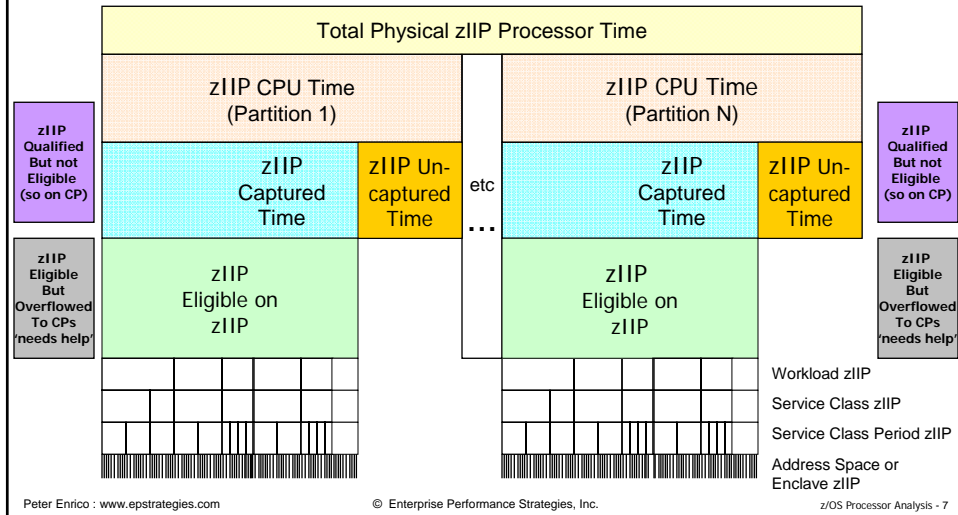
## Breakdown of General Purpose Processor

- We always needed to understand the break down of CP CPU consumption



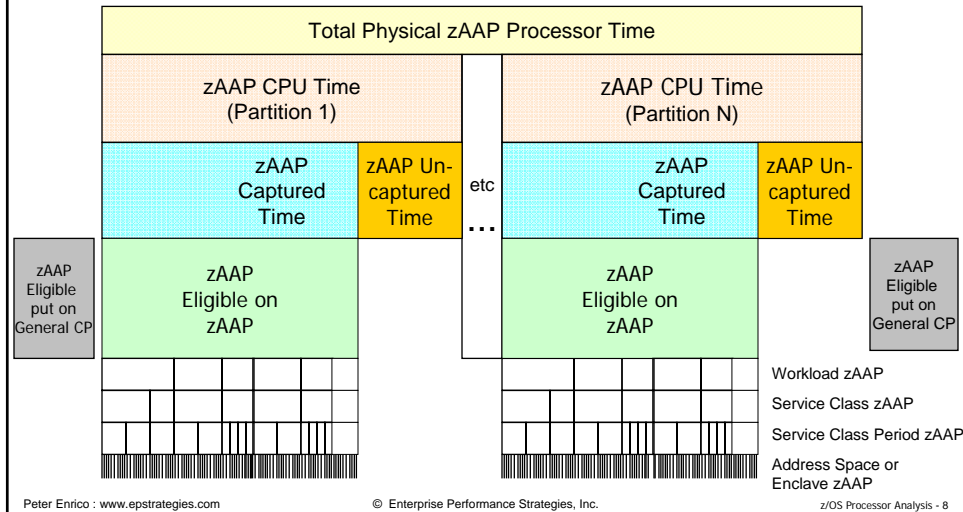
## Breakdown of zIIP Engine Time

- We need to understand how PR/SM allocates the zIIP processor resource
  - In all measurements zIIPs



## Breakdown of zAAP Engine Time

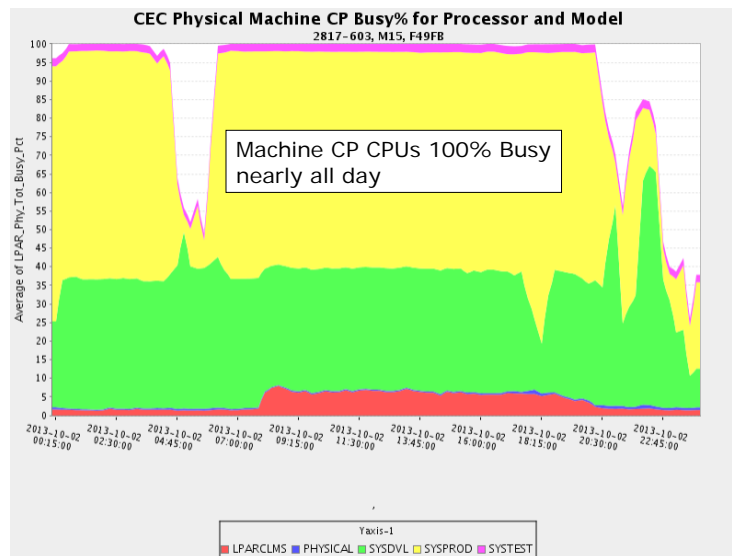
- We now need to understand where the zAAP CPU time is consumed



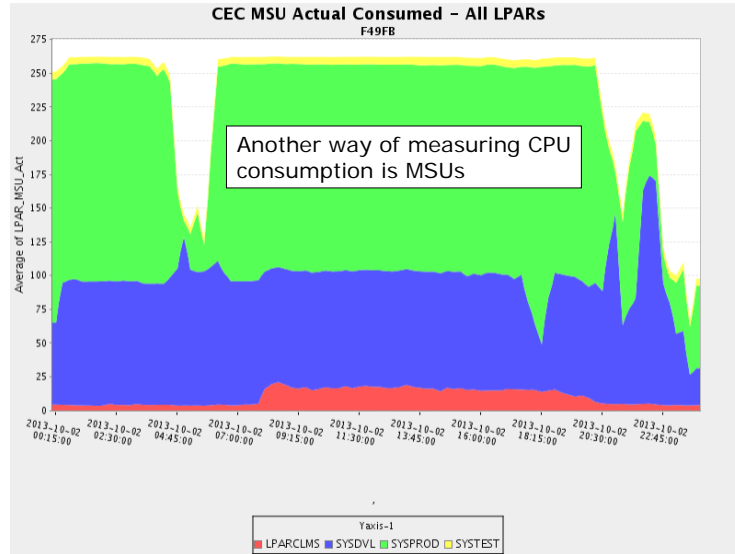
## Decomposing CPU Consumption - WLM Workload Level Analysis

- CPU Consumption at the importance level
- CPU Consumption at the WLM Service Class and Service Class Period Level
- Commentary about Report Classes
- Other CPU consumption measurements
  - CPU consumed at promotion
  - Did lower importance work not consume CPU due to lack of demand or due to lack of CPU?

