

# A New Frontier in the Evolution of Space Management...

***Blast Off!***

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# Agenda

- Solution Overview
- Background of Existing Function
- Usage
- Invocation
- Looking Forward...

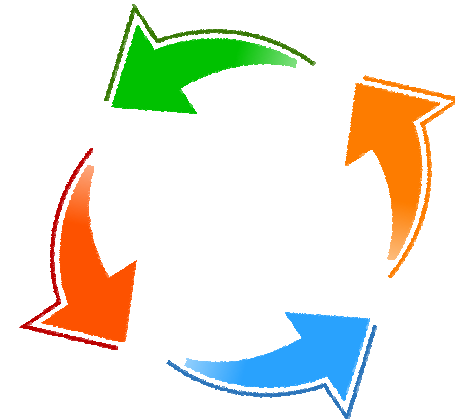
# Overview

- **Information Life Management**

*The process of managing information – from creation, through its useful life, to its eventual deletion – in a manner that **aligns storage costs with a changing business value of information.***

- **Today, DFSMS provides *policy-based*...**

- Data Creation
- Backup / Recovery Management
- Space Management
- Expiration

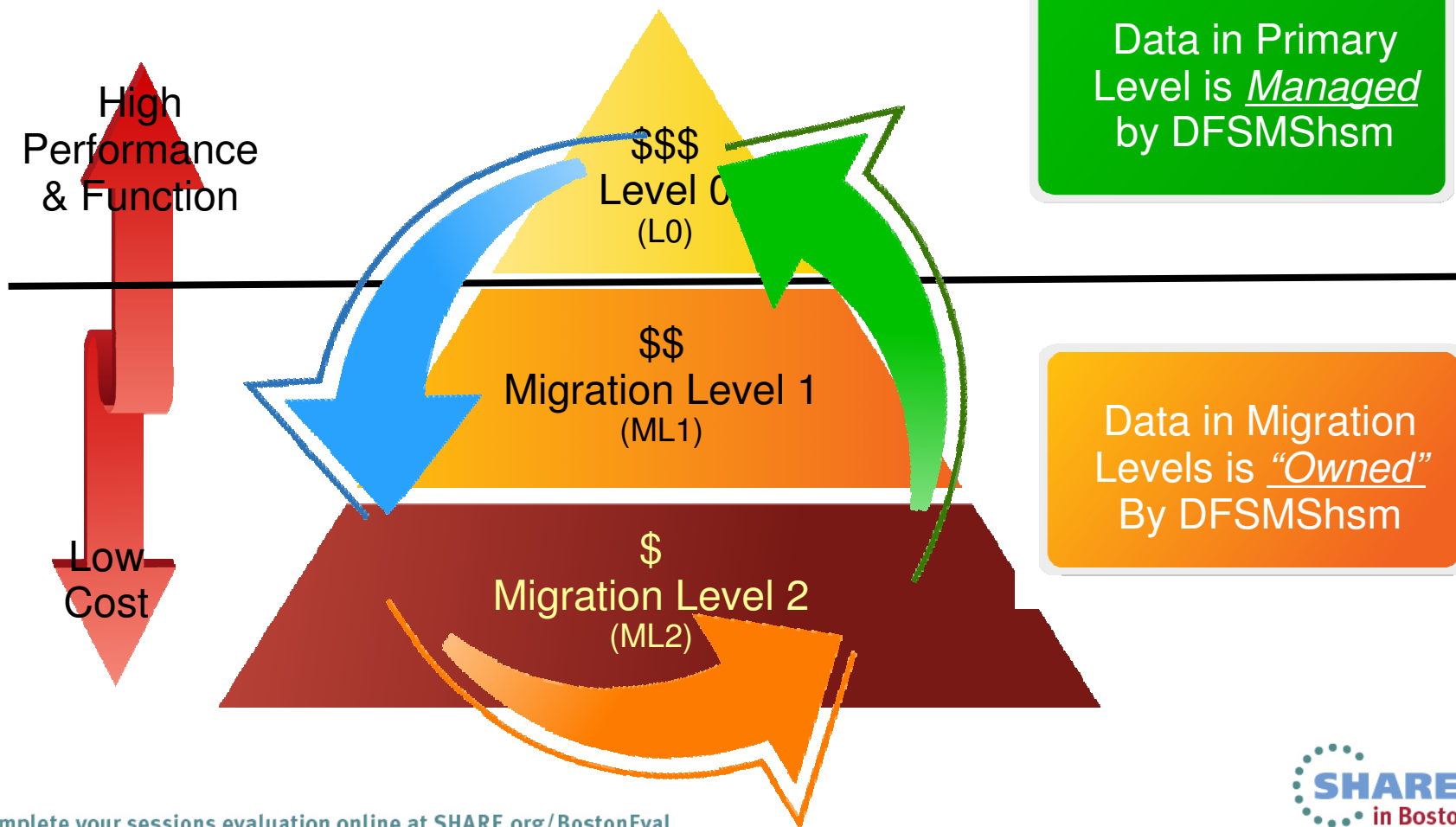


- **Objective of the Storage Tiers Solution**

- ✓ Better align storage costs with changing business value
- ✓ Minimize the Total Cost of Ownership for System z Data by actively managing data on the lowest cost storage that meets the business needs of the data

# Background – DFSMS Today

The *classic* DFSMS storage hierarchy (30+ years old)



## Background – DFSMS Today

- **The Space Management Environment is evolving**
  - ★ Typical configurations have changed to leave data on Level 0 longer and then migrate directly to ML2
  - ★ When ML2 is a VTS, the VTS disk cache replaces the ML1 tier
    - ★ *Eliminates MIPS required for software compression to ML1*
    - ★ *Eliminates DFSMSHsm ML1->ML2 processing*
  - ★ Tape storage controller functionality replacing DFSMSHsm duplexing
- **Shortcomings of today's DFSMS functionality**
  - ✓ No policy-based automation for moving data within the Primary Storage Hierarchy (Level 0)
  - ✓ No policy-based management of Active (open) data



# Overview



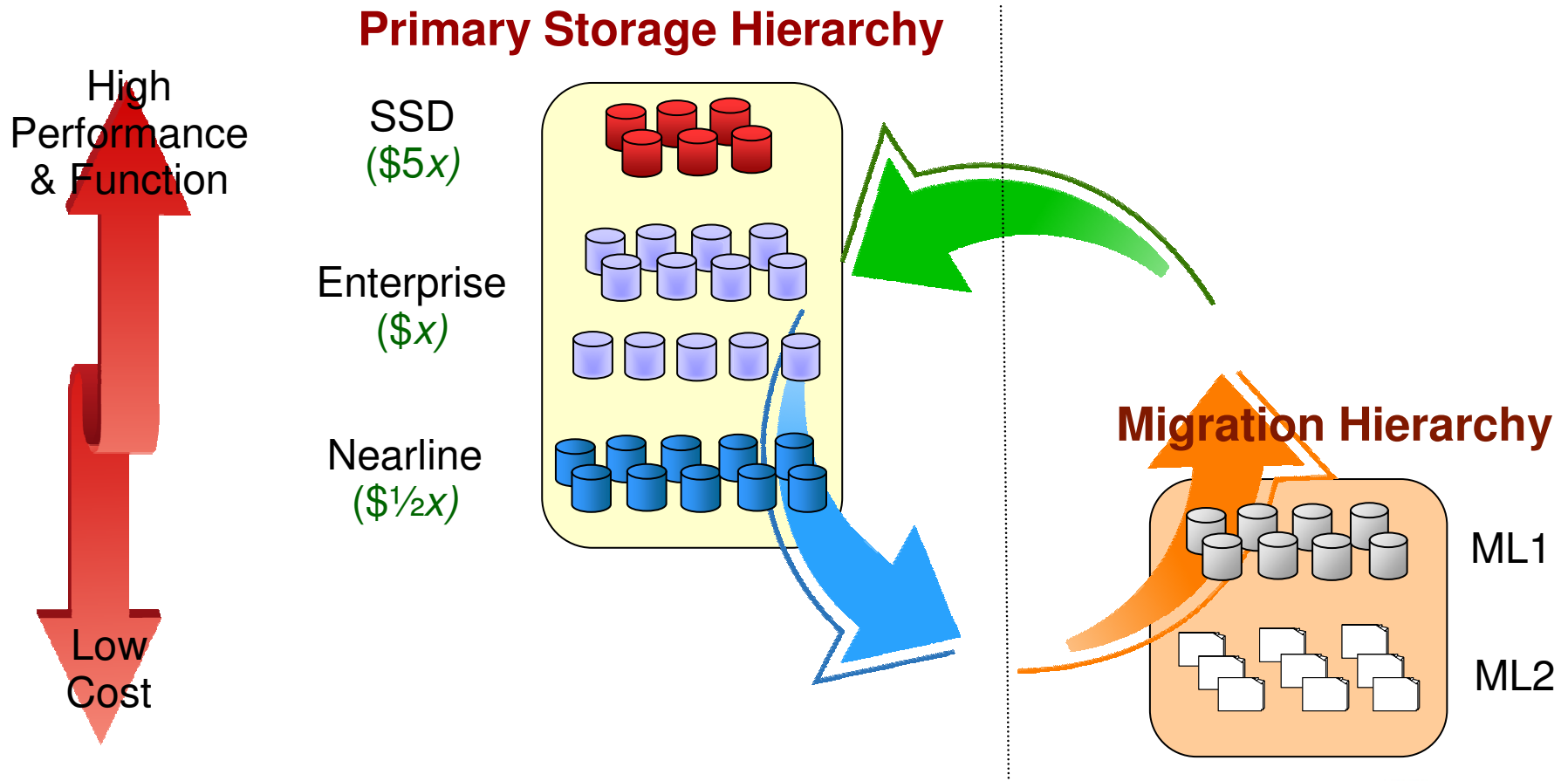
**z/OS V2R1 DFSMS provides the Storage Tiers Solution...**

