



### Securing Your FTP Transmissions Session #11576

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February 5<sup>th</sup> 2013



### Agenda



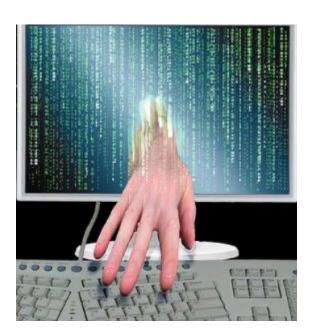
- FTP and Compliance
- FTP Basics
- Securing Your FTP Transmissions
- Summary





### SHARE

### Data Compromises Are On The Increase



- 855 incidents, 174 million compromised records in 2012
- 98% stemmed from external Agents (+6%)
- 4% implicated Internal Employees (-13%)
- < 1 % committed by Business partners (<>)
- 58% of all data theft tied to activist groups

Source: Verizon 2012 Data Breach Investigation Report



### **How Did these Breaches Occur?**



- 81% used some form of Hacking (+31%)
- 69% incorporated Malware (+20%)
- 10% involved physical attacks (-19%)
- 7% employed Social Tactics (-4%)
- 5% resulted from privilege misuse (-12%)

Source: Verizon 2012 Data Breach Investigation Report



### What Commonalties Exist?



- 79% of victims were targets of opportunity (-4%)
- 96% of attacks were not highly difficult (+4%)
- 94% of all data compromised involved servers (+18%)
- 85% of breaches took weeks or more to discover (+6%)
- 92% of incidents were discovered by a third party (+6%)
- 97% of breaches were avoidable through simple or intermediate controls (+1%)
- 96% of victims subject to PCI DSS had not achieved compliance (+7%)

Source: Verizon 2012 Data Breach Investigation Report

### **High Penalties**





- Hard Costs
- Loss of Trade Secrets
- Litigation and Liability
  - FISMA (Federal Information Security Management Act)
  - Sarbanes-Oxley Act
  - PCI
  - Data Protection Act (UK)
  - Company Policies and Practices
- Company Reputation
- Identity Theft





### Truth is ....

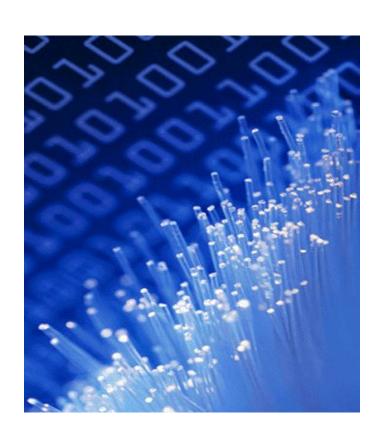


- The FTP technology is robust and has endured, but ...
- ...It fails to meet all requirements of the modern business enterprise
  - FTP needs a little help



### FTP is....





- Primary tool for moving data
- Unifies data transfer across today's business enterprise
- More data in more forms and locations than ever, and the need to share it only among authorized users
  - Mainframes and Servers
  - Desktop computers
  - Laptop computers
  - Wireless networks
  - Handheld devices





#### **FTP Now**

- Integrated part of daily operations
- Data transfer is automated and runs unattended



- Data moves throughout the global enterprise
- Timing is critical for data movement across the enterprise
- Operations staff must be sure that the job has been done correctly









- Security is one of the major opponents of FTP
- FTP over the Internet has security implications
- User name and Passwords can be transmitted in the clear
- Anyone along the FTP path can "sniff" the User Name and password
- Passwords can be used to gain access to systems

### Why is FTP so Vulnerable?



- Anyone with Read access, also has "Transfer Out" access
- Read Clear Text Exposure
  - Password interception
  - Eavesdropping
- Hijacking
  - "Man in the middle"
  - Connection "hijack"
  - Spyware
- Wireless Connectivity
  - Can open portal behind firewall







### **FTP Packet Trace Example**

ine	Length	Time (Agent Local)	Delta (Δ)	Local IP		Dir	Remote IP	Proto	Other Information
_ 4		10,20,05,010 (0100)2000)	001001100	10,17,0,1,21		$\vdash$	172,100,10,100,0100		364-5010150103 [MCV] MCV-3110303150 MIII-03333
3		13:25:39.867 (31Jul2008)	00:00.054	10.14.0.1:21		$\Rightarrow$	192.168.10.186:3165	TCP	Seq=3178565720 [ACK_PUSH] Ack=2010128189 Win=32768
4	40	13:25:40.103 (31Jul2008)	00:00.236	10.14.0.1:21		$\Leftarrow$	192.168.10.186:3165	TCP	Seq=2010128189 [ACK] Ack=3178565788 Win=65467
5	102	13:25:40.103 (31Jul2008)	00:00.000	10.14.0.1:21		$\Rightarrow$	192.168.10.186:3165	TCP	Seq=3178565788 [ACK_PUSH] Ack=2010128189 Win=32768
6	40	13:25:40.403 (31Jul2008)	00:00.300	10.14.0.1:21		$\leftarrow$	192.168.10.186:3165	TCP	Seq=2010128189 [ACK] Ack=3178565850 Win=65405
7	52	13:25:59.847 (31Jul2008)	00:19.444	10.14.0.1:21		$\leftarrow$	192.168.10.186:3165	TCP	Seq=2010128189 [ACK_PUSH] Ack=3178565850 Win=65405
8	67	13:25:59.851 (31Jul2008)	00:00.004	10.14.0.1:21		$\Rightarrow$	192.168.10.186:3165	TCP	Seq=3178565850 [ACK_PUSH] Ack=2010128201 Win=32756
9	40	13:26:00.105 (31Jul2008)	00:00.254	10.14.0.1:21		$\leftarrow$	192.168.10.186:3165	TCP	Seq=2010128201 [ACK] Ack=3178565877 Win=65378
10	53	13:26:03.253 (31Jul2008)	00:03.148	10.14.0.1:21		<b>(</b>	192.168.10.186:3165	TCP	Seq=2010128201 [ACK_PUSH] Ack=3178565877 Win=65378
11	65	13:26:03.392 (31Jul2008)	00:00.139	10.14.0.1:21		$\Rightarrow$	192.168.10.186:3165	TCP	Seq=3178565877 [ACK_PUSH] Ack=2010128214 Win=32755
12	40	13:26:03.661 (31Jul2008)	00:00.269	10.14.0.1:21		$\leftarrow$	192.168.10.186:3165	TCP	Seq=2010128214 [ACK] Ack=3178565902 Win=65353
	IP Header TCP	Header OSALNKR1			+0010	0a0 501	00035 d4134000 7d e0001 0c5d0015 77 8ff62 5e1b0000 50 16e0d 0a000000	d01f49	





### Passwords are in the **CLEAR**

108   13:25:39.867 (313ul2008)   00:00.054   10.14.0.1:21	ne		Time (Agent Local)	Delta	a (Δ) Local IP	1	Dir	Remote IP	Proto	Other Information
4	4			-	U.100 10.17.0.1	.21	_		TCF	
5	3		•	-			$\Rightarrow$			
6 40 13:25:40.403 (313ul2008) 00:00.300 10.14.0.1:21	4				0.236 10.14.0.1	:21	$\leftarrow$		TCP	
7 52 13:25:59.847 (31)ul2008) 00:19.444 10.14.0.1:21	5		•		0.000 10.14.0.1	:21	$\Rightarrow$		TCP	· · · · · · · · · · · · · · · · · · ·
8 67 13:25:59.851 (31)ul2008) 00:00.004 10.14.0.1:21	6		•		0.300   10.14.0.1	:21	$\leftarrow$	192.168.10.186:3165	TCP	
9 40 13:26:00.105 (313ul2008) 00:00.254 10.14.0.1:21	7			-	9.444   10.14.0.1	:21	$\Leftarrow$			
10 53 13:26:03.253 (31Jul2008) 00:03.148 10.14.0.1:21 ← 192.168.10.186:3165 TCP Seq=2010128201 [ACK PUSH] Ack=3178565877 Win=65378    11	8	67	13:25:59.851 (31Jul	2008) 00:00	0.004   10.14.0.1	:21	$\Rightarrow$	192.168.10.186:3165	TCP	Seq=3178565850 [ACK_PUSH] Ack=2010128201 Win=32756
10   12   2   2   2   2   2   2   2   2	9	40	13:26:00.105 (31Jul	2008) 00:00	0.254   10.14.0.1	:21	$\leftarrow$	192.168.10.186:3165	TCP	Seq=2010128201 [ACK] Ack=3178565877 Win=65378
Cocket for   Corp.   Corp.	10	53	13:26:03.253 (31Jul	2008) 00:03	3.148 10.14.0.1	:21	←	192.168.10.186:3165	TCP	Seq=2010128201 [ACK_PUSH] Ack=3178565877 Win=65378
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	ì						53	40040174		