## Machine Busy – CP Percent Busy



## Could measure CPU Consumption in MSUs

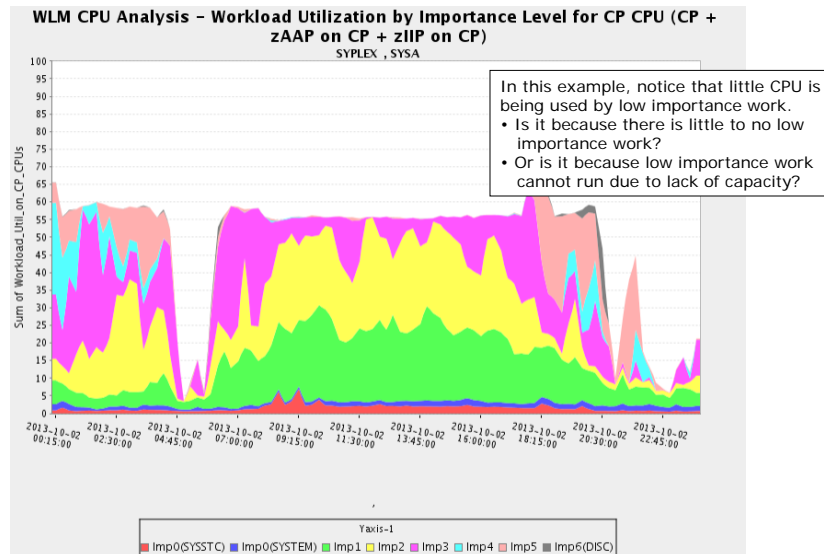


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## Workload Utilization by Importance Level

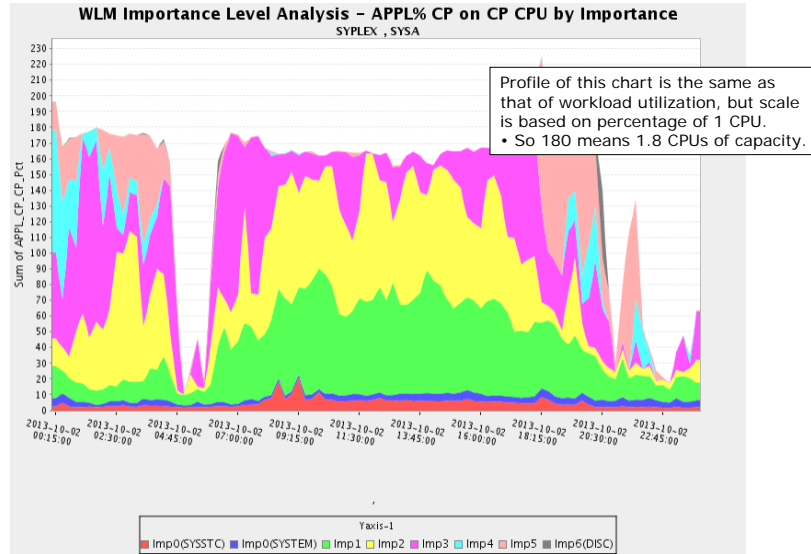


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## APPL% by Importance Level

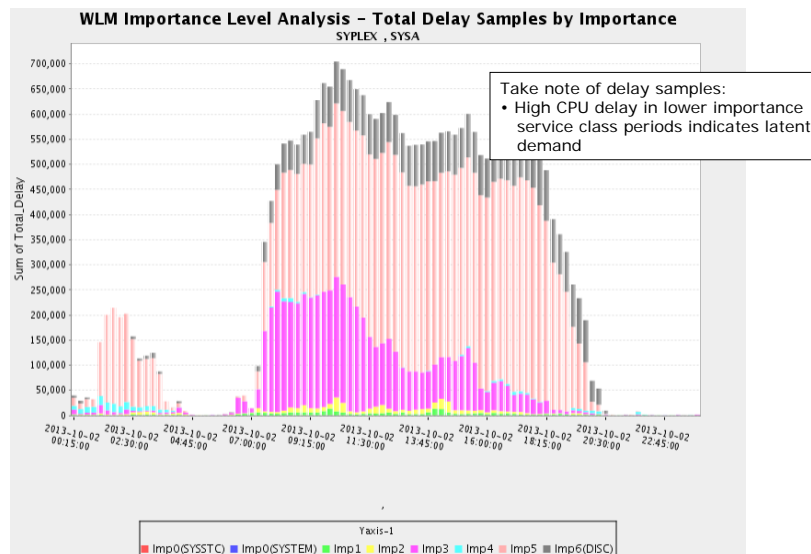


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## Delay Samples by Importance Level

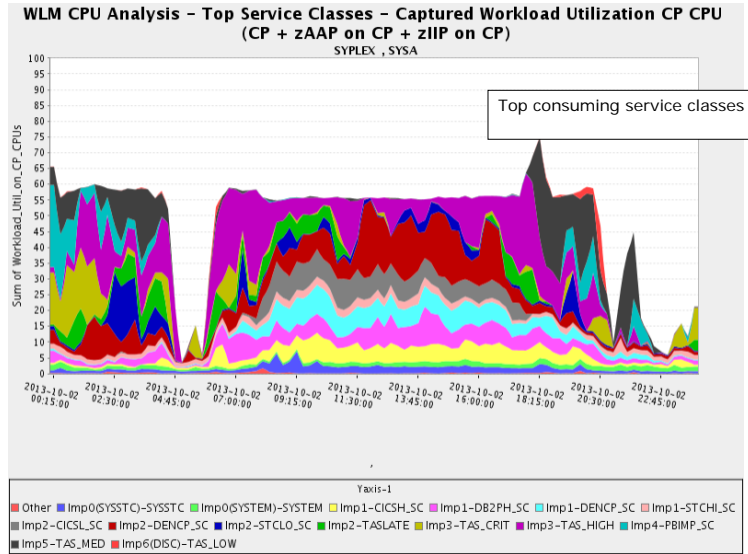


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## Workload Utilization by Service Class Period

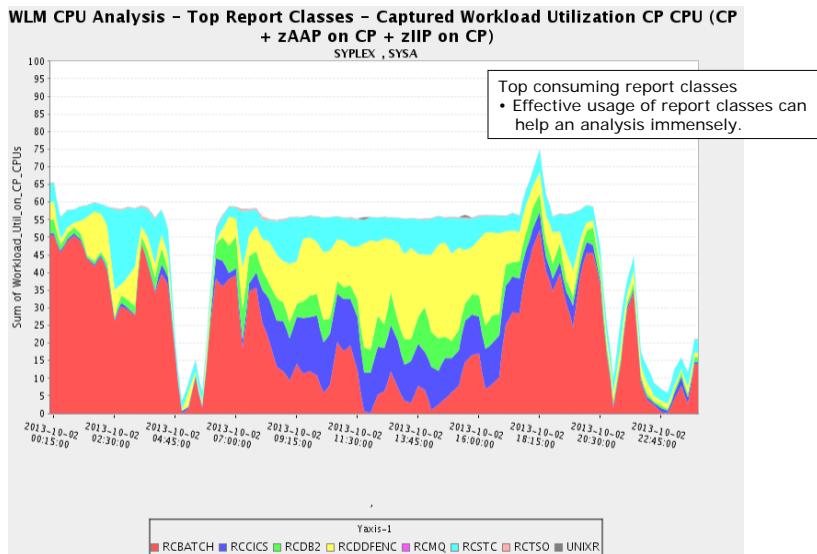


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## Workload Utilization for Top Report Classes