***Automated, policy-based space management that moves data from tier to tier within the Primary (Level 0) Hierarchy***

- ✓ Automated movement provided via the existing DFSMSHsm Space Management function
  - Movement is referred to as a 'Class Transition'
  - *Data remains in its original format and can be immediately accessed after the movement is complete*
- ✓ Policies implemented via the existing Class Transition policies and updated Management Class policies
- ✓ Enhanced support for DB2, CICS and zFS data
  - *Open data temporarily closed to enable movement*

# Overview

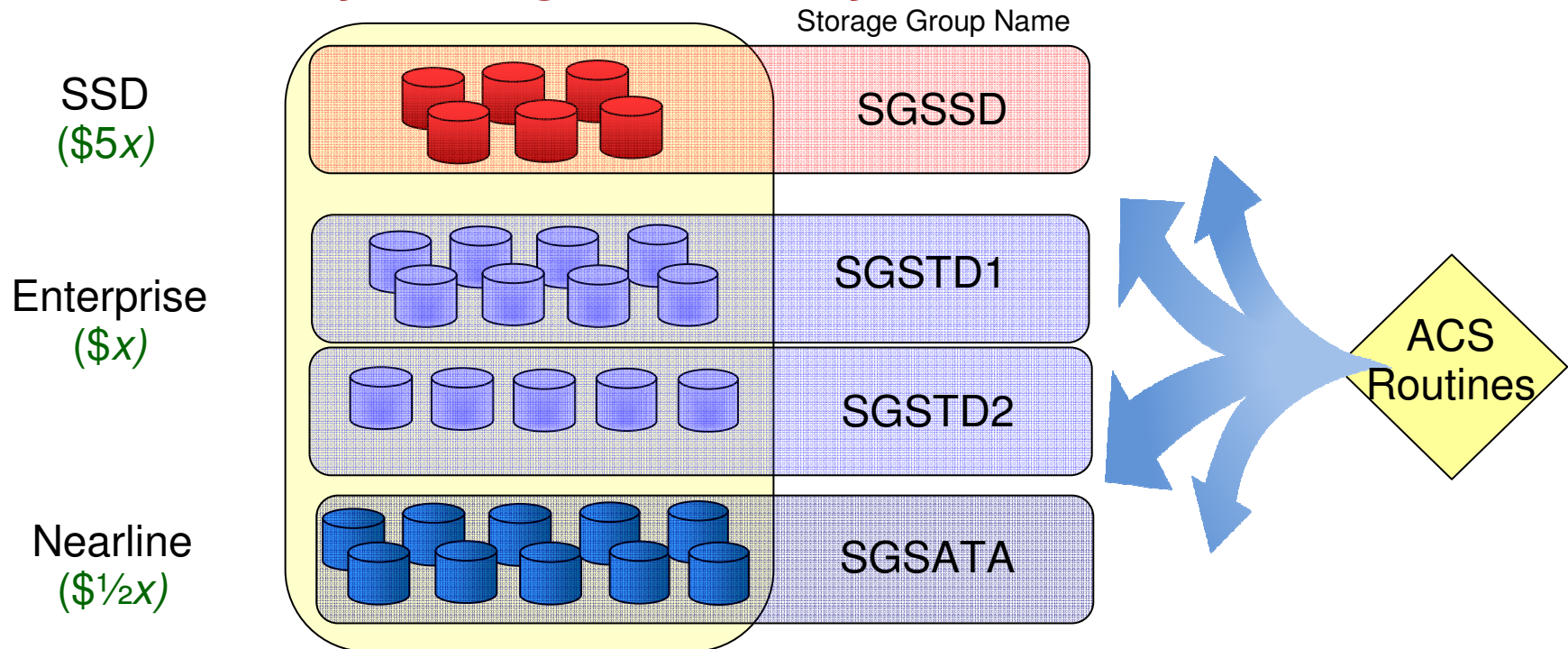
The *classic* DFSMS storage hierarchy is modified to represent *distinct* Primary and Migration Hierarchies...



# Storage Tiers Overview

The Primary Storage Hierarchy is not new. It is the existing hierarchy of storage as defined by Storage Class and Storage Group policies and SMS Automatic Class Selection (ACS) routines.

## Primary Storage Hierarchy



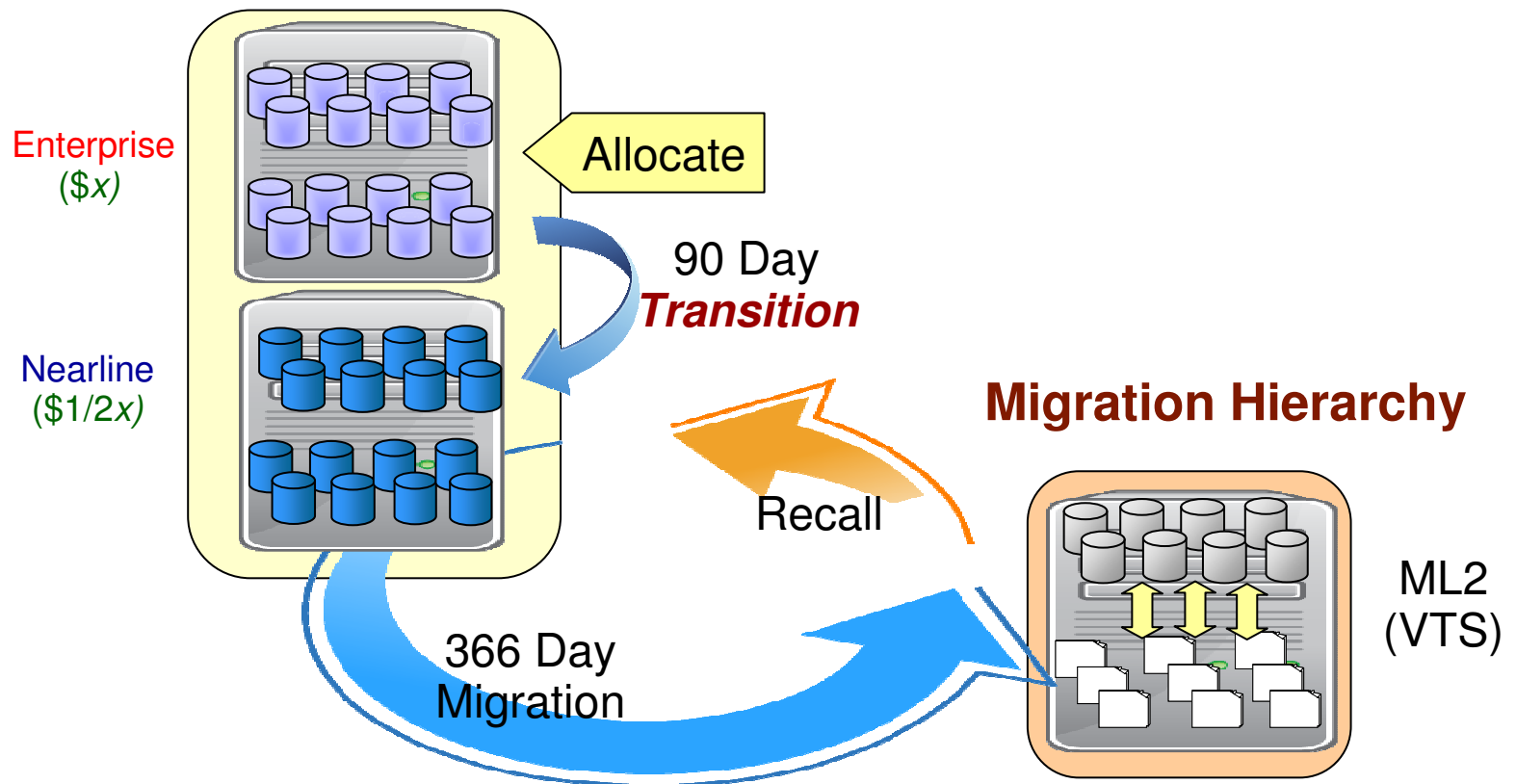


# Storage Tiers Overview



This example environment shows data being “transitioned” from Enterprise Class storage to Nearline (SAS/SATA) Class storage after 90 days, and then becoming eligible for migration after 366 days of inactivity.