### **Review of FTP Concepts**



- FTP Ports
  - Control port
  - Data transfer port
- FTP Types
  - Active versus Passive
  - Proxy
- FTP Modes for data
- FTP Exits
- FTP SMF Records
  - Record of activity





#### **FTP Well-Known Ports**



- Control Connection
  - Well-known port 21
  - Long-running connection
  - Transmits instructions
- Data Connection
  - Well-known port 20 for active FTP
  - Ephemeral port for passive FTP
  - One for each file transferred

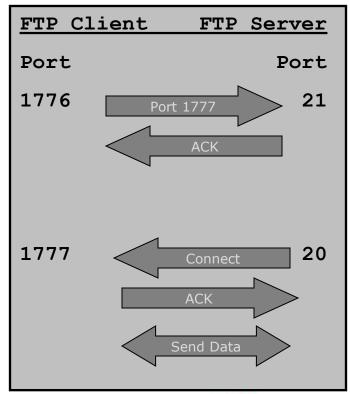






### FTP Types – Active, Passive, Proxy

- Active FTP
  - Client connects to server port 21
  - Client starts a listening port and sends command to FTP server
  - Server initiates data connection to the client
  - Server connects to client's data port from its local port 20

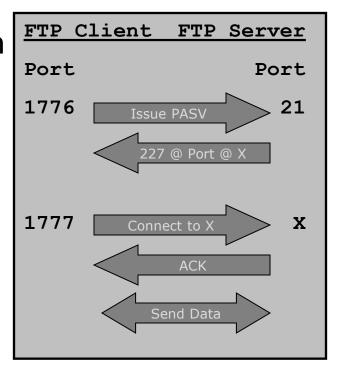




# FTP Types – Active, Passive, Proxy



- Passive FTP, Firewall Friendly
  - Client initiates data connection with server
  - Client first contacts server port 21
  - Client issues PASV command
  - Server opens new, random, data port; informs client (data port greater than 1024)
  - Client connects to new data port





# FTP Types – Active, Passive, Proxy



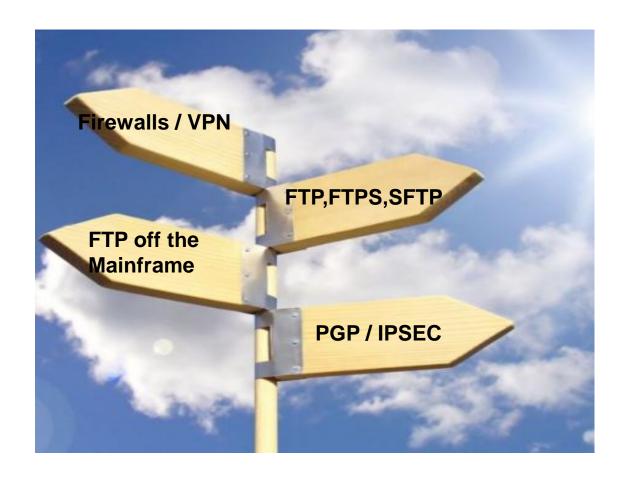
### Proxy FTP

- PROXY command allows an FTP subcommand to be issued on a secondary control connection
- FTP client connects simultaneously to two FTP servers
- Client can then initiate a data connection between the two servers
- Files are transferred between the servers on ephemeral ports



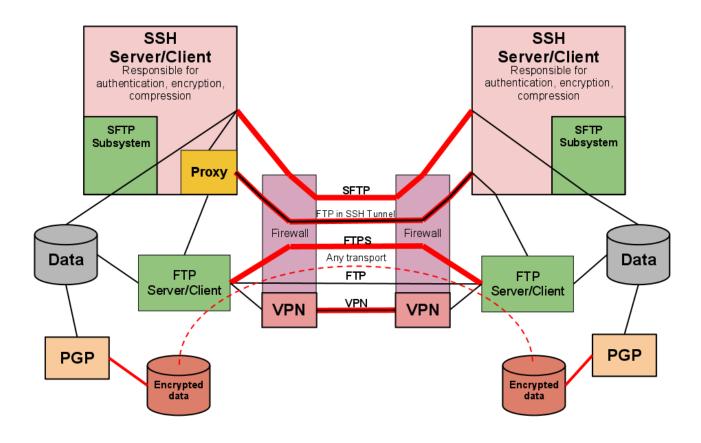
### **Securing your FTP Transmissions**





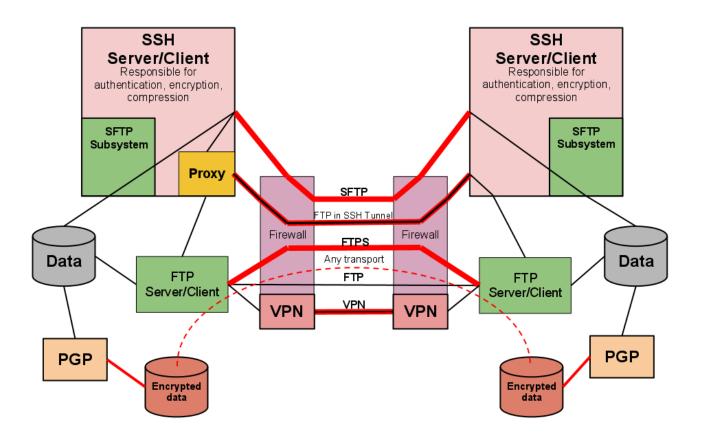








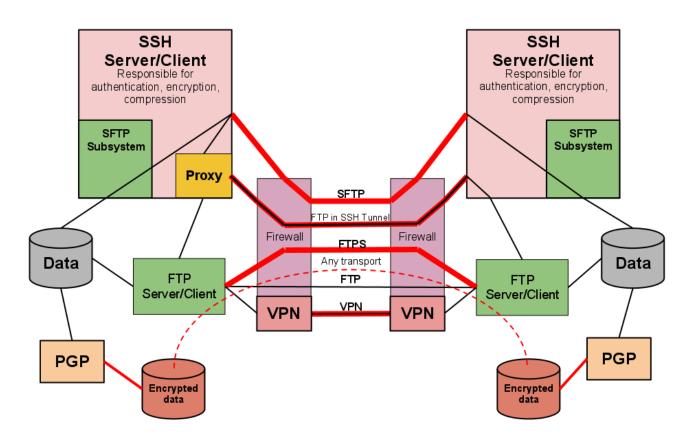




What are some alternatives



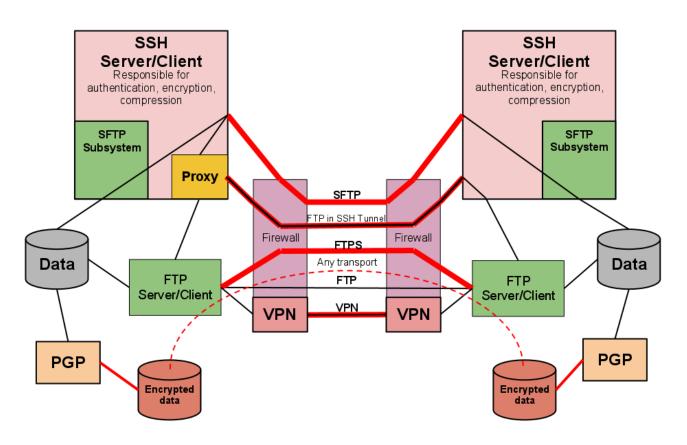




- What are some alternatives
- Why or why not use the methods and tools





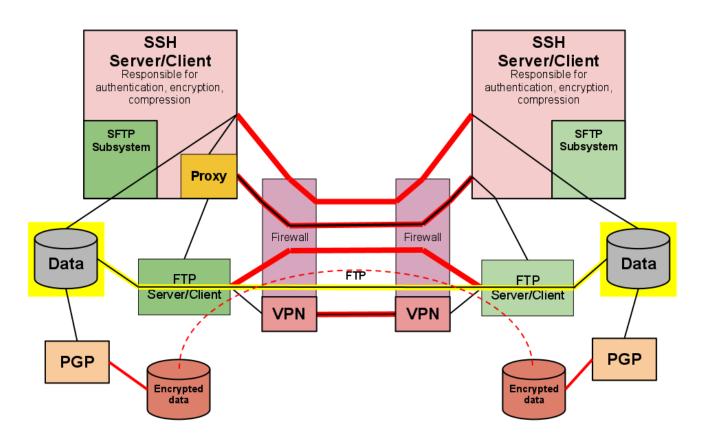


- What are some alternatives
- Why or why not use the methods and tools
- When is a good time to use the solution