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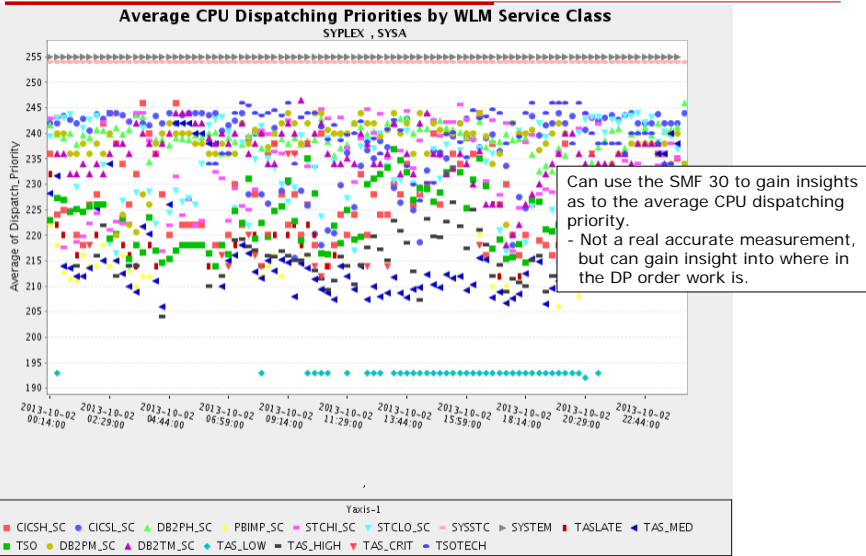
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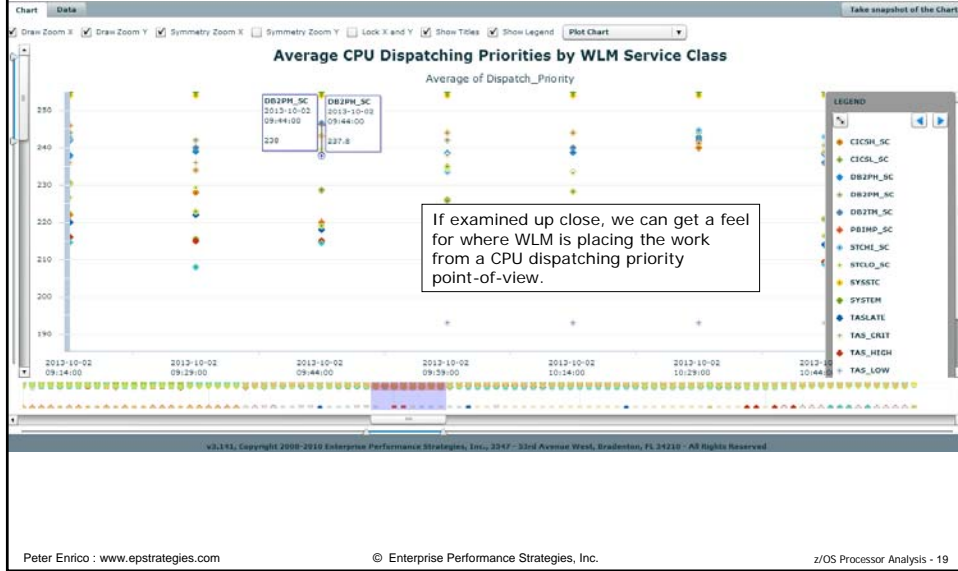


## Looking at CPU Dispatching Priorities (an approximation)

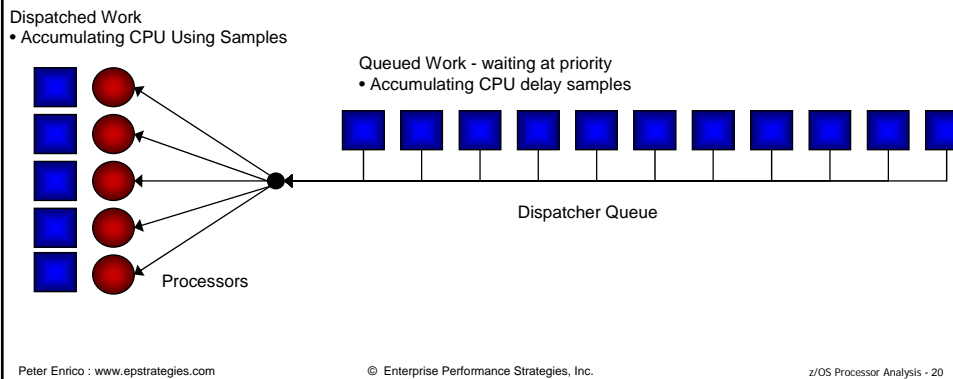
## Average CPU Dispatching Priorities for Address Spaces



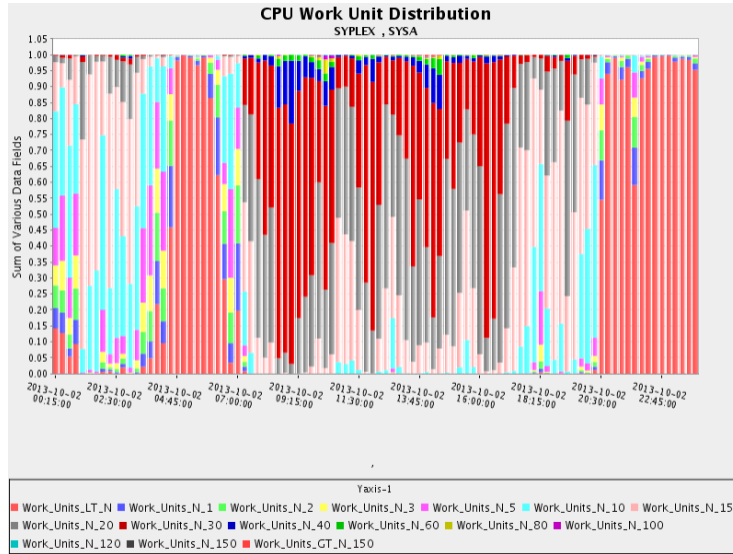
## Average CPU Dispatching Priorities for Address Spaces



## Insights into Latent Demand



## Work Unit Distribution Showing Latent Demand

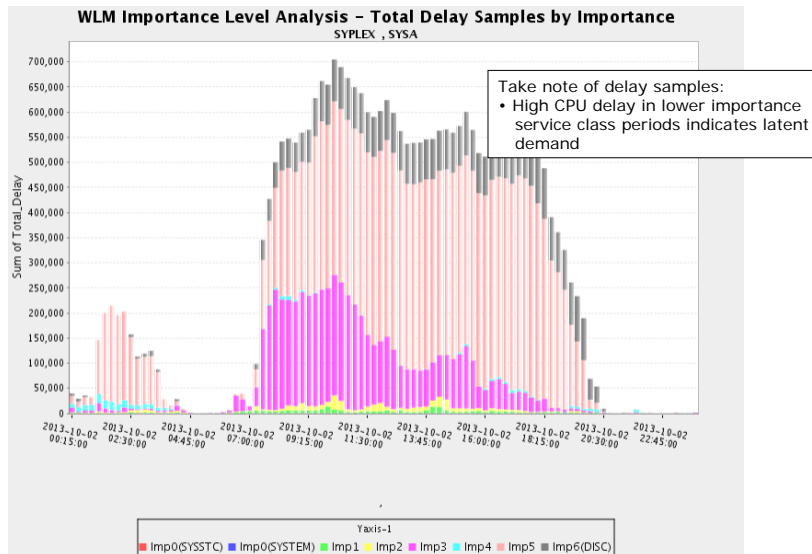


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## Delay Samples by Importance Level

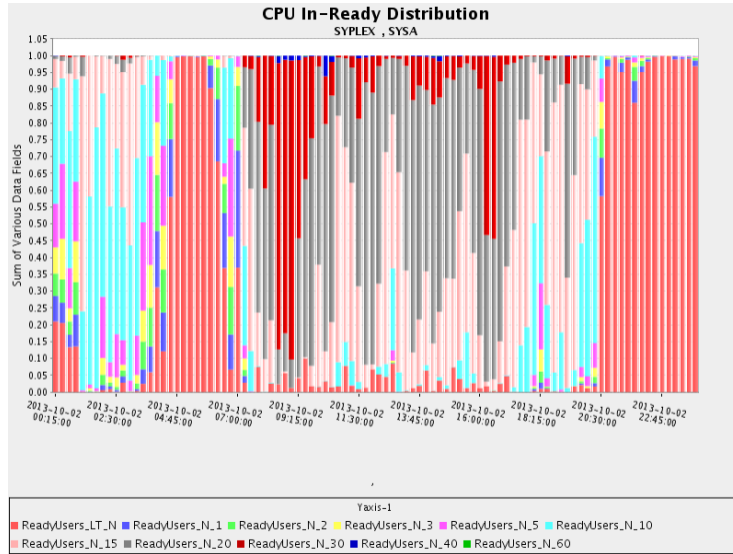


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## Older Style In-Ready Distribution – Less Accurate Latent Demand