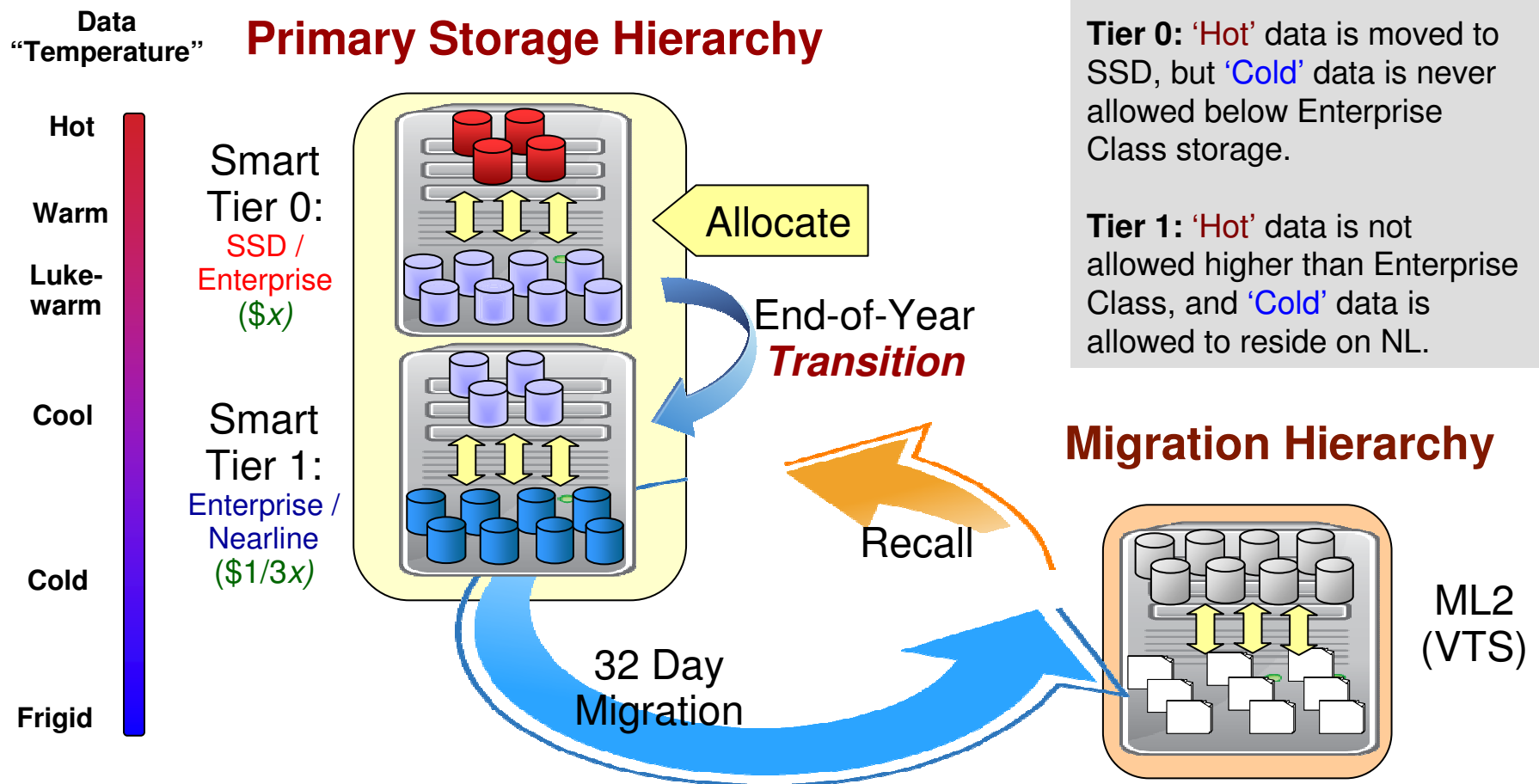
## Primary Storage Hierarchy



# Storage Tiers Overview

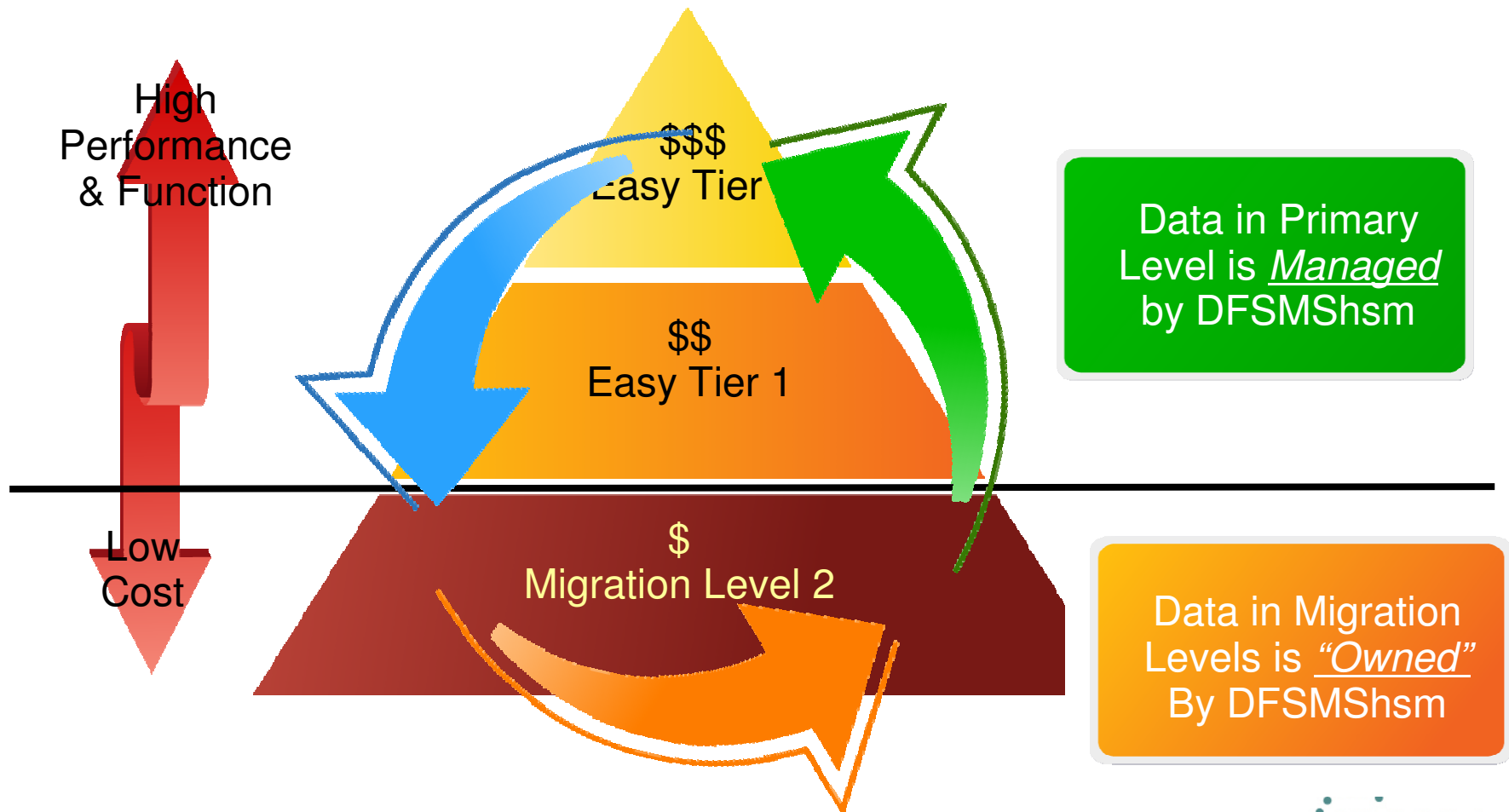


This example environment shows data being “transitioned” from ‘Smart Tier 0’ to ‘Smart Tier 1’ at the end-of-year, and then becoming eligible for migration after 32 days of inactivity.



# Storage Tiers Overview

The *enhanced* DFSMS storage hierarchy enables “the bar” to be in the most appropriate location for each customer environment.