### **FTP (File Transfer Protocol)**



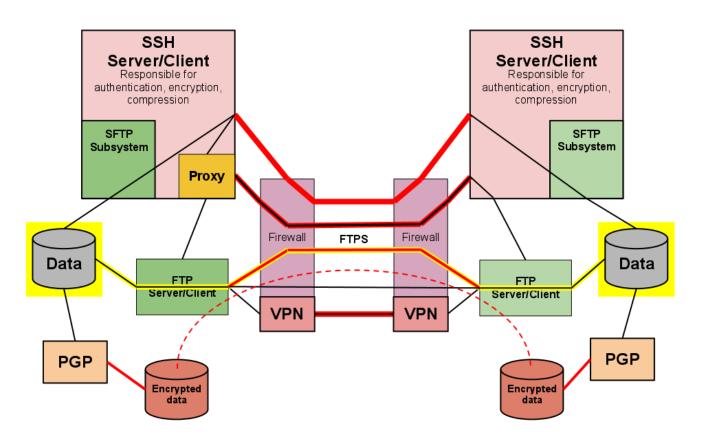


FTP



### FTPS (FTP over SSL)



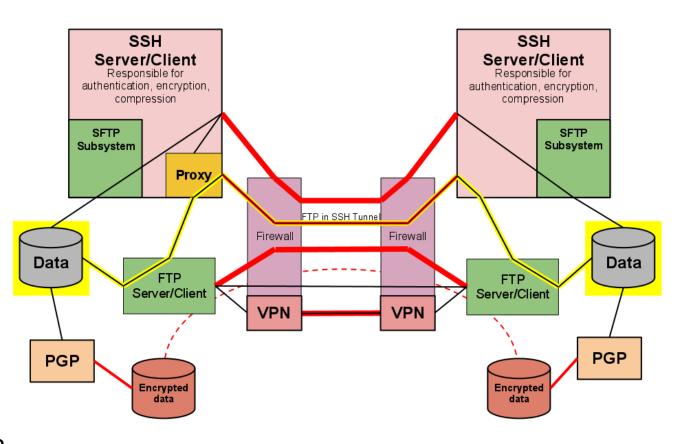


- FTP
- **FTPS**



### **FTP over SSH Tunnel**



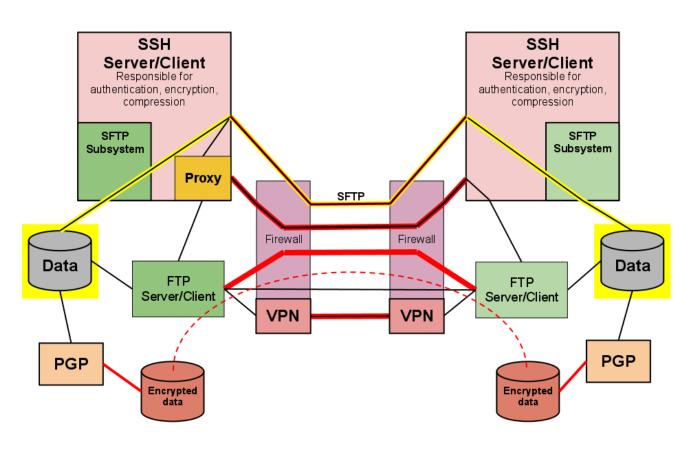


- FTP
- **FTPS**
- FTP over SSH Tunnel



### SFTP (SSH Secure FTP)





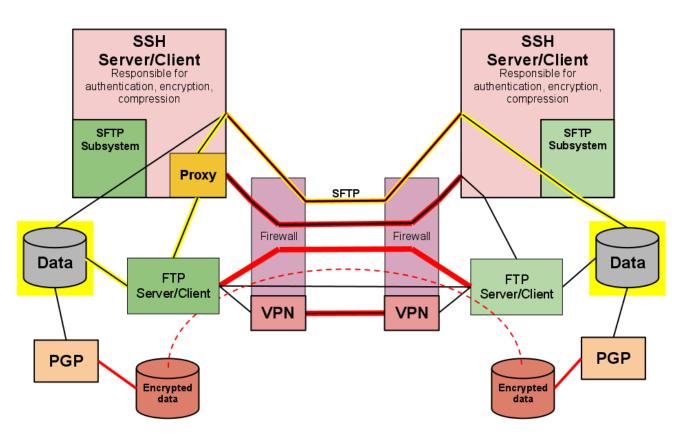
- FTP
- **FTPS**
- FTP over SSH Tunnel

SFTP



### FTP/SFTP Hybrid





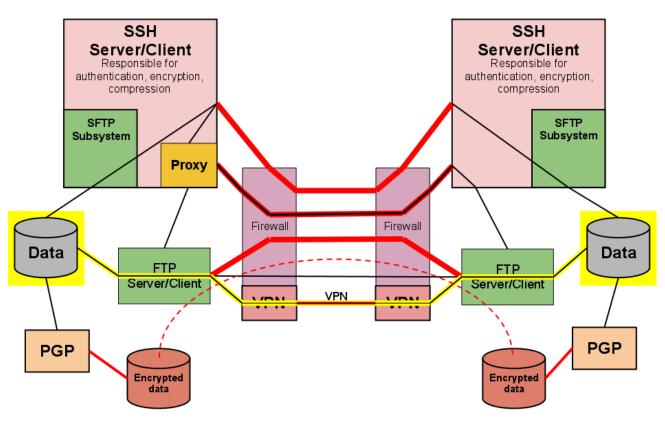
- FTP
- **FTPS**
- FTP over SSH Tunnel

- SFTP
- FTP to SFTP



### **VPN (Virtual Private Network)**





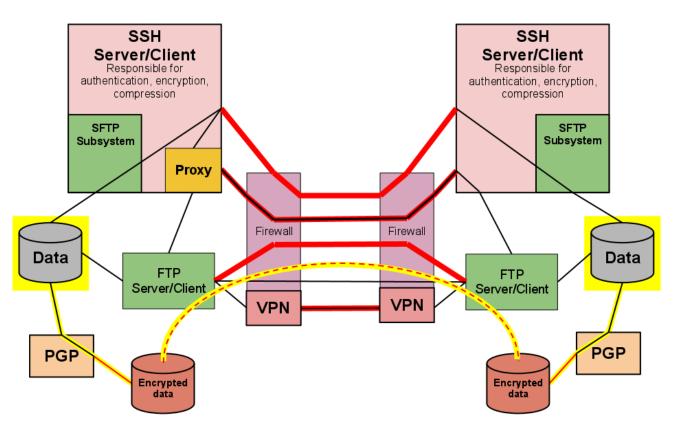
- FTP
- **FTPS**
- FTP over SSH Tunnel

- SFTP
- FTP to SFTP
- **VPN**



### **PGP** (Data at rest)



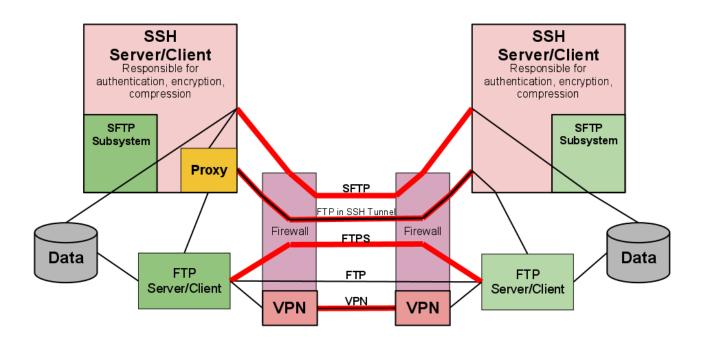


- FTP
- **FTPS**
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- SFTP
- FTP to SFTP
- **VPN**
- **PGP**