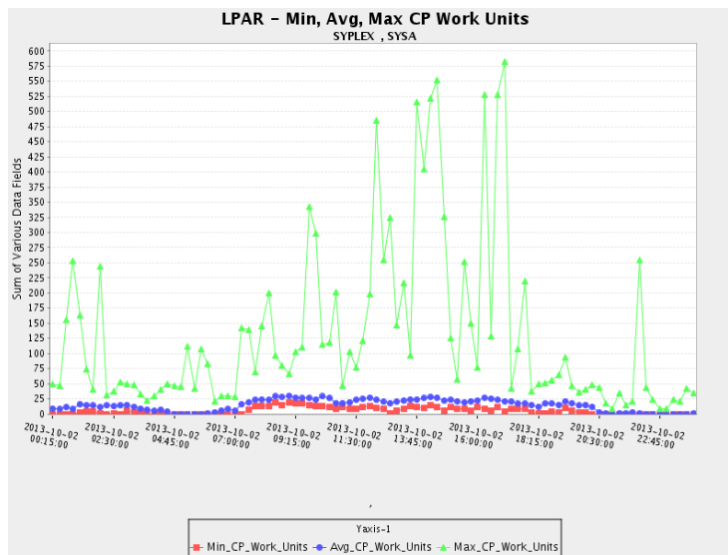


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## Min / Max / Avg Work Unit Queuing



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## Big z/OS V2R1 Update

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Instruction Counts added to SMF30 Record

## Path Length versus Memory Hierarchy

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- Chargeback usually based on CPU time, but CPU time can be influenced by memory hierarchy
  - Path length of the code executed by customer applications and transactions
    - When move from one processor to another this generally does not change much for a specific customer
  - Memory Hierarchy
    - Cycles consumed include cycles to resolve processor cache misses
    - Heavily influenced by key factors result potentially wide variations in realized capacity
- Currently for chargeback the SMF 30 measurements include CPU time values which can be heavily influenced by the memory hierarchy
  - CPU Time for chargeback
- New corresponding path length measurements will be added to the SMF 30
  - Instruction for chargeback



## General Concept of SMF30 Instruction Count Fields

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- As a reminder, the two primary ways processor cycles are spent include
  - Running the workload
  - Resolving the cache misses
  
- Thus, CPU chargeback could vary based on cycles consumed to resolve the CPU misses through the memory hierarchy.
  
- Is it always fair to a customer to charge based on a variable factor outside the customer's control?
  
- The new instruction count fields will provide insights into what the customer is actually doing, and variability will be minimized since path length generally remains constant regardless of configuration and usage of the memory hierarchy.

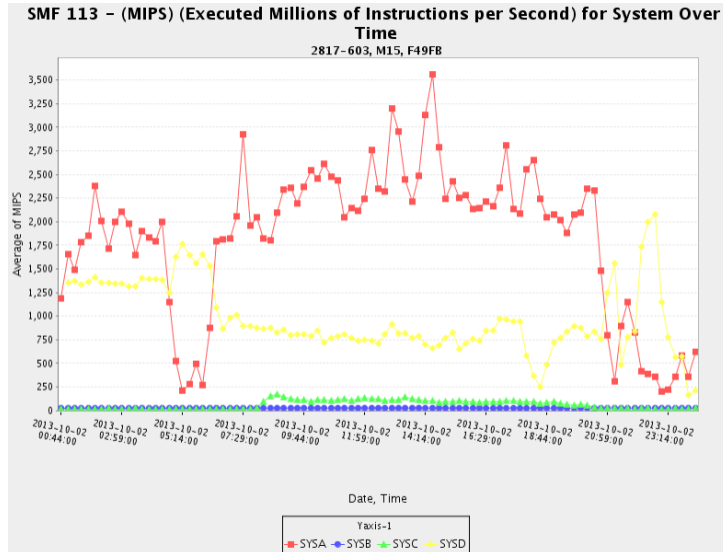
## Models for Understanding Usage

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- CPU Time Based
  - Provider Cost Model
  - Data center has only so many CPU seconds to sell
  - Service based on the number of CPU seconds used is basing the price on provider costs
  
- Instruction / Path Length Based
  - Buyer Value Model
  - Transaction has value to the user
  - Number of instructions executed by a program is a measure how value the user is getting



## Executed MIPS as per the SMF 113 Record



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## New z/OS 2.1 SMF 30 fields

- Need SMF113 HIS data collector to run to get these new fields
- New SMF30 Instruction Count Fields
  - SMF30\_Inst\_CP\_Task
  - SMF30\_Inst\_CP\_NonPreemptSRB
  - SMF30\_Inst\_CP\_PreemptSRB
  - SMF30\_Inst\_Offload
  - SMF30\_Inst\_OffloadOnCP
  - SMF30\_Inst\_CP\_Enclave
  - SMF30\_Inst\_Offload\_Enclave
  - SMF30\_Inst\_OffloadOnCP\_Enclave
  - SMF30\_Inst\_CP\_DepEnc
  - SMF30\_Inst\_Offload\_DepEnc
  - SMF30\_Inst\_OffloadOnCP\_DepEnc
  - SMF30\_InstCaptDisruption
  - SMF30\_InstCaptLimited
  - Missing some Enclave and DepEnc instruction counts

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## Relating SMF30 CPU Time fields to new Instruction Count fields

- **SMF30CPT –**
  - All standard CPU step time. Includes enclave time, preemptable class SRB time, client SRB time. Also includes time consumed by zAAP or zIIP eligible work running on a standard processor.
  - Comparable to sum of the following instruction counts fields:
    - SMF30\_Inst\_CP\_Task +
    - SMF30\_Inst\_CP\_PreemptSRB +
    - SMF30\_Inst\_OffloadOnCP +
    - SMF30\_Inst\_CP\_Enclave +
    - SMF30\_Inst\_OffloadOnCP\_Enclave +
    - SMF30\_Inst\_CP\_DepEnc +
    - SMF30\_Inst\_OffloadOnCP\_DepEnc
- **SMF30CPS –**
  - Step CPU time under the service request block (SRB) non preemptable.
  - Comparable to the following instruction count fields:
    - SMF30\_Inst\_CP\_NonPreemptSRB

## Mapping of Legacy SMF 30 CPU Time Fields to SMF 30 Instruction Count Fields

Legacy SMF 30 CPU Time Fields	New SMF30 Instr Count Fields
SMF30CPT	SMF30_Inst_CP_Task + SMF30_Inst_CP_PreemptSRB + SMF30_Inst_OffloadOnCP + SMF30_Inst_CP_Enclave + SMF30_Inst_OffloadOnCP_Enclave + SMF30_Inst_CP_DepEnc + SMF30_Inst_OffloadOnCP_DepEnc
SMF30CPS	SMF30_Inst_CP_NonPreemptSRB
SMF30ASR	SMF30_Inst_CP_PreemptSRB
SMF30ENC	SMF30_Inst_CP_Enclave + SMF30_Inst_OffloadOnCP_Enclave
SMF30DET	SMF30_Inst_Offload_DepEnc + SMF30_Inst_OffloadOnCP_DepEnc
SMF30_TIME_ON_IFA + SMF30_TIME_ON_SUP	SMF30_Inst_Offload
	SMF30_Inst_Offload_Enclave SMF30_InstCaptDisruption SMF30_InstCaptLimited Missing some Enclave and DepEnc instruction counts



## Relating SMF30 CPU Time fields to new Instruction Count fields

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- **SMF30ASR –**
  - Additional CPU time accumulated by the preemptable and client SRBs for this job.
  - This value included in SMF30CPT
  
  - Comparable to the following instruction count fields:
    - SMF30\_Inst\_CP\_PreemptSRB
  
- **SMF30ENC –**
  - CPU time used by the independent enclave, but only when in the WLM enclave.
  - Note that independent enclave time on an IFA is not included.
  - This value included in SMF30CPT
  
  - Comparable to the following instruction count fields:
    - SMF30\_Inst\_CP\_Enclave
    - SMF30\_Inst\_OffloadOnCP\_Enclave

## Relating SMF30 CPU Time fields to new Instruction Count fields

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- **SMF30DET –**
  - CPU time used by the dependent enclave, but only when in the WLM enclave.
  - Note that dependent enclave time on an IFA is not included.
  - This value included in SMF30CPT
  
  - Comparable to the following instruction count fields:
    - SMF30\_Inst\_CP\_DepEnc
    - SMF30\_Inst\_OffloadOnCP\_DepEnc
  
- **SMF30\_TIME\_ON\_IFA and SMF30\_TIME\_ON\_SUP**
  - Accumulation of CPU time spent on zAAP.
  - Accumulation of CPU time spent on zIIP.
  