# Usage

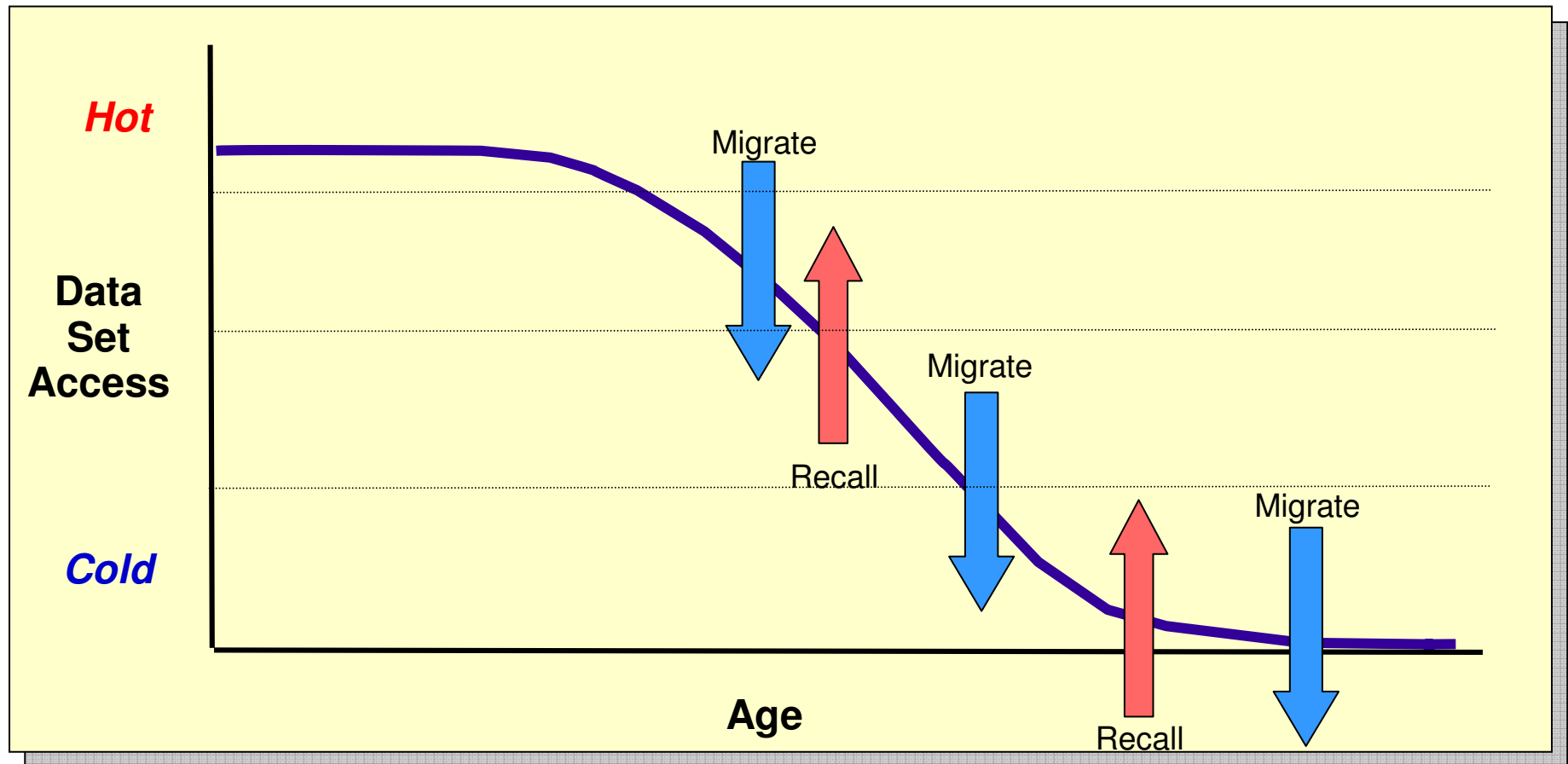
- What are examples of data sets that would benefit from this solution?



- ★ Data sets currently not eligible for migration because they always need to be immediately accessible
  - Recall delay is unacceptable
  - Data sets could be allocated on a particular class of storage and then later *transitioned* to a less expensive class of storage for permanent retention
- ★ Data sets that are eligible for migration today, but there would be a benefit to keep them online for a longer period of time.
  - Convert the migration of data sets to *transition* to a lower cost storage.
  - Increase the number of days that the data set must be unreferenced before migrating directly to ML2 (PRIMARY DAYS NON-USAGE)

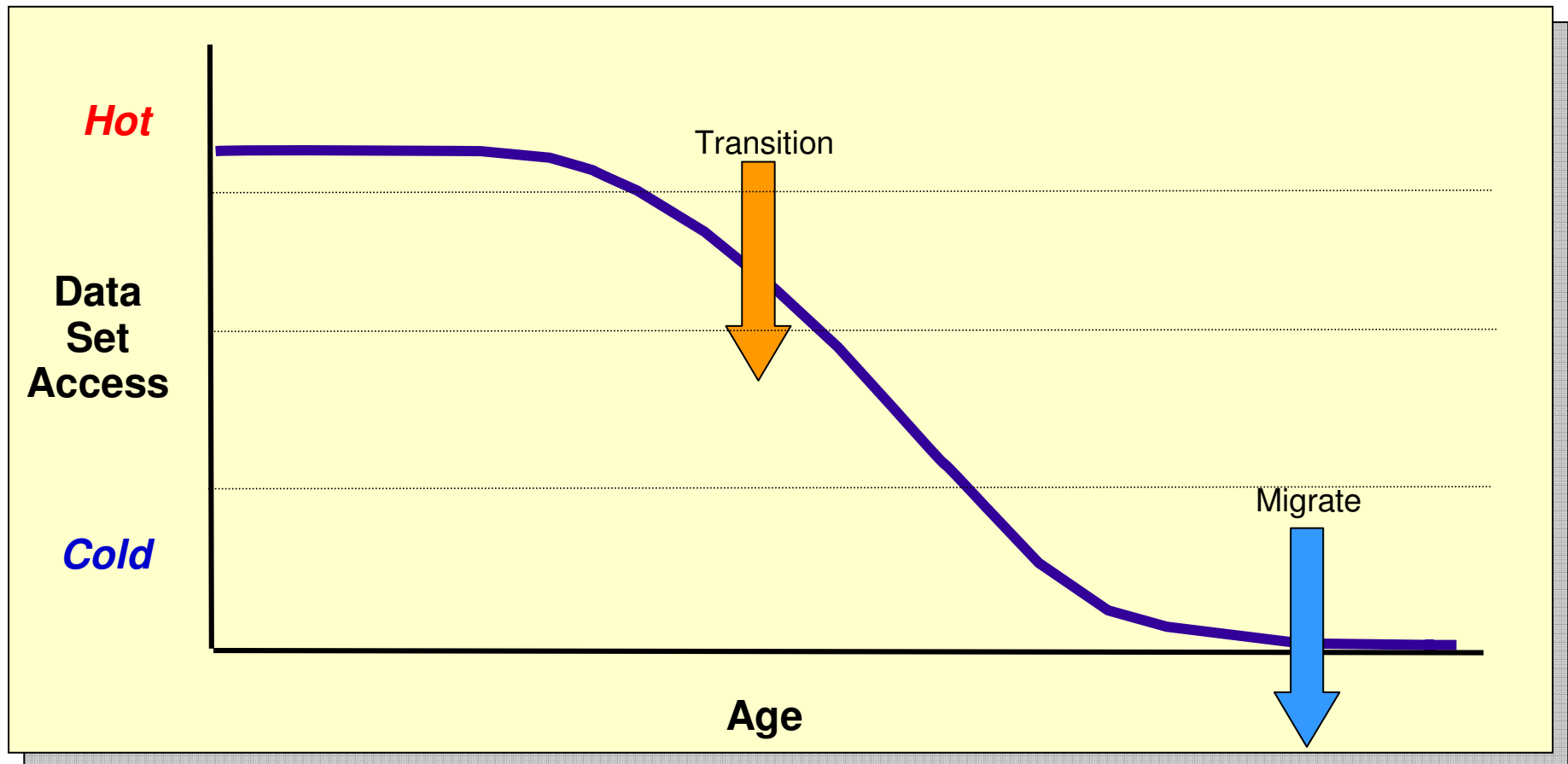
# Usage

As a data set ages and goes through cycles of activity and inactivity, it can go through many migration and recall iterations.



# Usage

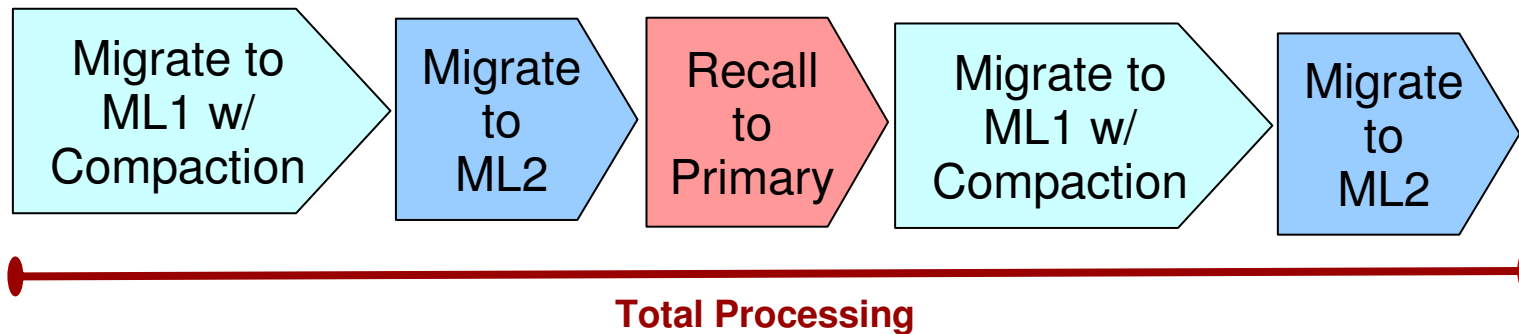
The migration / recall iterations can be replaced with a single class transition and potentially single migration.