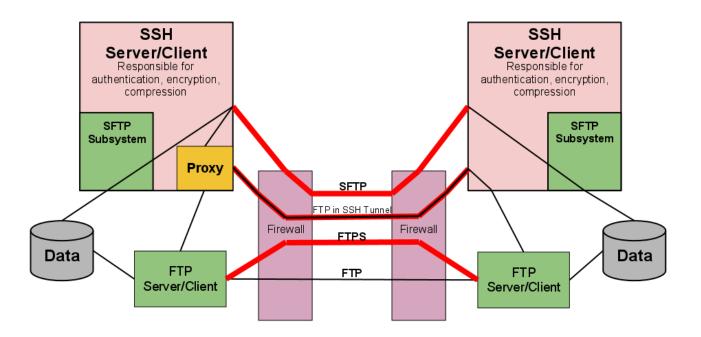


- FTP
- FTPS
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- SFTP
- FTP to SFTP
- VPN





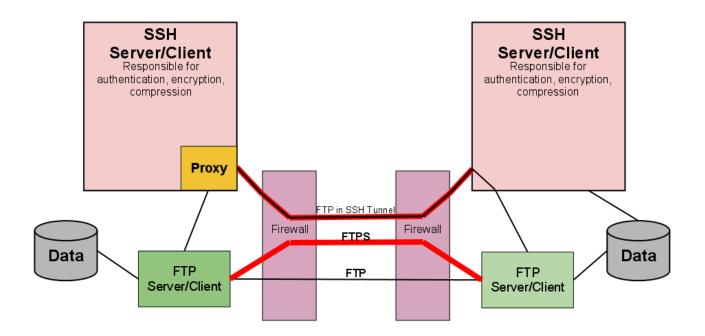


- FTP
- FTPS
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- SFTP
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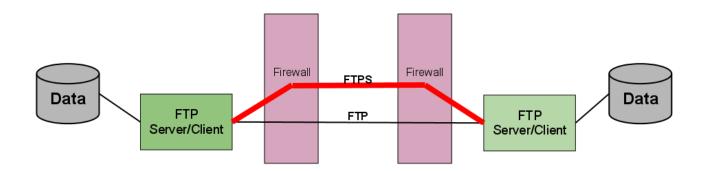




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- FTPS
- FTP over SSH Tunnel





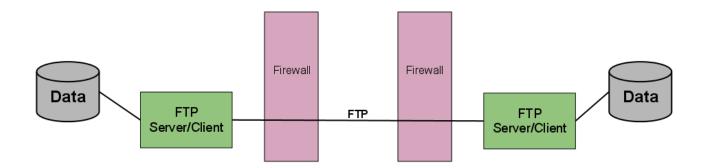


- FTP
- FTPS



### **FTP**



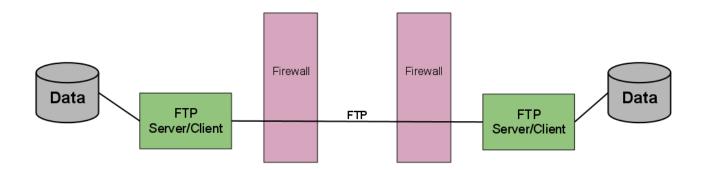


- Pros
  - Ubiquitous



### **FTP**

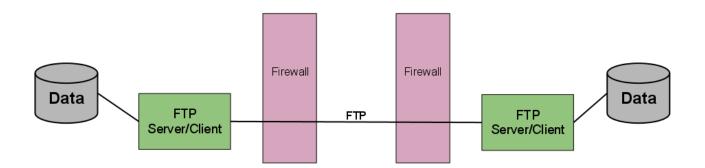




- Pros
  - Ubiquitous
  - Common knowledge





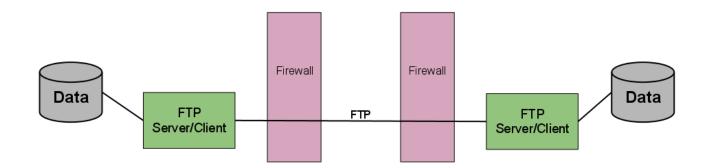


#### Pros

- Ubiquitous
- Common knowledge
- Included in base OS





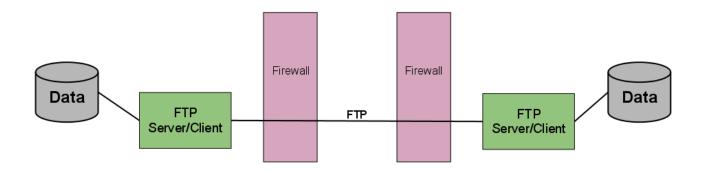


- Pros
  - Ubiquitous
  - Common knowledge
  - Included in base OS

- Cons
  - Very little security







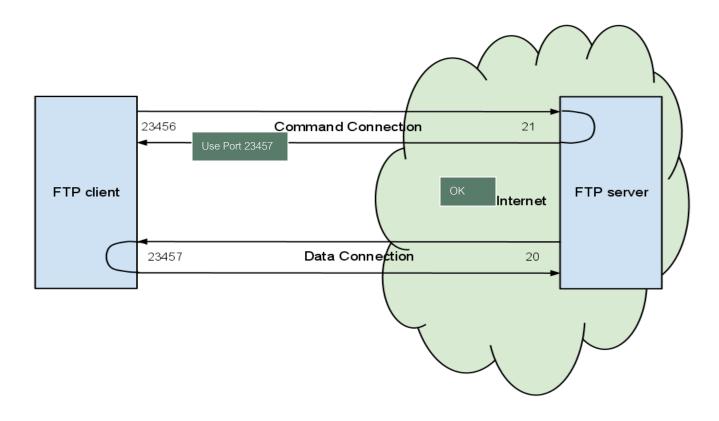
- Pros
  - Ubiquitous
  - Common knowledge
  - Included in base OS

- Cons
  - Very little security
  - Not firewall friendly



### **Active Firewall**



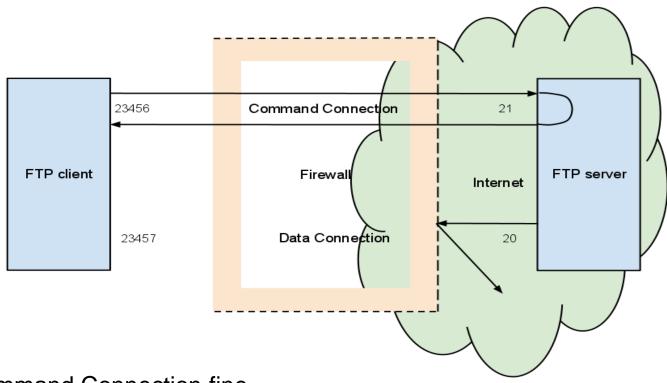


- Client requests a Connection to Server
- Server opens a Data Connection to transfer data to partners
- FTP protocol was designed around a trusting relationship





### **Active FTP with Firewall**

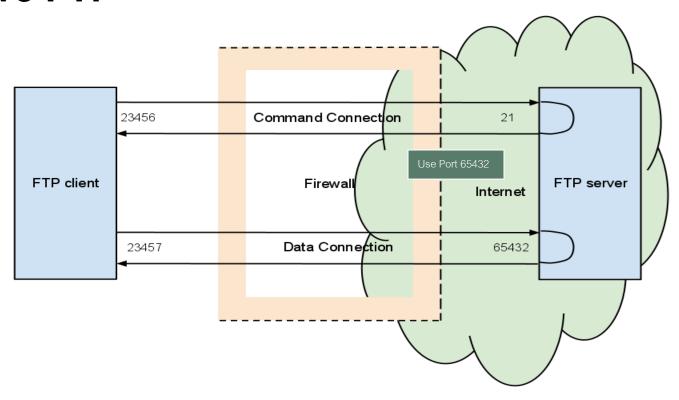


- **Command Connection fine**
- Firewall Blocks the new connection coming in from outside
- Passive Mode introduced





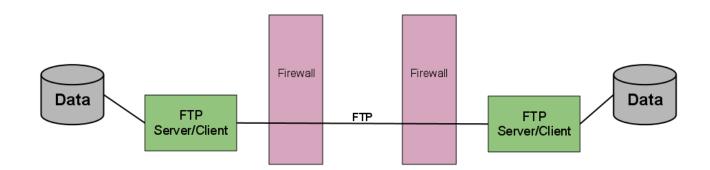
#### **Passive FTP**



- FTP Server configured to support Passive mode
- Server starts a listener on an ephemeral port
- Passes port number to client on Command Connection
- Ephemeral port numbers always not acceptable
- Sniff the Port number on Command Connection