  - Comparable to the following instruction count fields:
    - SMF30\_Inst\_Offload



## Reports / SMF 30 Instruction Counts Processing/Discussion Offer !!!

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- Study underway
  - I am in the process of studying SMF 30 instruction counts, and their uses
  
- Request for Data!
  - I am looking for a collection of SMF 30, 70, 72, and 113 data to better understand the instruction based measurements
  
  - Please contact me, Peter Enrico for instructions for sending raw SMF data
    - Send an email to [peter.enrico@epstrategies.com](mailto:peter.enrico@epstrategies.com)
  
  - Deliverable:
    - Dozens of SMF reports (charts and tables)
      - Summary by system
      - Summary by CPU
      - Before / After comparison reports
      - Raw counter reports
      - Much more...
  
    - One-on-one phone call to explain your measurements

## Top Address Spaces Consuming CPU

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## Top 20 Address Spaces Consuming Most CPU in 24 Hours

SC_Name	RC_Name	Job_Name	AS_Type	SYS1	SYS2	SYS3	SYS4	Sum	Machine%
DB2PH_SC	DB2R	DSNDIST	STC	35,883.6				35,883.6	13.8%
CICSH_SC	CICSR	CICSHADP	STC	13,921.3				13,921.3	5.4%
CICSL_SC	CICSR	CICSH81P	STC	10,527.0				10,527.0	4.1%
DB2PH_SC	DB2R	DSNDBM1	STC	10,127.9				10,127.9	3.9%
STCLO_SC	STCR	DFHSM	STC	7,964.1			214.6	8,178.7	3.2%
CICSH_SC	CICSR	CICSH11P	STC			5,797.9		5,797.9	2.2%
STCHI_SC	STCR	OMEGDSST	STC	1,622.2	1,019.7	1,146.9	1,827.2	5,616.0	2.2%
SYSTEM	STCR	WLM	SYS	535.2	342.7	211.5	1,890.2	2,979.6	1.1%
HPS_HIGH	BATCHR	HM026D03	JOB	2,376.6				2,376.6	0.9%
SYSSTC	STCR	NET	STC	1,005.0	44.8	485.3	749.8	2,285.0	0.9%
HPS_HIGH	BATCHR	IT110D01	JOB	2,145.8				2,145.8	0.8%
SYSTEM	STCR	CATALOG	SYS	1,540.7	11.3	14.7	572.2	2,138.9	0.8%
SYSSTC	STCR	TCPIP	STC	1,476.8	98.9	118.5	374.9	2,069.1	0.8%
TBATL_SC	BATCHR	DB2HRWS0	JOB				1,924.4	1,924.4	0.7%
CICSH_SC	CICSR	CICSMG1P	STC	1,735.9				1,735.9	0.7%
TBATL_SC	BATCHR	SITH085U	JOB				1,685.2	1,685.2	0.7%
DB2TH_SC	DB2R	HPDQDIST	STC				1,683.1	1,683.1	0.6%
DB2TH_SC	DB2R	HPDQDBM1	STC				1,551.3	1,551.3	0.6%
PBIMP_SC	BATCHR	HPSVSAM1	JOB	1,302.6				1,302.6	0.5%
HPS_HIGH	BATCHR	HM026D01	JOB	1,296.8				1,296.8	0.5%

## Objective of WLM Management of CICS & IMS

- Allow assignment of goals to the transactions and let the WLM determine which regions need the resources to meet these goals.

### Region Goals



IMP 1, Velocity 60

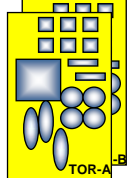
### Transaction Goals

- IMP 1, RT .5 sec, 90%
- ▬ IMP 1, RT .75 sec, 90%
- IMP 3, RT 2 sec, 90%
- IMP 3, Avg RT 3 sec
- IMP 5, RT 20 sec, 85%

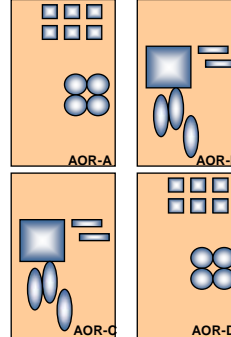
To meet the RT goals of ■ the following regions must be managed:

- CICS TOR-A, TOR-B
- CICS AOR-A, AOR-D
- CICS FOR-A

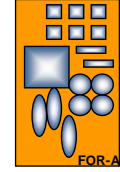
### CICS TORs



### CICS AORs

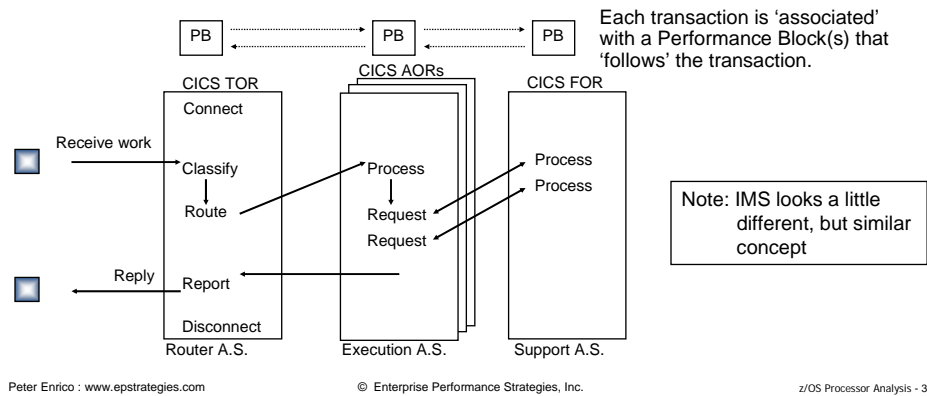


### CICS FORs



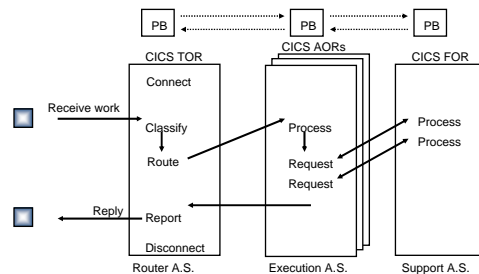
## WLM needs an awareness of which regions are processing which transactions, and how often

- CICS and IMS exploit WLM Work Manager services
  - Regions **'Connect'** (ie 'register') to WLM during startup & obtain current service policy
  - At transaction startup, region uses WLM **'Classify'** to associate incoming transaction with a service class
  - At transaction end, region uses WLM **'Report'** to signal end and report response time
  - Other important services to make this all work



## WLM Sampling and CICS MAXTASK Parameter

- Beware of excess sampling overhead due to CICS MAXTASK parameter!
  - In a CICS environment, one PB is pre-allocated for each possible task as set by the CICS MAXTASK parameter
- All PBs are sampled every 1/4 second
  - Could cause lots of WLM sampling overhead!
  - Check CICS MAXTASK parameter to make sure it is not set unnecessarily high
    - Set to your system's true high water mark
  - Mostly resolved, but still watch MAXTASK