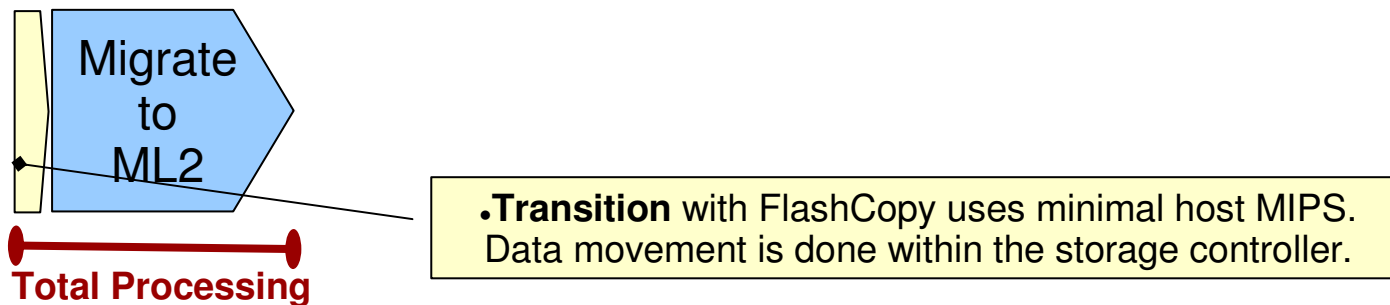
# Usage

## *Contrast the DFSMShsm processing required when ...*

- A data set is migrated to ML1 → ML2, recalled and remigrated just once



- A data set is transitioned using **FlashCopy** and then migrated directly to ML2



# Usage Notes

- **There is a distinction between using Migrate/Recall and Class Transitions**
  - When a data set is recalled, it will be returned to the class of storage as directed by the ACS routines, which would typically be higher than where a data set would reside after a transition
  - When a data set transitions to a lower class of storage, it will remain there until it is transitioned again or until it migrates
- **In order for FlashCopy to be used for a transition, the movement must be within the same storage controller. This may be difficult to achieve.**
  - ✓ FlashCopy will be discussed in detail later in the presentation





# Invocation

- The function of *DFSMSHsm Space Management* processing is to use *policy-based automation* to ensure that volumes within the Primary Storage Hierarchy have enough *free space* for new data and to ensure that data is stored at the *lowest acceptable tier in the Storage Hierarchy*
  - This is accomplished through
    - Data set expiration
    - Migration of unreferenced data to the Migration Hierarchy
  - ✓ *“Class Transitions” within the Primary Hierarchy*
- “Class Transition” processing is integrated into the existing DFSMSHsm *Space Management* functions
  - Primary Space Management
  - On-Demand Migration
    - *New function introduced in V1R13. Performs space management on a volume as soon as it goes over its high threshold. Replacement for on-the-hour Interval Migration processing.*
  - Interval Migration

# Invocation

When a volume is selected for *space management processing* due to being over threshold, in addition to existing expiration and migration checking, space management functions will determine if a data set is eligible to be *transitioned*, based on *management class criteria*

# Invocation

## *Phases of space management processing*

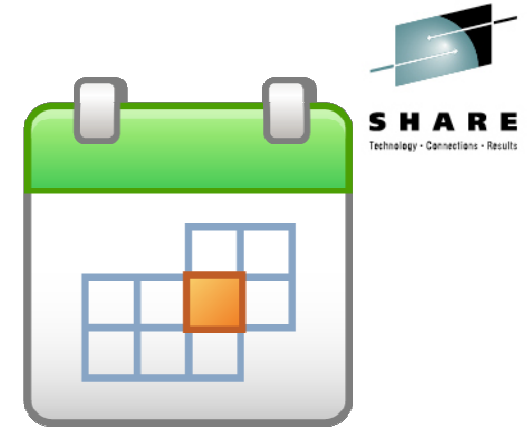
- **Phase 1** (*non-movement processing*)
  - Expiration processing
  - Release unused space
  - Fast Subsequent Migration
  - **Class Transitions of Management Class only**
- **Phase 2** (*if volume is still over threshold, data is moved*)
  - **Class Transitions**
    - *Data sets eligible for both a transition and migration will be migrated*
    - *Otherwise, transitions will be processed before migrations*
    - *Transitions do not update the Last Referenced Date in the Format 1 DSCB*
  - Migrations

★ As today, volume processing ends when the low threshold is met or no more data sets are eligible to be processed

# Invocation

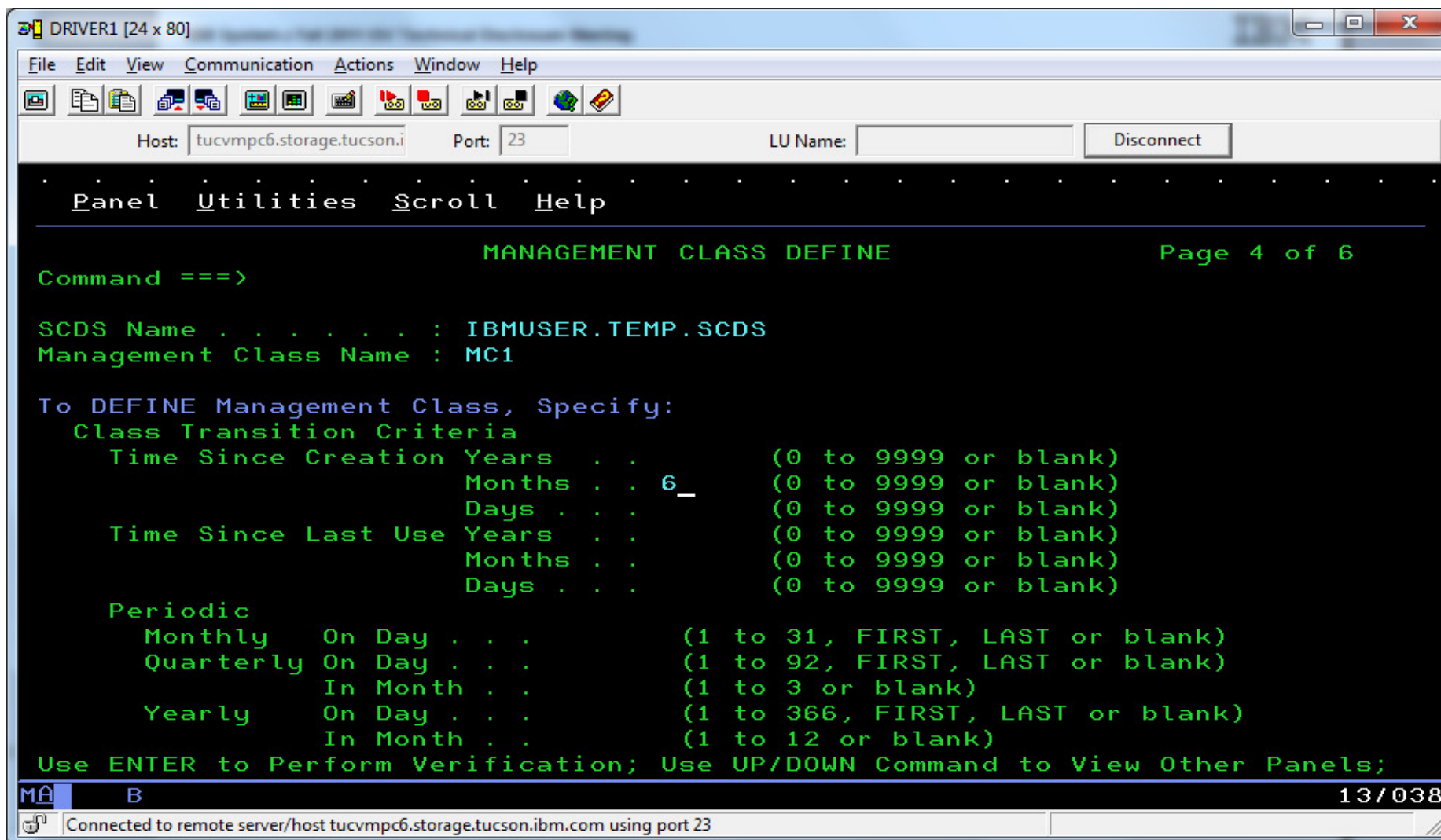
- The SMS **Management Class** provides the **Class Transition policies**:
  - **Class Transition Criteria:** If and when a data set should be transitioned
  - **Serialization Error Exit:** Indicates what type of special processing should occur if the data set cannot be serialized
  - **Transition Copy Technique:** Which copy technique should be used to move the data set

# Invocation



- The **Class Transition Criteria** specifies if and when a data set should be transitioned.
  - **Default:** Class transitions are *not* performed
  - **Time since Creation:** Data set is eligible for a transition on or after this time.
    - This is a *subjective* setting. It indicates that regardless of the usage of the data set, it should be transitioned.
  - **Time since Last Use:** Data set is eligible for a transition on or after this time.
    - This is an *objective* setting. It indicates that a data set should not be transitioned until it has not been referenced for a certain period of time.
  - **Periodic:** Data set is eligible for a transition on a specific date.
    - This is a *subjective* setting. It indicates that regardless of the usage of the data set, it should be transitioned.
- Only one criteria may be specified.

# Class Transition Criteria



```

DRIVER1 [24 x 80]
File Edit View Communication Actions Window Help
Host: tucvmc6.storage.tucson.i Port: 23 LU Name: Disconnect
Panel Utilities Scroll Help

MANAGEMENT CLASS DEFINE Page 4 of 6

Command ==>


SCDS Name : IBMUSER.TEMP.SCDS
Management Class Name : MC1

To DEFINE Management Class, Specify:
Class Transition Criteria
Time Since Creation Years (0 to 9999 or blank)
Months 6 (0 to 9999 or blank)
Days (0 to 9999 or blank)
Time Since Last Use Years (0 to 9999 or blank)
Months (0 to 9999 or blank)
Days (0 to 9999 or blank)
Periodic
Monthly On Day (1 to 31, FIRST, LAST or blank)
Quarterly On Day (1 to 92, FIRST, LAST or blank)
In Month (1 to 3 or blank)
Yearly On Day (1 to 366, FIRST, LAST or blank)
In Month (1 to 12 or blank)
Use ENTER to Perform Verification; Use UP/DOWN Command to View Other Panels;

MA B 13/038
Connected to remote server/host tucvmc6.storage.tucson.ibm.com using port 23

```

# Invocation

- The **Serialization Error Exit** indicates what type of special processing should occur if the data set cannot be exclusively serialized
  - Since '**Time since Creation**' and '**Periodic**' may specify that a transition occur during a period of time when a data set is being accessed, this setting specifies what to do if a data set cannot be exclusively serialized for data movement
  - For database data, it may be expected that the data is always open and special processing must be done to transition the data at any time
- Since it is expected that data sets may be open, the default is to not issue an error message if a data set cannot be exclusively serialized, it is just skipped (*similar to migration processing*)
  - To issue a DFSMSHsm message for this condition, issue  
PATCH .MGCB.+EF BITS( . . .1 . . . . )
  - An FSR record is created to enable reporting for this condition.