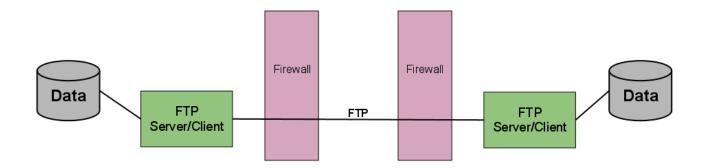


- Pros
  - Ubiquitous
  - Common knowledge
  - Included in base OS

- Cons
  - Very little security
  - Not firewall friendly
  - No native compression





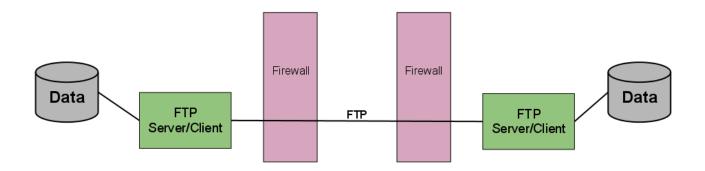


- Pros
  - •Ubiquitous
  - Common knowledge
  - Included in base OS

- Cons
  - Very little security
  - Not firewall friendly
  - No native compression
  - Lacks integrity validation



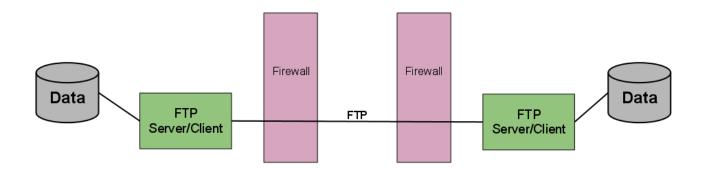




- Common uses
  - Public information



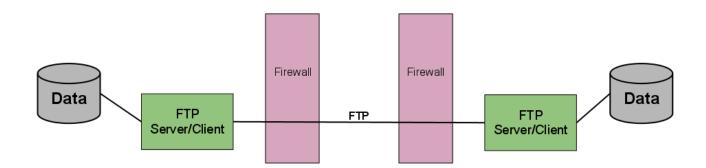




- Common uses
  - Public information
  - Intranet transfers (careful, not everyone on the intranet is safe)





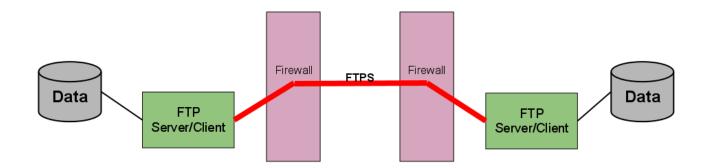


- Common uses
  - **Public information**
  - Intranet transfers (careful, not everyone on the intranet is safe)
  - Far too many things that should really use something better





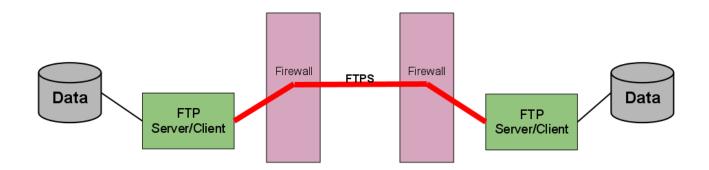




- Pros
  - Same FTP familiarity





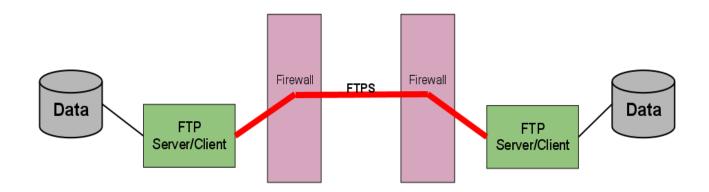


- Pros
  - Same FTP familiarity
  - Included in base z/OS









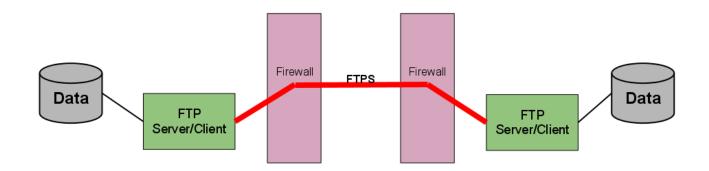
#### Pros

- Same FTP familiarity
- Included in base z/OS
- Supports X.509 certificates (trusted authority) and keberos







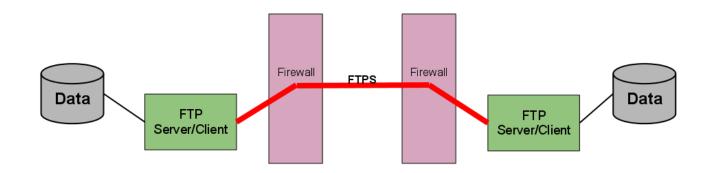


#### **Pros**

- Same FTP familiarity
- Included in base z/OS
- Supports X.509 certificates (trusted authority) and keberos
- RACF keyrings supported







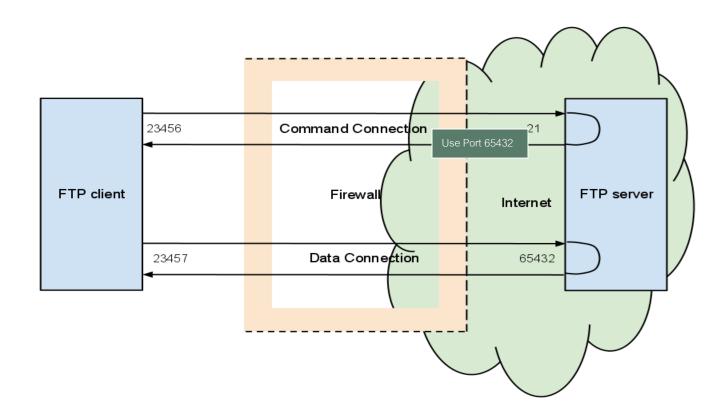
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  - Included in base z/OS
  - Supports X.509 certificates (trusted authority) and keberos
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- Cons
  - Not firewall friendly (even worse than straight FTP)



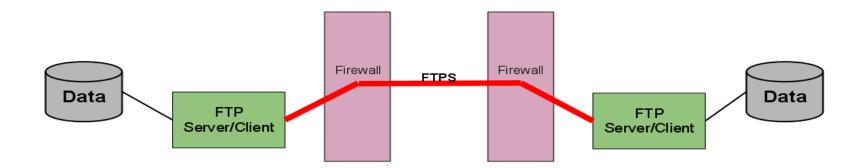


## **Passive FTP**









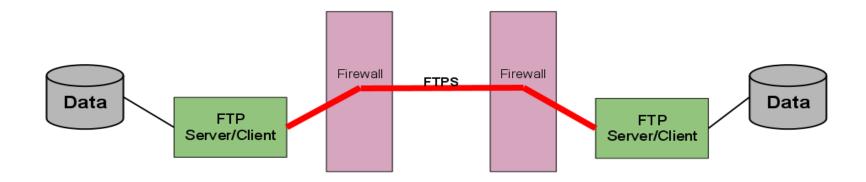
- Pros
  - Same FTP familiarity
  - Included in base z/OS
  - Supports X.509 certificates (trusted authority) and keberos
  - RACF keyrings supported

#### Cons

- Not firewall friendly (even worse than straight FTP)
- Can't assume it's available on the other end



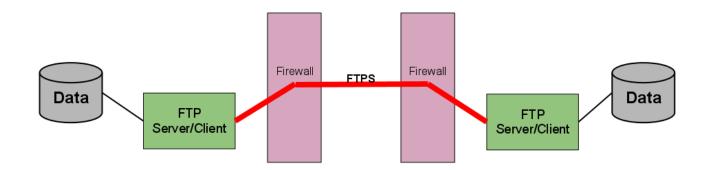




- Common Uses
  - z/OS to z/OS



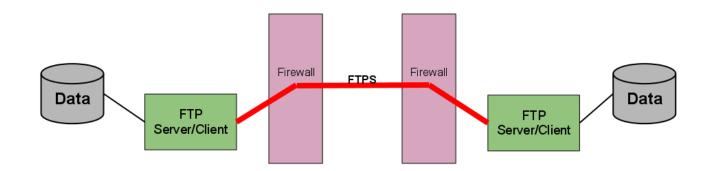




- Common Uses
  - z/OS to z/OS
  - z/OS to i/Series



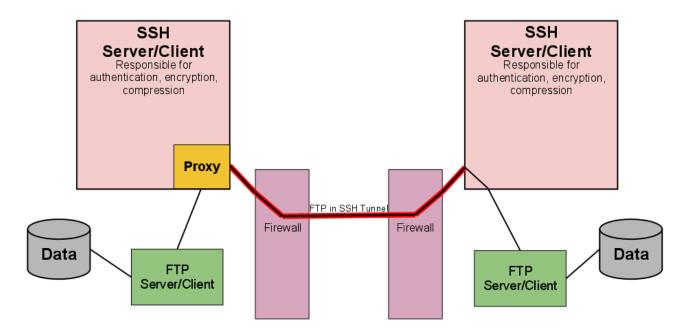




- Common Uses
  - z/OS to z/OS
  - z/OS to i/Series
  - Servers and clients available on platforms