## Top 20 Address Spaces Consuming Most CPU in 24 Hours

SC_Name	RC_Name	Job_Name	AS_Type	SYS1	SYS2	SYS3	SYS4	Sum	Machine%
DB2PH_SC	DB2R	DSNDIST	STC	38,655.5				38,655.5	14.9%
CICSH_SC	CICSPRHR	CICSHADP	STC	14,269.1				14,269.1	5.5%
DB2PH_SC	DB2R	DSNDBM1	STC	7,147.9				7,147.9	2.8%
CICSH_SC	CICSPRHR	CICSH81P	STC	5,032.1				5,032.1	1.9%
STCLO_SC	OMEGAMON	OMEGDSST	STC	1,403.0	807.8	928.4	1,340.4	4,479.6	1.7%
CICSH_SC	CICSPRHR	CICSH11P	STC			3,662.2		3,662.2	1.4%
STCLO_SC	DFHSMR	DFHSM	STC	2,929.9			295.1	3,225.0	1.2%
DB2TM_SC	DB2R	DB2JDIST	STC				2,839.3	2,839.3	1.1%
SYSTEM	STCR	WLM	SYS	483.3	304.8	192.9	1,314.1	2,295.1	0.9%
SYSSTC	STCR	RMFGAT	STC	414.7	644.8	376.3	858.9	2,294.7	0.9%
SYSSTC	STCR	TCPIP	STC	1,319.6	85.6	99.4	541.3	2,045.9	0.8%
PSTD_SC	BATSTDR	DB105M00	JOB	2,007.3				2,007.3	0.8%
PMED_SC	BATMEDR	HPSVSMTH	JOB	1,939.5				1,939.5	0.7%
PHIGH_SC	BATHIGHR	IT110D01	JOB	1,860.6				1,860.6	0.7%
TBATL_SC	BATTSTR	DSNLRW00	JOB				1,717.5	1,717.5	0.7%
SYSSTC	STCR	NET	STC	728.5	35.7	364.5	507.5	1,636.2	0.6%
SYSTEM	STCR	CATALOG	SYS	1,185.5	11.2	13.6	389.6	1,600.0	0.6%
CICSH_SC	CICSPRHR	CICSMG1P	STC	1,326.8				1,326.8	0.5%
PMED_SC	BATMEDR	HPSVMA1	JOB	1,200.9				1,200.9	0.5%
PMED_SC	BATMEDR	DB2REOF1	JOB	1,120.8				1,120.8	0.4%

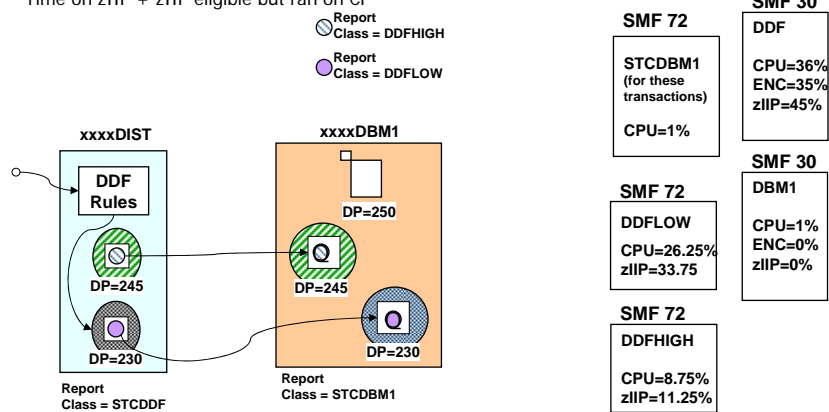
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## DDF and Independent Enclaves with zIIP Engines

- When zIIP engines are configured SMF30ENC is the enclave CPU time that was qualified for zIIP but was prevented from running on zIIP
  - Ran on CP engines
- zIIP time is zIIP eligible time and not included in SMF30ENC
  - Time on zIIP + zIIP eligible but ran on CP



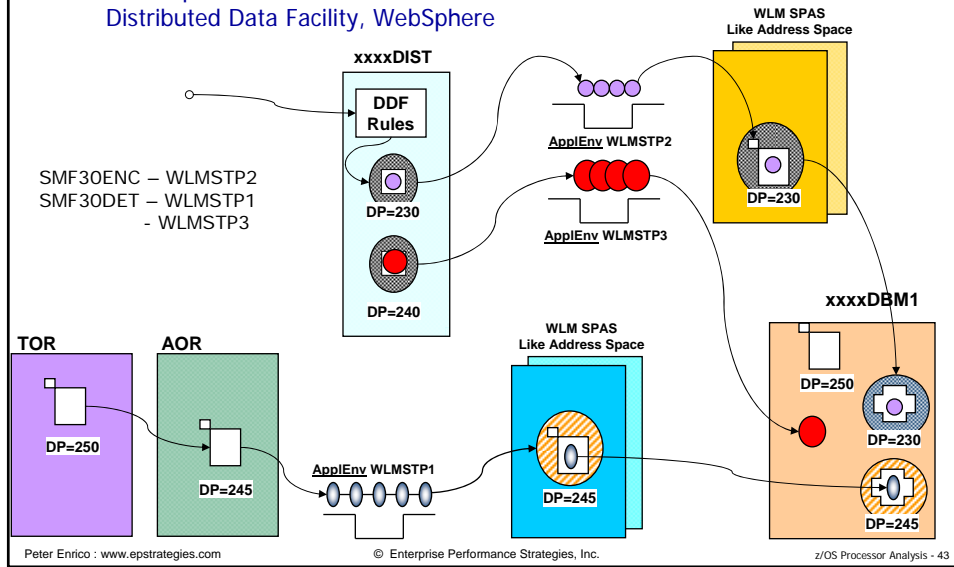
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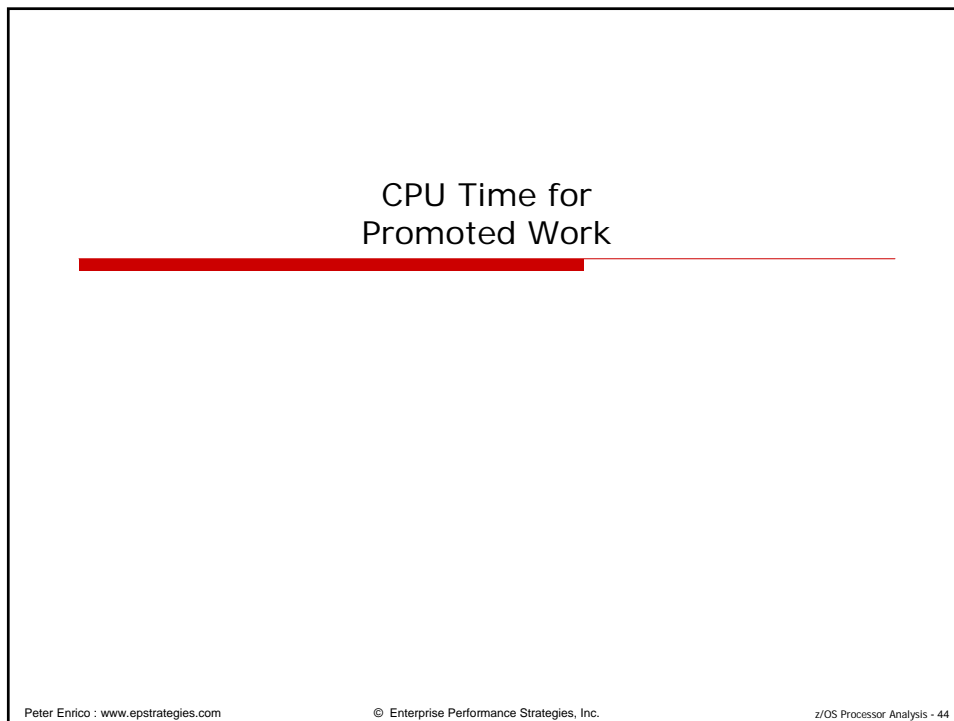
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## Stored Procedures After Enclaves