# Invocation



- **Serialization Error Exit** settings
  - **NONE:** (Default) If a data set cannot be exclusively serialized, the data set is not transitioned
  - **DB2:** Data assigned to this management class are DB2 objects
    - Invoke DB2 to close and unallocate the object. If this is successful, the object is serialized and moved and DB2 is invoked to reopen the object
  - **CICS:** Data assigned to this management class are CICS objects
    - Invoke CICS to take the object offline. If this is successful, the object is serialized and moved and CICS is invoked to reopen the object
  - **zFS:** Data assigned to this management class are zFS data sets
    - Invoke zFS to unmount the data set. If this is successful, the data set is serialized and moved and zFS is invoked to remount the data set
  - **EXIT:** User exit is invoked to preprocess and post-process the data set
    - Enables users / ISVs to provide an exit that will be invoked before and after transitioning an allocated data set. The data set is only transitioned if serialization is obtained after the first invocation of the exit
    - Documented in *DFSMS Installation Exits (SC26-7396)*





# Invocation

- The **Transition Copy Technique** indicates what type of copy technique should be used to move the data set
  - **Standard:** (Default) Use standard I/O
  - **Fast Replication Preferred:** Prefer Fast Replication. If it cannot be used, then use standard I/O.
  - **Fast Replication Required:** Require Fast Replication. If it cannot be used, fail the data movement.
    - Requires the target volume to be in the same storage controller.
  - **Preserve Mirror Preferred:** Prefer Preserve Mirror. This indicates that a Metro Mirror primary volume is allowed to become a FlashCopy target volume. If Preserve Mirror cannot be used, FlashCopy or standard I/O may be used.
  - **Preserve Mirror Required:** Require Preserve Mirror. The transition is only performed if the Metro Mirror primary target volume will not go duplex pending. This parameter has no affect if the target volume is not a Metro Mirror primary volume.



# Invocation

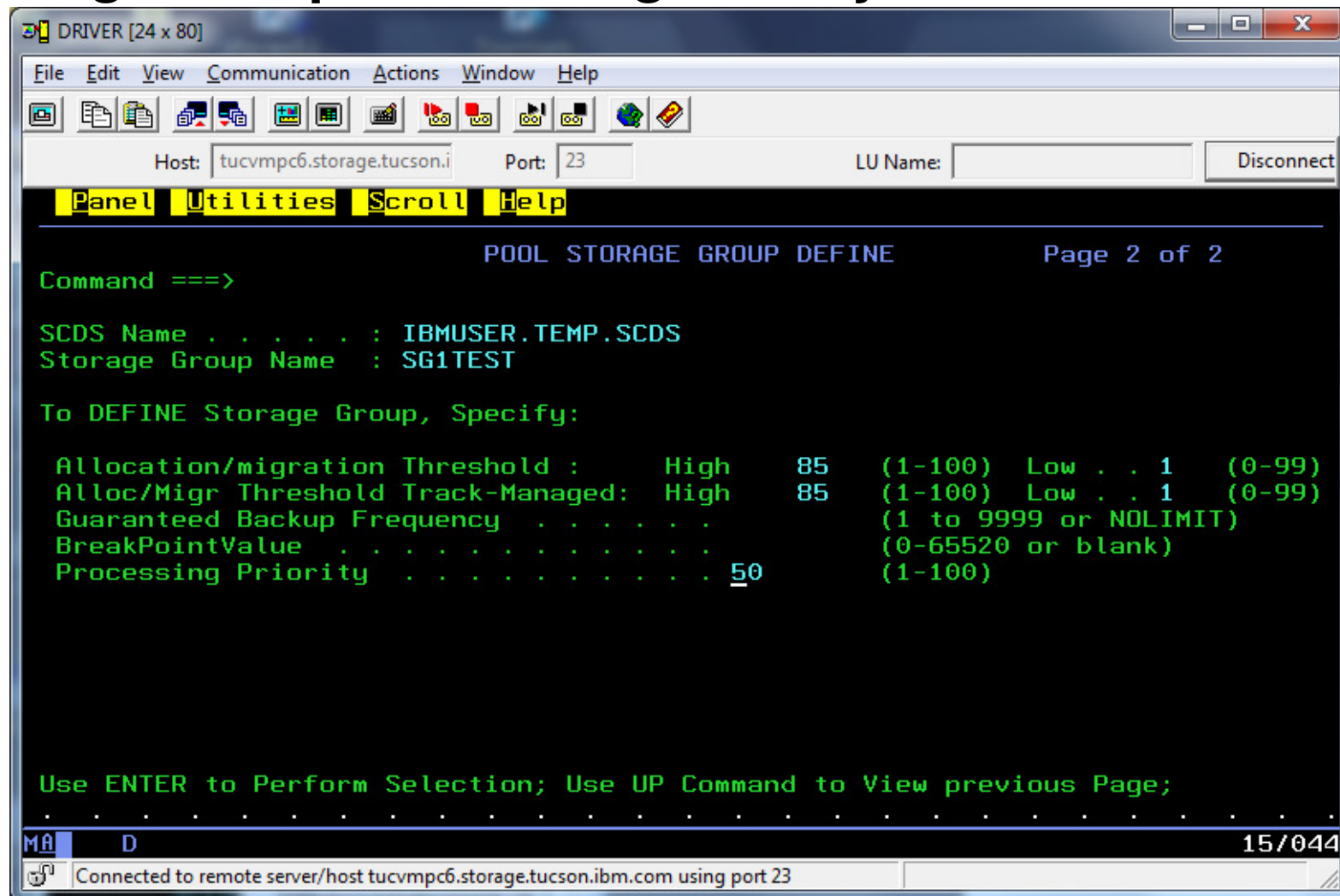
- Transition Copy Technique
  - If a copy technique *other than* 'Standard' is specified, then a valid backup copy must exist and the DS Change Indicator OFF before the data set is transitioned
    - This is required because DFSMSHsm receives control immediately after the FlashCopy relationship is established.
    - DFSMSHsm is not notified if there is a physical error within the storage controller during the background physical copy
    - While very unlikely, this ensures that if any physical error occurs while transitioning the data, that a backup copy is available to recover the data set
      - *This is critical because the data set is Deleted after the logical completion*

# Invocation

- The new Storage Group **Processing Priority** specifies the relative order in which storage groups should be processed during Primary Space Management
    - *Class Transition processing will move data from one storage group to another*
    - In order to help ensure that the 'receiving' storage groups have enough space for the data sets that will be moved to them, a new storage group **Processing Priority** is provided
      - These storage groups should be assigned a higher priority
    - Storage Groups will be processed in the order of their priority
      - A higher value means a higher priority
      - Valid values are 1-100
      - Default value is 50
- ✓ Only applies to Primary Space Management processing



# Storage Group Processing Priority



```

DRIVER [24 x 80]
File Edit View Communication Actions Window Help
Host: tucvmc6.storage.tucson.i Port: 23 LU Name: Disconnect
Panel Utilities Scroll Help

POOL STORAGE GROUP DEFINE Page 2 of 2

Command ==>
SCDS Name . . . . . : IBMUSER.TEMP.SCDS
Storage Group Name : SG1TEST

To DEFINE Storage Group, Specify:

Allocation/migration Threshold :      High      85      (1-100)  Low . . 1      (0-99)
Alloc/Migr Threshold Track-Managed:  High      85      (1-100)  Low . . 1      (0-99)
Guaranteed Backup Frequency . . . . . (1 to 9999 or NOLIMIT)
BreakPointValue . . . . . (0-65520 or blank)
Processing Priority . . . . . 50      (1-100)

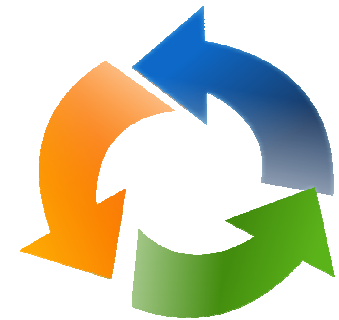
Use ENTER to Perform Selection; Use UP Command to View previous Page;

MA D 15/044
Connected to remote server/host tucvmc6.storage.tucson.ibm.com using port 23

```

# Invocation

- Once DFSMShsm determines that a data set has met the Class Transition criteria specified by the Management Class, it invokes the ACS routines to determine what the transition should be
  - ACS Routines are invoked with new ACS environment (&ACSENVIR) of SPMGCLTR, for 'space management class transition'
  - The following routines are invoked (*in this order*)
    - Storage Class
    - Management Class
    - Storage Group
  - Any or all can be *transitioned*
- ✓ If the classes and storage group returned match the existing classes and storage group, *then no transition occurs*



# Invocation

- **Storage Class**

- Storage Class indicates the '*preferred*' class of storage to which the data set should be allocated
- ★ If storage class changes, but storage group remains the same, *and a device matching the new storage class attributes cannot be selected*, the data set is *not* moved

```
IF &ACSENVIR = 'SPMGCLTR' THEN
  SELECT (&STORCLAS)
    WHEN ('SSD')      SET &STORCLAS = 'EASYTIER'
    OTHERWISE        SET &STORCLAS = &STORCLAS
END
ELSE ...
```

# Invocation

- **Management Class**

- When a new management class is assigned, DFSMShsm will begin using the newly assigned policies to manage the data set
- If only the management class changes, then the data set is altered to assign it to the new management class and *no data movement is performed*

- *Example*

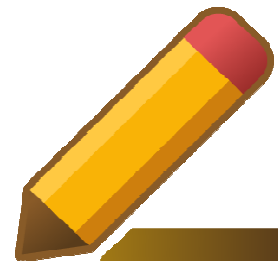
- Upon creation, a data set is assigned to a management class for which the data set is only eligible to migrate to ML1 (not ML2) and 2 backup copies are maintained
- After 120 days from creation, the data set is transitioned to a different management class for which the data set is eligible to migrate to ML2 and only 1 backup copy is maintained.