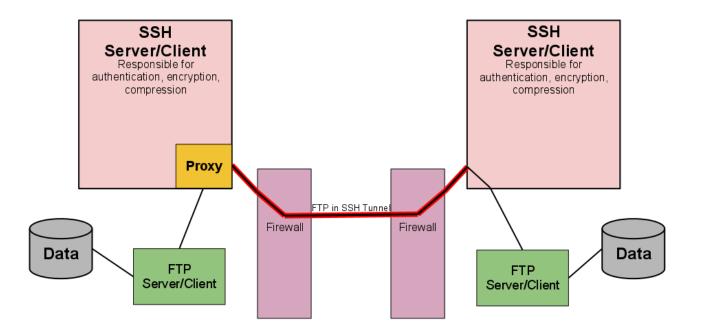




- Pros
  - Same FTP familiarity



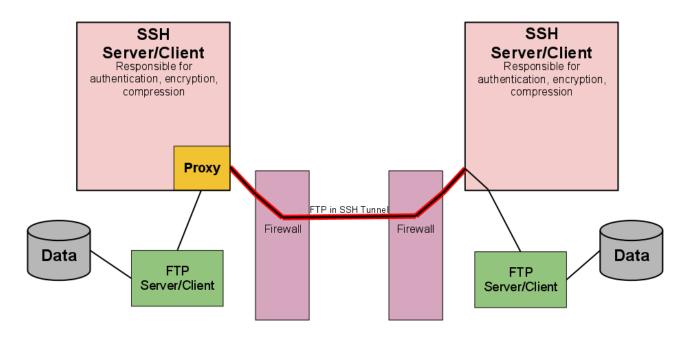




- Pros
  - Same FTP familiarity
  - Firewall friendly Port 22



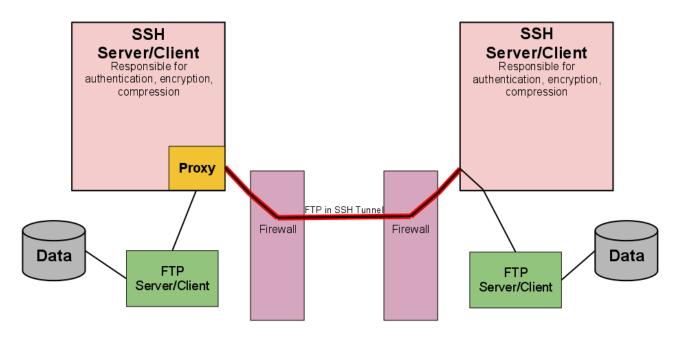




- Pros
  - Same FTP familiarity
  - Firewall friendly
  - Compression of data IFL's





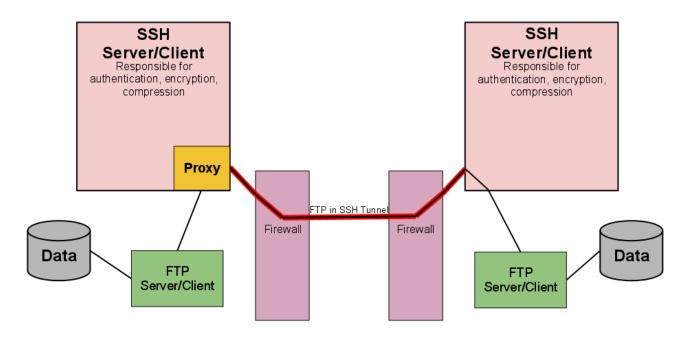


#### Pros

- Same FTP familiarity
- Firewall friendly
- Compression of data
- Good checksums of data, at least for the internet piece





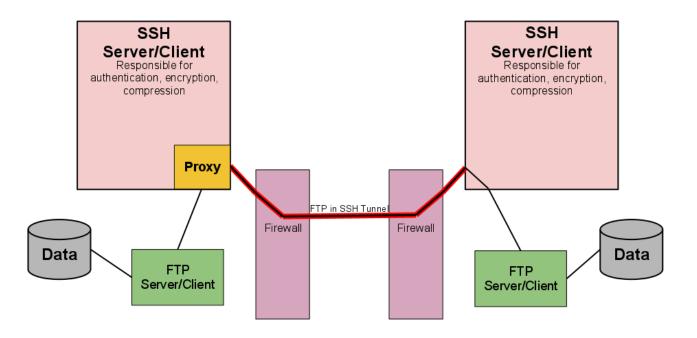


- Pros
  - Same FTP familiarity
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- Cons
  - More parts need to be choreographed





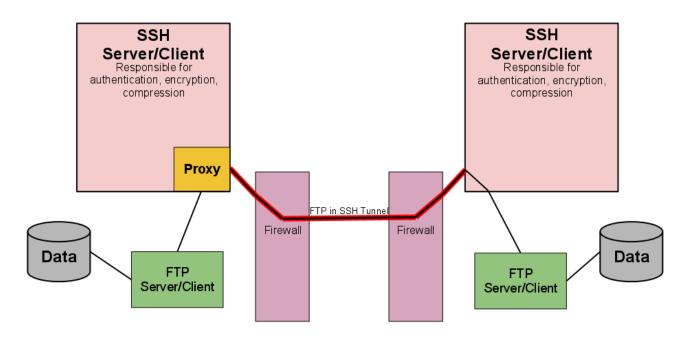


- Pros
  - Same FTP familiarity
  - Firewall friendly
  - Compression of data
  - Good checksums of data, at least for the internet piece

- Cons
  - More parts need to be choreographed
  - Requires SSH and FTP on both ends



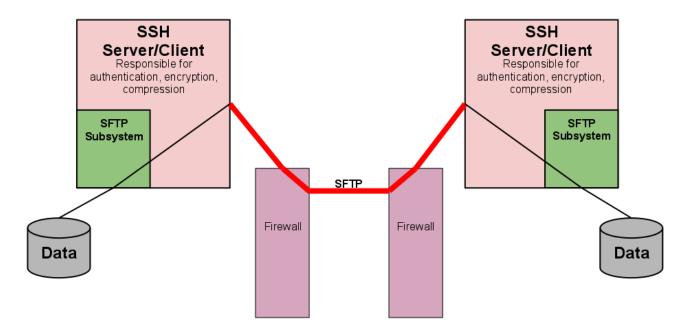




- Common uses
  - Sites that have a significant reliance on FTP already in place that need to implement SSH encryption for transit
  - Little modification to batch jobs





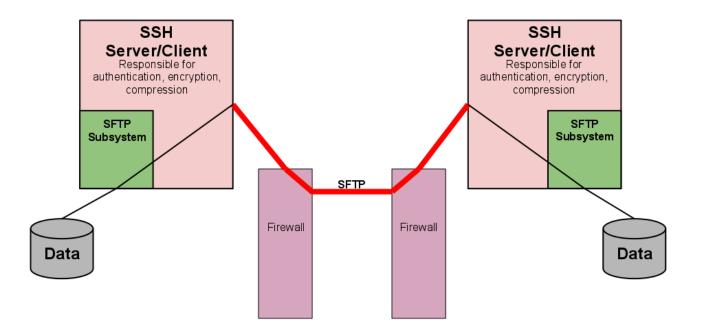


#### Pros

- Point to point encryption
- SFTP is not encryption engine
- SSH component responsible for Encryption (TCP traffic)
- SFTP is the "addon" makes file transfers convenient to run through SSH tunnel