- Stored procedure client could be IMS, CICS, TSO, Batch, Started Tasks, Distributed Data Facility, WebSphere



## CPU Time for Promoted Work



## How many CPU seconds did work consume while promoted?

- **BLK**
  - CPU seconds consumed while promoted to help blocked workloads
- **ENQ**
  - CPU seconds consumed while promoted by enqueue management because the work held a resource that other work needed.
- **CRM**
  - CPU seconds consumed while promoted by chronic resource contention management because the work held a resource that other work needed
- **LCK (HiperDispatch mode only)**
  - CPU seconds consumed while promoted to shorten the lock hold time of a local suspend lock held by the work unit
- **SUP**
  - CPU seconds consumed while the dispatching priority for a work unit was temporarily raised by the z/OS supervisor to a higher dispatching priority than assigned by WLM

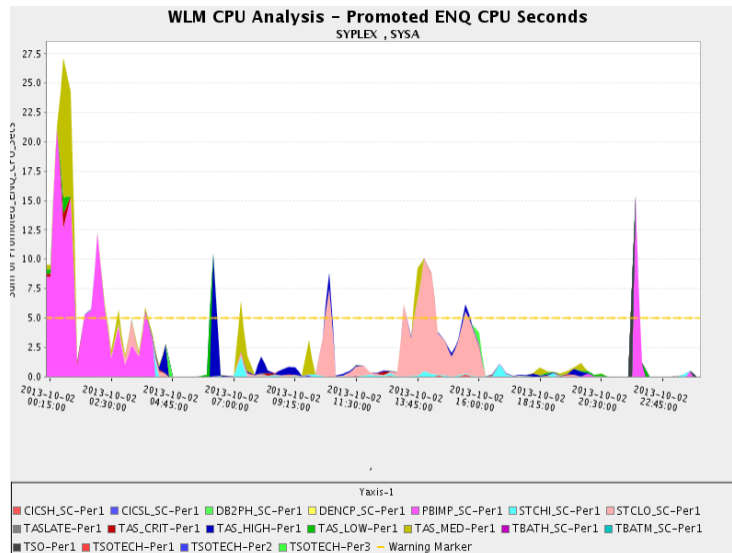
---SERVICE---	SERVICE TIME	---APPL %---	---PROMOTED---
IOC	981747 CPU 873.159	CP 98.96	BLK 0.000
CPU	28865K SRB 14.712	AAPCP 0.00	ENQ 0.000
MSO	89995K RCT 0.081	IIPCP 0.00	CRM 0.000
SRB	486359 IIT 2.371		LCK 0.263
TOT	120328K HST 0.408	AAP N/A	SUP 0.000
/SEC	133694 AAP N/A	IIP 0.01	
	IIP 0.051		

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## Promoted CPU Time by Service Class - Promotion for Enqueue

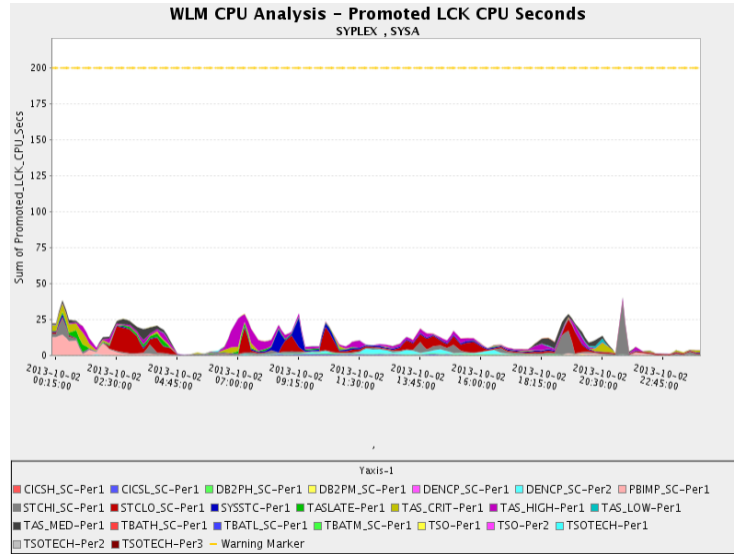


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## Promoted CPU Time by Service Class - Promotion for Lock



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## CPU Time for Multiple Period Service Classes

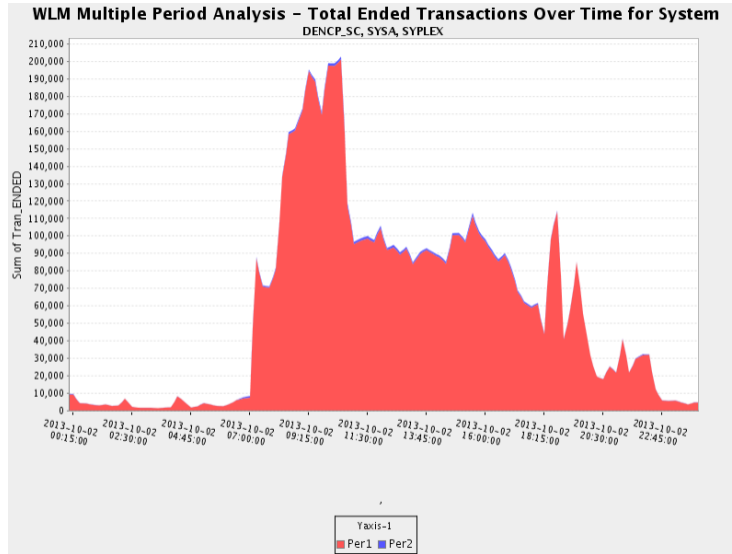
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## Number of Transactions over Time for Multiple Period Service Class

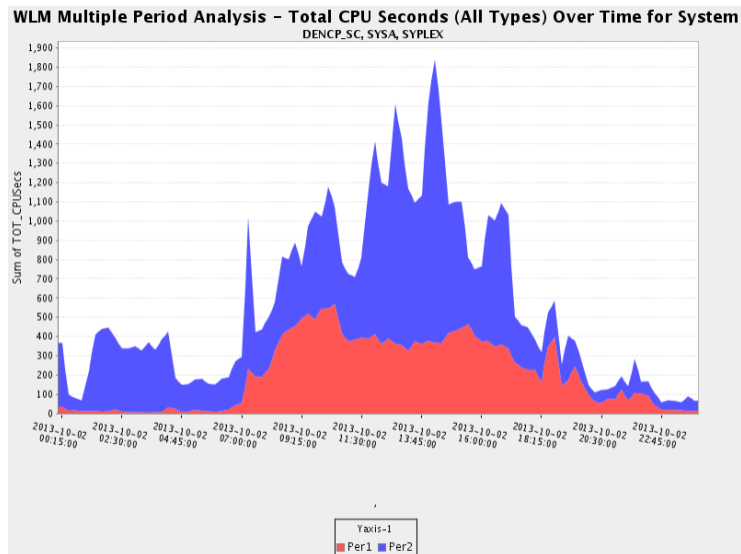


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## CPU Consumed over Time for Multiple Period Service Class



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## Calculating the Hardware CPU Spin

### What is the spin component of the host effect?

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In other words... how many CPU seconds are consumed by the requesting z/OS system while it is 'spinning' waiting for the CF to respond to sync requests?