# Invocation

- Management Class

```
IF &ACSENVIR = 'SPMGCLTR' THEN
/* SPACE MANAGEMENT CLASS TRANSITION */

SELECT (&MGMTCLAS)
  WHEN ('NOML2') SET &MGMTCLAS = 'ML2OK'
  WHEN ('DB2NEW') SET &MGMTCLAS = 'DB2AGED'
  OTHERWISE      SET &MGMTCLAS = &MGMTCLAS
END
ELSE
...
```





# Invocation

- **Storage Group**
  - From 1 to 15 storage groups may be returned
    - It is the administrator's responsibility to ensure that a different storage group name provides a meaningful transition

```
IF &ACSENVIR = 'SPMGCLTR' THEN
  SELECT (&STORCLAS)
    WHEN ('SSD')          SET &STORGRP = 'ESYTIER0'
    WHEN ('EASYTIER') SET &STORGRP = 'ESYTIER1'
    OTHERWISE          SET &STORGRP = &STORGRP
  END
ELSE ...
```

# Invocation

- When DFSMShsm determines that a data set should be moved for a Class Transition, **DFSMSdss is invoked to perform a Logical COPY with DELETE**
  - ★ DFSMSdss is the full data mover
    - Unlike migrate/recall and backup/recover where DSS is only the half data mover
  - DFSMSdss handles Copy Technique and Exit processing
    - After the movement, the data set retains all existing attributes and can be immediately accessed
- The catalog is updated as a part of the movement
  - ★ No new DFSMShsm control data set records created for transitions
    - New FSR record type created for reporting purposes
      - *FSRTYPE = 24*
      - *New DFSMS Report Generator sample report provided*
  - DSR and VSR records are updated for DFSMShsm REPORT

# Invocation

- REPORT FUNCTION(TRANSITION) SYSOUT(A)
  - 'TRANSITION' is a new option

```
--DFSMSHSM STATISTICS REPORT ----- AT 08:05:07 ON 2011/09/13 FOR SYSTEM=2094
DAILY STATISTICS REPORT FOR 11/09/13
STARTUPS=000, SHUTDOWNS=000, ABENDS=000, WORK ELEMENTS PROCESSED=000005, BKUP VO
DATA SET MIGRATIONS BY VOLUME REQUEST= 0000000, DATA SET MIGRATIONS BY DATA SET
EXTENT REDUCTIONS= 0000000 RECALL MOUNTS AVOIDED= 00000 RECOVER MOUNTS AVOIDED=
DATA SET CLASS TRANSITION = 00000085 REQUESTED, 00000002 FAILED
```

HSM FUNCTION	NUMBER	-----READ-----	-----WRITTEN-----	-----REQUES
DATASETS	TRK/BLK	BYTES	TRK/BLK	BYTES
CLASS TRANSITION				
PRIMARY - PRIMARY	0000083	00053161	000632776K	00053161 000632776K 000085 00000

- New Report Generator Sample Report
  - ARCGS011 Statistics for Class Transitions

# Invocation

- A new field was created in the NVR/VVR called 'Last Successful Class Transition Date' (LSCTD)
  - When a data set is successfully transitioned, the LSCTD is...
    - set to zero when the management class was changed
    - or-
    - set to the current date if the management class was not changed
  - LSCTD is used by DFSMShsm to know when a data set has already been successfully transitioned
  - DFSMShsm will attempt to transition a data set if it has met the transition criteria *AND* the LSCTD is zero
  - Exception for PERIODIC transitions. Data set will transition if the last transition was before the specified period

# Invocation

- 'Last Successful Class Transition Date' (LSCTD) shown in LISTCAT output: *(when field is nonzero)*

```

NONVSAM ----- STORTIER.M01.S01.D01.N01.PSFB
IN-CAT --- STRTRFVT.USERCAT
HISTORY
  DATASET-OWNER----(NULL)  CREATION-----2012.001
  RELEASE-----2  EXPIRATION-----0000.000
  ACCOUNT-INFO------(NULL)
SMSDATA
  STORAGECLASS ---SCLASS22  MANAGEMENTCLASS-MCLASS01
  DATAClass -----DCLASS01  LBACKUP ---2012.001.0701
  LAST TRANSITION-2012.013
VOLUMES
  VOLSER-----LSMS12  DEVTYPE-----X'3010200F'
ASSOCIATIONS------(NULL)
ATTRIBUTES

```

- Field is also available via DCOLLECT

# Invocation

- Basic support was added to the **MD Exit** to prevent a data set from being processed
  - MD Exit will be referred to as the 'Space Management' Exit
  - New RC52 indicates that a data set should not be Transitioned or Migrated
    - Data set movement will be failed with ARC1245I RC92

# Invocation

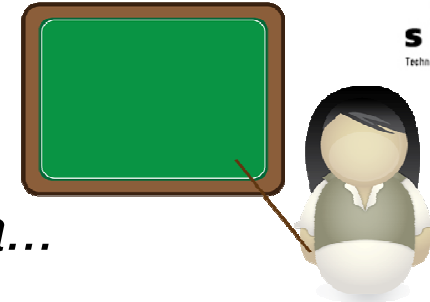
- RECALL Processing
  - When a data set is Recalled, DFSMSHsm will determine if a data set missed one or more transitions while the data set was migrated
    - If a transition was missed, then DFSMSHsm will invoke the ACS routines for each transition that was missed, to determine the appropriate management class, storage class and storage group to which the data set should be recalled
  - If a migrated data set was transitioned before migration, the DFSMSHsm will invoke the ACS routines with SPMGCLTR to ensure that the recall is to the correct device



# Invocation

- Since Class Transitions are a part of the existing space management function...
  - The same tasking level that controls data set migrations controls data set transitions
    - If you expect more work during an existing space management window, then you may consider increasing your tasking level
  - The same HOLD, RELEASE, CANCEL, etc commands for space management control Class Transition processing also
  - ARC0734I (standard space management message) issued for class transitions
    - Insert updated to show 'ACTION=CLASS-TR'
    - Indicates From volume, To volume, RC, RSC, etc





# Invocation

- *Thinking through processing DB2, CICS and zFS data...*
- Ensure that only DB2, CICS or zFS data sets are assigned to a management class with a Serialization Error Exit specified to one of these types
- It may be desirable to only perform Serialization Error Exit processing for these data set types during Primary Space Management (after hours processing)
  - Disable On-Demand Migration (ODM) and Interval Migration (IM) for these data set types
    - or -
  - Use new SETSYS *(described on next slide)* to indicate that ODM and IM should not perform Serialization Error Exit processing

# Invocation

SETSYS CLASSTRANSITIONS(EVENTDRIVENMIGRATION(Y|N SERIALIZATIONEXIT(Y|N)))

- This SETSYS indicates if Event Driven Migration (On-Demand Migration and Interval Migration) should process class transitions
  - The default value is 'Y'es
- If 'Y'es is specified (or defaulted), then SERIALIZATIONEXIT indicates if Serialization Error Exit processing should be performed
  - The default is 'N'o
  - This means that any open data sets will be skipped during ODM and IM if exclusive access cannot be obtained for data movement

- Aliases:

SETSYS CLTR(EDM(Y|N SERL(Y|N)))



# Invocation

- NaviQuest sample jobs and clists were modified to support the updates to management class and storage group settings in ISMF
  - This includes the following jobs/clists:
    - ACBJBAIO – MC list and report
    - ACBJBAIQ – MC report
    - ACBJBAIX - SG list and report
    - ACBJBAIZ - SG report
    - ACBJBAJ1 – MC define/alter/display
    - ACBJBAJ2 - SG define/alter/display

# Use Case

*Monthly customer statements are allocated on standard enterprise disk. After 45 days, the statements should remain online, but should be transitioned to Nearline disk in order to minimize the cost of storing the statements online.*