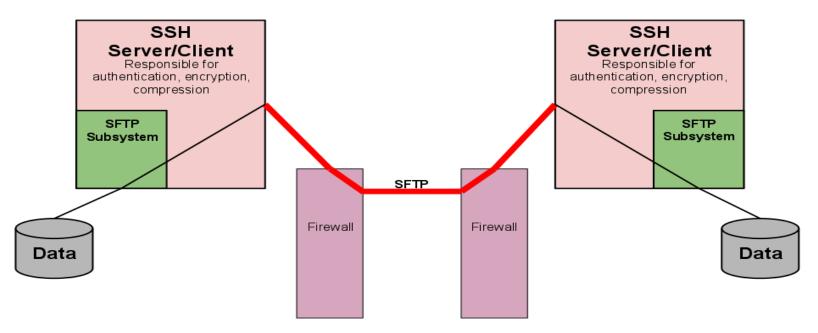




- Pros
  - Point to point encryption
  - Compression and Integrity built-in



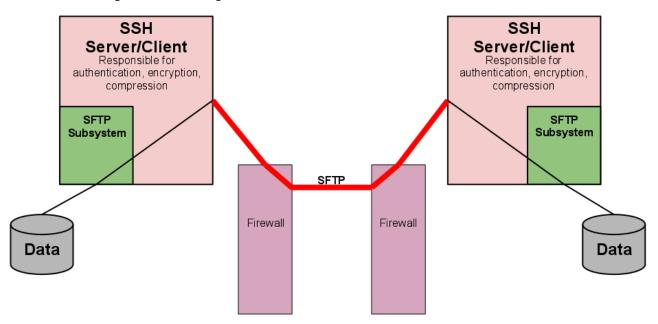




- Pros
  - Point to point encryption
  - Compression and Integrity built-in
  - Already ready to go on Unix/Linux servers - Semi Ubiquitous







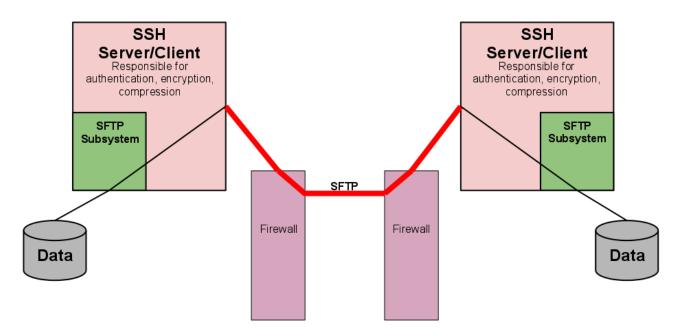
- Pros
  - Point to point encryption
  - Compression and Integrity built-in
  - Already ready to go on Unix/Linux servers

#### Cons

Not part of base on z/OS or Windows - Base SFTP has some limitations (EBCDIC to ASCII translation – MVS Datasets- JES Queue · · ·

• • in San Francisco



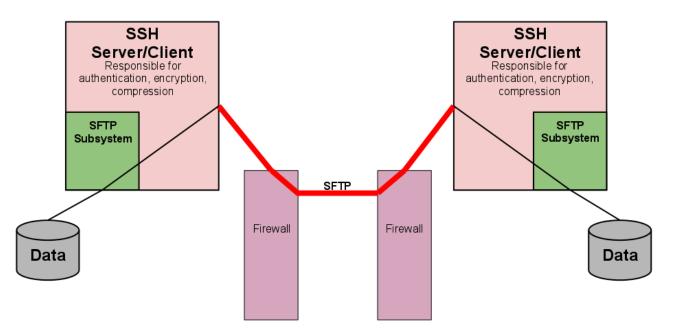


- Pros
  - Point to point encryption
  - Compression and Integrity built-in
  - Already ready to go on Unix/Linux servers

- Cons
  - Not part of base on z/OS or windows
  - May not be as familiar to users







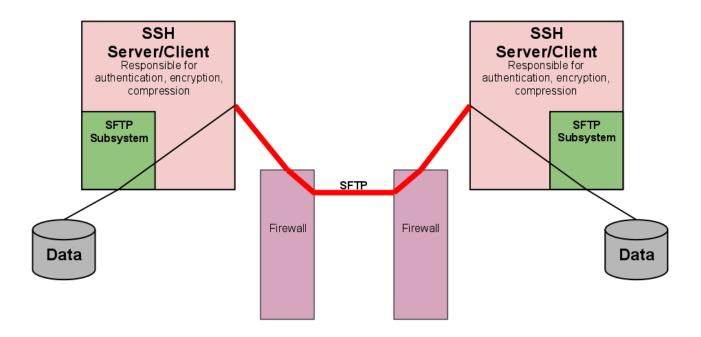
- Pros
  - Point to point encryption
  - Compression and Integrity built-in
  - Already ready to go on Unix/Linux servers

- Cons
  - Not part of base on z/OS or windows
  - May not be as familiar to users
  - Only protects data in transit







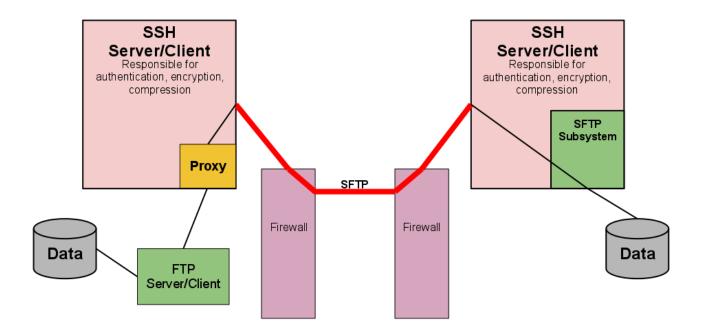


- Common uses
  - Easy access for distribution to Unix/Linux farms





## FTP to SFTP Conversion (Vendor Solution)



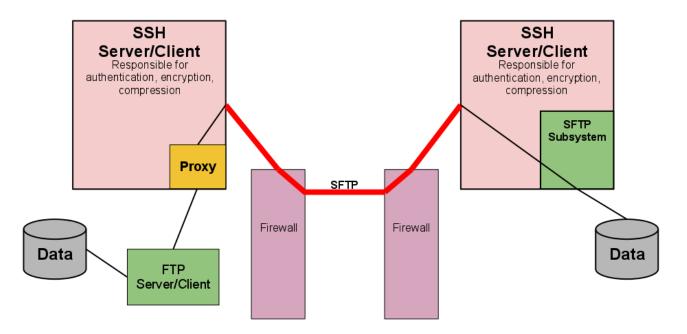
#### Pros

Satisfies SFTP requirement – Commands routed to Proxy and converted)





## FTP to SFTP Conversion (Vendor Solution)

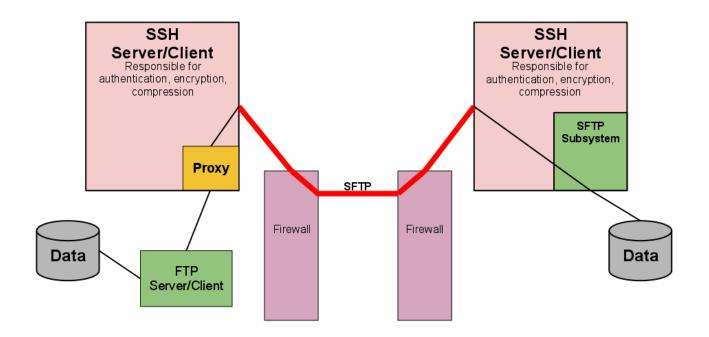


- Pros
  - Satisfies SFTP requirement
  - Can still use the FTP client on the z/OS side Configure FTP jobsteps to use Proxy





## FTP to SFTP Conversion (Vendor Solution)



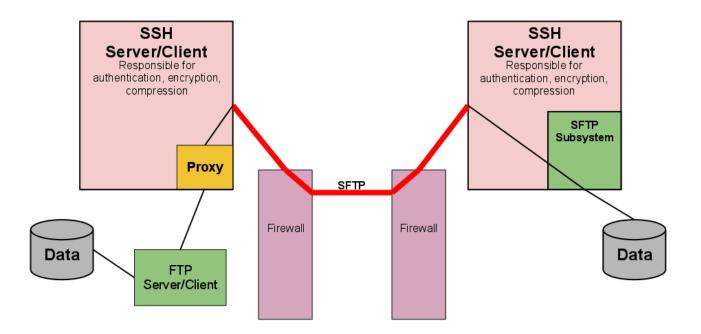
- Pros
  - Satisfies SFTP requirement
  - Can still use the FTP client on the z/OS side

- Cons
  - FTP / SFTP not a perfect match of functions





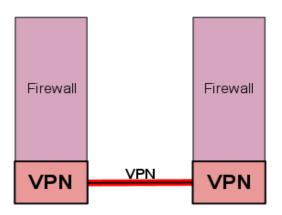
## FTP to SFTP Conversion (Vendor Solution)



- Common uses
  - Leveraging FTP already in place, but transitioning it to your SFTP knowledgeable partners