## Coupling Technology versus Host Processor Speeds

Source: Gary King, IBM and modified for z10

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### □ Host Effect

- The cost of the combination of CF processor, link technology, and z/OS host processors with primary application involved in data sharing
  - Below chart based on 9 CF ops/Mi – may be scaled linearly for other rates
  - Synch to asynch conversion tends to cap the values in the table at about 15%
- In general
  - Resource Sharing will cost 2% to 3%
  - Data Sharing will cost 5% to 10%
- Remember, CPU time will show up in the exploiting subsystem
  - Example: DB2 will be charge for the CPU
  - This is important to understand since the 5% to 10% number is for the system, but it could translate to DB2 CPU consumption of 30% or more
- See next slide

## Coupling Technology versus Host Processor Speeds

Source: The Top 10 Questions, Gary King, IBM

### Host effect with primary application involved in data sharing

Chart below is based on 9 CF ops/Mi - may be scaled linearly for other rates

CF/Host	z10 BC	z10 EC	z114	z196	zEnterprise EC12
z10 BC ISC3	16%	18%	17%	21%	24%
z10 BC 1x IFB	13%	14%	14%	17%	19%
z10 BC 12x IFB	12%	13%	13%	15%	17%
z10 BC ICB4	10%	11%	NA	NA	NA
z10 EC ISC3	16%	17%	17%	21%	24%
z10 EC 1x IFB	13%	14%	14%	17%	19%
z10 EC 12x IFB	11%	12%	12%	14%	16%
z10 EC ICB4	10%	10%	NA	NA	NA
z114 ISC3	16%	18%	17%	21%	24%
z114 1x IFB	13%	14%	14%	17%	19%
z114 12x IFB	12%	13%	12%	15%	17%
z114 12x IFB3	NA	NA	10%	12%	13%
z196 ISC3	16%	17%	17%	21%	24%
z196 1x IFB	13%	14%	13%	16%	18%
z196 12x IFB	11%	12%	11%	14%	15%
z196 12x IFB3	NA	NA	9%	11%	12%
zEnterprise EC12 ISC3	16%	17%	17%	21%	24%
zEnterprise EC12 1x IFB	13%	13%	13%	16%	18%
zEnterprise EC12 12x IFB	11%	11%	11%	13%	15%
zEnterprise EC12 12x IFB3	9%	9%	9%	10%	11%

With z/OS 1.2 and above, synch->asynch conversion caps values in table at about 18%  
IC links scale with speed of host technology and would provide an 8% effect in each case

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## Using CF Subchannel Activity Report to Calculate CPU Spin Seconds for System

- CPU seconds consumed due to sync immediate Spin can be calculated by the Coupling Facility Subchannel Activity Report

- Remember to do this exercise for both coupling facilities!

$$\text{CPU Seconds Spinning} = \frac{(\# \text{REQ Sync}) * (\text{Sync Service Time})}{1,000,000}$$

$$\text{CPU Seconds Spinning} = \frac{(24,415,000) * (5.0)}{1,000,000} = 122.1 \text{ seconds CPU Spin}$$

- If you wanted, you can now represent this as a subcomponent of LPAR Busy

# REQ	SYSTEM NAME	TOTAL AVG/SEC	CF TYPE	LINKS GEN	PTH USE	REQUESTS BUSY	# REQ	SERVICE TIME(MIC) AVG	STD_DEV	DELATED REQ	%
	SYS1	29725K	CIB	4	4	138	24415K	5.0	4.0	LIST/CACHE	10K
		33027	SUBCH	28	28		5272K	32.0	32.4	LOCK	596
							10281			TOTAL	11K
							0	0.0	0.0		

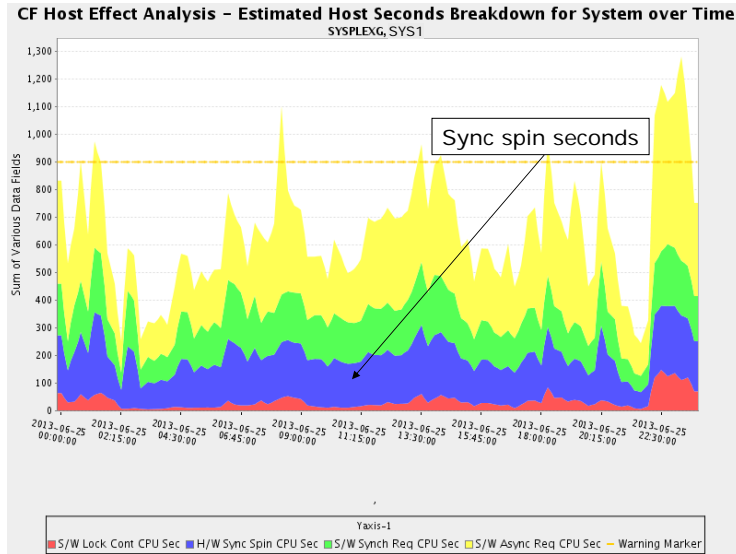
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## Example: Estimated Host Effect Seconds for SYS1

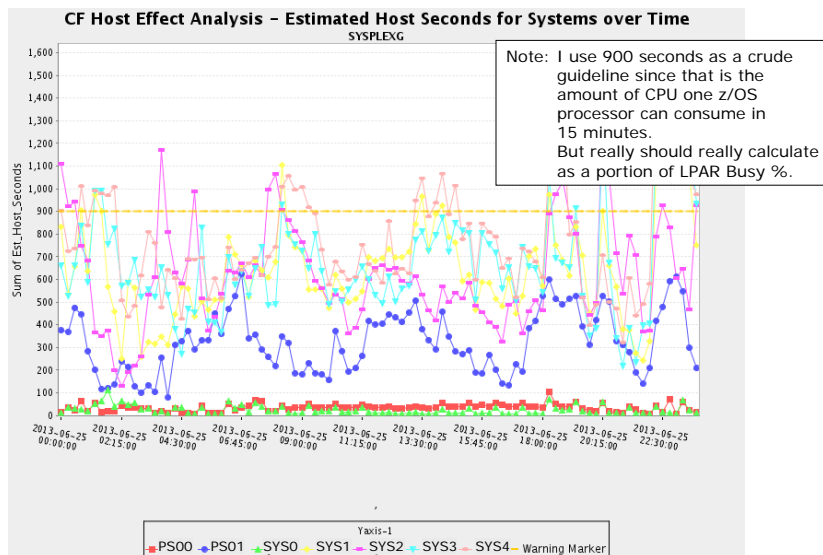


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## Example of Host CPU Seconds by System



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## Presentation Overview

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- **Basic Processor Consumption Analysis**
  - Decomposing CPU Consumption
    - By importance level
    - Displaced workloads
    - By Service Class and Report Class
  - Looking at CPU Dispatching Priorities
  - Looking at Latent Demand
  - New SMF 30 instruction counts (z/OS R1V2)
  - Workload Activity Analysis
    - CPU time during promotion
    - Multiple period CPU analysis
  - SMF 30 Level Analysis

## Performance Workshops Available

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During these workshops you will be analyzing your own data!

- [WLM Performance and Re-evaluating of Goals](#)
  - Instructor: Peter Enrico
  - June 23 – 27, 2014 - Detroit, Michigan, USA
  - September 15 – 19, 2014 - Kansas City, Missouri, USA
- [Parallel Sysplex and z/OS Performance Tuning \(Web / Internet Based!\)](#)
  - Instructor: Peter Enrico
  - July 29 – 31, 2014 (Web)
  - August 19 – 21, 2014 (Web)
- [Essential z/OS Performance Tuning Workshop](#)
  - Instructors: Peter Enrico and Tom Beretvas
- [z/OS Capacity Planning and Performance Analysis](#)
  - Instructor: Ray Wicks