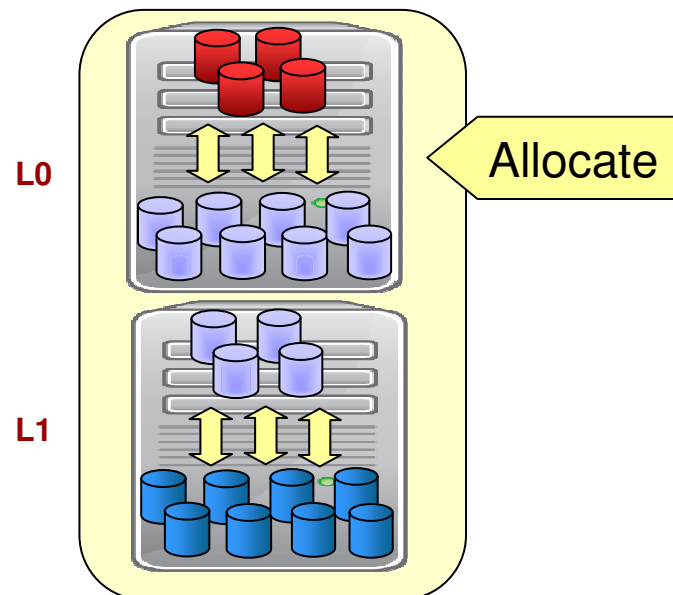
## Implementation Steps



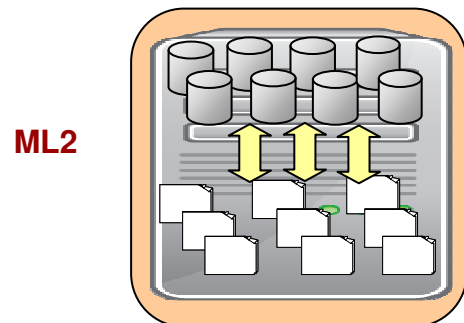
- Ensure one or more storage groups comprised of Nearline disk are setup/available to receive the transitioned statements
- Update the storage group ACS Routines with the appropriate class transition logic.
  - For example, when the ACS Environment is a class transition, if the management class is 'ONLNSTMT', then assign to a storage group comprised of Nearline disks.
- Update the management class to which these statements are assigned to have a class transition occur 45 days after creation
- During Space Management, DFSMSHsm will transition eligible statements from enterprise disk to Nearline
- Be Careful, don't transition too much data at once!

# Use Case

## Primary Storage Hierarchy



## Migration Hierarchy



## State 1 – Allocation

### Expected access

Random reads and writes  
with periods of high activity

### Storage Group (SGLEV0)

Storage Tiered devices  
High – SSD  
Low - Enterprise

### Management Class (ONLNSTMT)

No Migration

*Data will be active*

*Even if not, no migration*

Two Backup copies

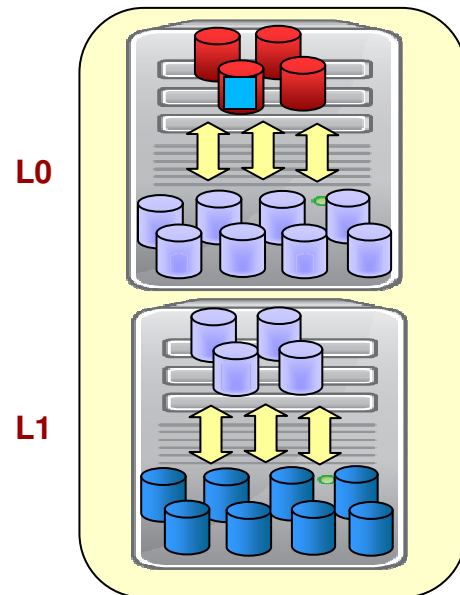
*Data is actively changing*

Transition after 45 days

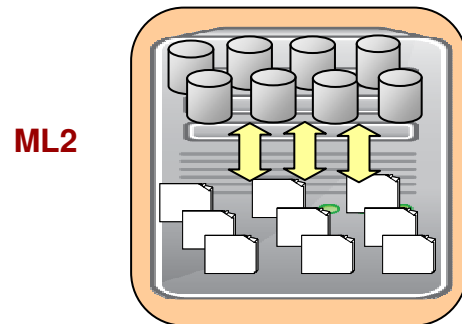
No Expiration

# Use Case

## Primary Storage Hierarchy



## Migration Hierarchy

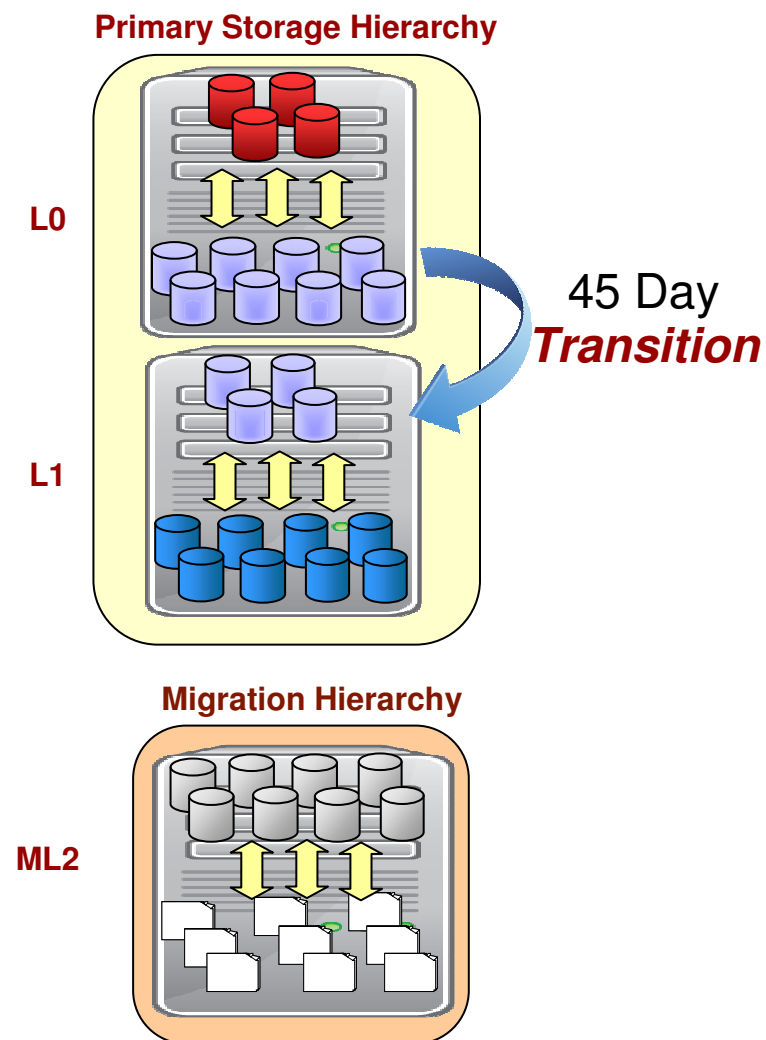


## State 2 – First 45 Days

Storage Controller moves the data between SSD and Enterprise based on the data's **heat map**

DFSMSHsm manages based on ONLNSTMT  
Two Backup Copies

# Use Case



## State 3 – 45 Day Transition

### Expected access

After 45 days, the data is expected to be Historical, instead of Active. No expected writes and mostly *sequential reads*.

### Storage Group (SGLEV1)

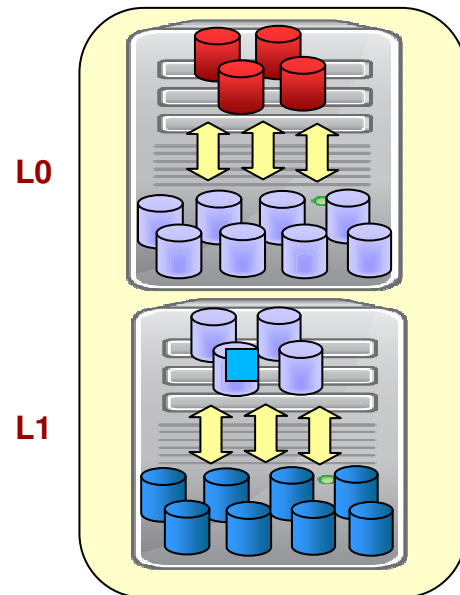
Storage Tiered devices  
High – Enterprise  
Low - Nearline

### Management Class (HISTSTMT)

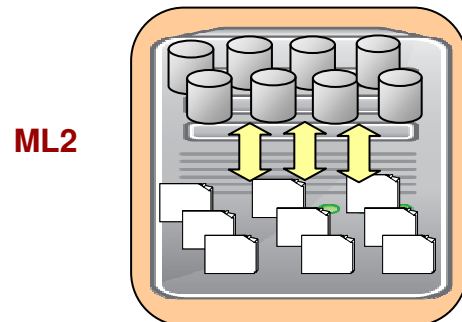
Migration  
Migrate after 21 days of inactivity  
Single Backup copy  
*Data is not changing*  
No further transitions  
Expire after 7 years

# Use Case

## Primary Storage Hierarchy



## Migration Hierarchy



## State 4 – The Golden Years

Storage Controller moves the data between Enterprise and Nearline based on the data's **heat map**

**DFSMShsm manages based on HISTSTMT**

Single Backup Copy

Migrate at 21 days inactive

*Storage Controller compresses the data and moves it from disk to tape*

Expire after 7 years



# A New Frontier in the Evolution of Space Management...

***Blast Off!***

Glenn Wilcock  
IBM

August 14, 2013  
Session 14135



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