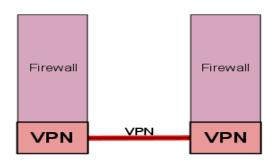


- Pros
  - Network to Network encryption (everything covered)





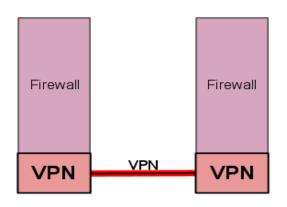




- Pros
  - Network to Network encryption (everything covered)
  - Some integrity built-in



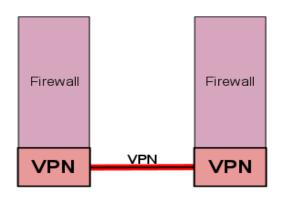




- Pros
  - Network to Network encryption (everything covered)
  - Some integrity built-in
  - Compression might be included





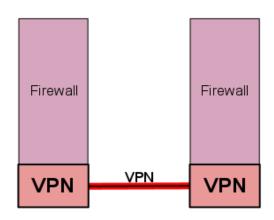


#### Pros

- Network to Network encryption (everything covered)
- Some integrity built-in
- Compression might be included
- Transparent to the applications





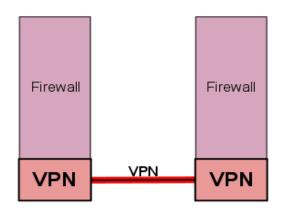


- Pros
  - Network to Network encryption (everything covered)
  - Some integrity built-in
  - Compression might be included
  - Transparent to the applications

- Cons
  - More complex to set up





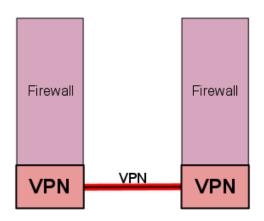


- Pros
  - Network to Network encryption (everything covered)
  - Some integrity built-in
  - Compression might be included
  - Transparent to the applications

- Cons
  - More complex to set up
  - Intranet traffic is unprotected





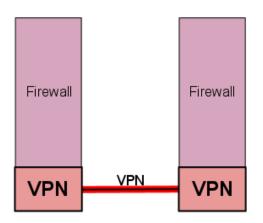


- Pros
  - Network to Network encryption (everything covered)
  - Some integrity built-in
  - Compression might be included
  - Transparent to the applications

- Cons
  - More complex to set up
  - Intranet traffic is unprotected
  - Usually managed by another group



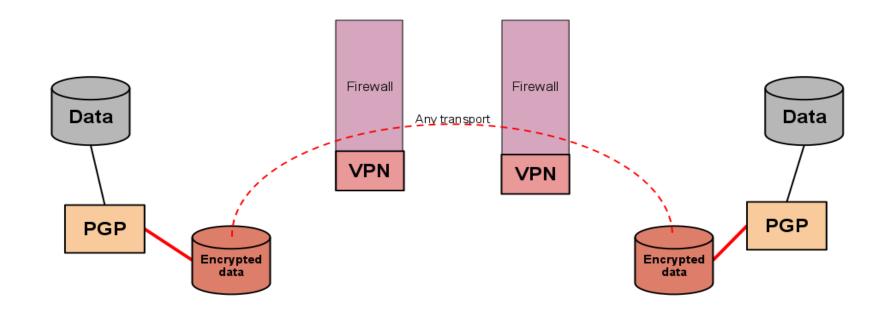




- Common uses
  - Trusted partner networks



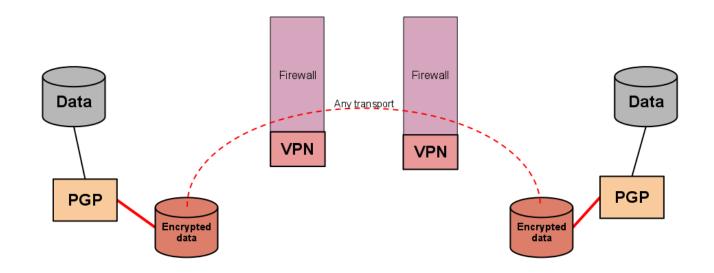




- Pros
  - Full control of sensitive data



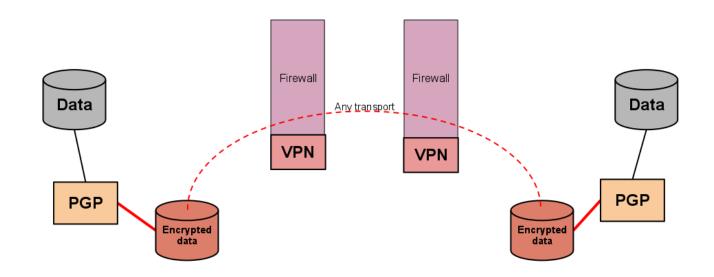




- Pros
  - Full control of sensitive data
  - Transport is not important





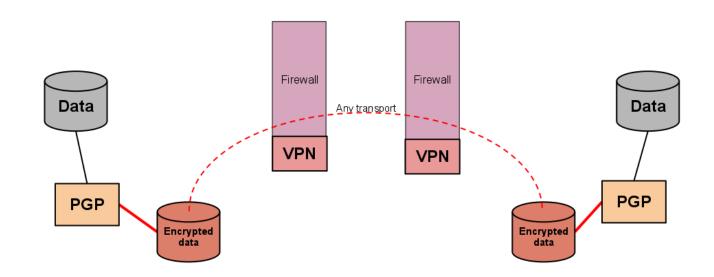


#### Pros

- Full control of sensitive data
- Transport is not important
- Compression and Integrity





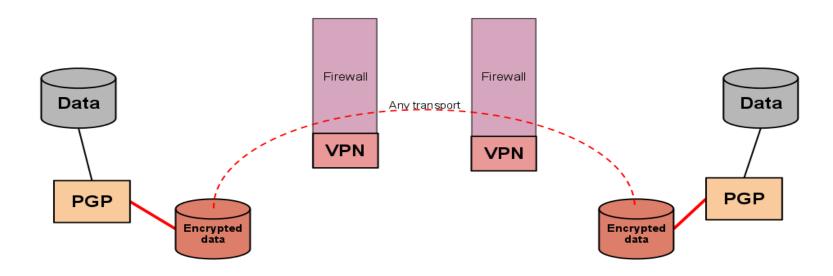


#### Pros

- Full control of sensitive data
- Transport is not important
- Compression and Integrity
  - Not just for transfers







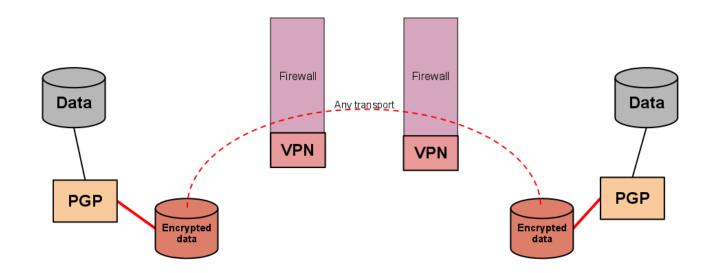
- Pros
  - Full control of sensitive data
  - Transport is not important
  - Compression and Integrity

#### Cons

Requires staging of data





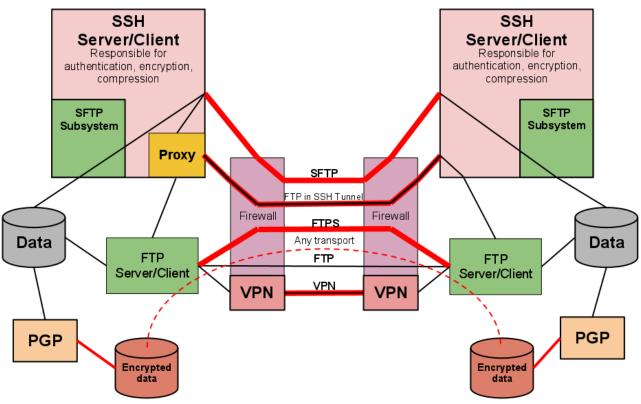


- Common uses
  - Sensitive data that needs protection at destination as well as in transit





# FTP – All The Options



- Common uses
  - Mixed requirements unfortunately, one size rarely fits all properly



# **Summary**



- What is that you wish to accomplish?
- Evaluate each solution and determine which solution/s is best for your company
- Implement one / or more solutions
- Regular Audits to make sure your compliant





# **Thank You**

