Welcome to this SHARE presentation on z/OS soft failure detection, avoidance and diagnosis.

The goal of this presentation is to show how many parts of the z/OS-based product stack work together to detect, avoid and diagnose Soft Failures.
Up to now, much of what you have heard about soft failure detection has been in the context of Predictive Failure Analysis (PFA).

PFA does a great job predicting problems related to “soft failures”, such as growth in resource usage and surfacing rapid error indicators representative of damaged system environments.

However, Soft Failure detection goes beyond PFA … soft failures have been, and continue to be, detected by base system components; many as a result of problems identified by customers.

Furthermore, many types of soft failures can be avoided by running health checks on a regular basis.

**IBM has actually been working on this problem for a long time, just didn’t recognize the unifying theme until recently**

PFA covers situations where it is difficult for a component to understand its impact to the system, and where there is no simple component fix.

And Runtime Diagnostics provides an efficient way to diagnose component issues that may result from soft failures, leading to a system that seems “sick but not dead”.

This presentation puts these different types of error detection in perspective and shows that IBM has an integrated solution approach where all of the solution elements work together to allow you to identify and react to these types of issues before they impact your system and cause an outage.
What is a soft failure?

“Your systems don’t break. They just stop working and we don’t know why.”

“Sick, but not dead” or Soft failures

You can’t put your finger on a specific symptom; the system looks alive, but performing poorly.

There are three general categories of software detected system failures: masked failure, hard failure, and failure caused by abnormal behavior. A masked failure is a software detected system failure which is detected by the software and corrected by the software. A hard failure is when the software fails completely, quickly and cleanly. For example, a hard failure occurs when an operating system kills a process.

A system failure caused by abnormal behavior is defined as unexpected, unusual, or abnormal behavior which causes the software solution to not provide the service requested. This abnormal behavior of the software combined with events that usually do not generate failures produce secondary effects that may eventually result in a system failure. These types of failures are known as soft failures.

Customers have told us that these soft failures are a small percentage of the problems when compared to masked failures and hard failures, but they cause most of the business impact.

They are hard to diagnose due to the fact that the failure likely does not occur in the address space causing the problem, but more likely occurs in another address space. This sympathy sickness has been observed when either hard failures or abnormal behavior generates a system failure which could not be isolated to a failing component or subcomponent. Failures caused by abnormal behavior often generate sympathy sickness where the problem escalates from a minor problem to the point that the service eventually stops working. Because they are difficult to detect, are very unique, can be triggered anywhere in either software or hardware, and occur infrequently, failure isolation is very difficult.

Hard failures are deterministic in nature. However, a failure caused by soft failures is difficult to recognize within the component and are probabilistic and depend on secondary effects to cause observable damage.

Soft failures tend to manifest themselves in different ways. Configuration issues often appear as:

- Single points of failure
- Cache structures too small
- Log stream thresholds
- Sufficient space for root file system
- Not enabling newer features

Symptoms of a Soft Failure

- 80% of business impact, but only about 20% of the problems
- Long duration
- Infrequent
- Unique
- Any area of software or hardware
- Cause creeping failures
- Hard to determine how to isolate
- Hard to determine how to recover
- Hard for software to detect internally
- Probabilistic, not deterministic

Manifested as

- Stalled / hung processes
- Single system, sysplex members
- Sympathy Sickness
- Resource Contention
- Storage growth
- CF, CDS growth
- I/O issues (channel paths, response time)
- Repetitive errors
- Queue growth
- Configuration
  - SPOF, thresholds, cache structure size, not enabling new features
This chart shows the collection of components that work together to deliver a solution focused on detecting, avoiding & diagnosing soft failures. We'll discuss each area in sections of this presentation.

- z/OS components
- Health Checks
- PFA & RTD
- Systems management products

**PFA is built into the operating system.** It is looking for a small number of generic events that could cause a soft failure. It is not looking for events or soft failures in specific address spaces unless they could cause a system crash or hang. PFA is operating system centric in that it works on z/OS. It learns the behavior of the individual behavior and creates predictions for that behavior. It detects soft failures by using complex algorithms imbedded in the component to compare the model behavior for that particular system to the current behavior. PFA is built using remote health check support and provides the information for the soft failure via IBM Health Checker for z/OS which issues the exception to the console (if so configured) as well as the exception and the report data to the health check output in SDSF. From the messages provided by PFA via the health checker support to the console, other products can be used to further analyze the situation.

When PFA detects a system or job with low resource usage, it invokes RTD on behalf of that system or job to determine whether there are factors that could be causing a problem.

In addition, the installation can invoke RTD directly via operator command. In either case, the output of PFA & RTD can be automated on to take further action. In addition, most z/OS management products offer performance analysis and various forms of resource management.

Later in this presentation, we discuss a set of Tivoli systems management products offered by IBM.

The OMEGAMON XE for Management Console will see all health check alerts including the PFA ones. You can build a situation that will alert you if a PFA check is raised and forward that event to other Tivoli event management products (like OMNIBUS or Tivoli Event Console). You can also use Runtime Diagnostics to further analyze PFA results. Runtime Diagnostics provides detailed analysis either the entire system or address space looking for soft failures. It uses lists of messages identified by specific components to review critical messages in the jolog. It also stores information about enqueues to analyze contention, evaluates local lock conditions, and queries a job that has a task in a TCB loop.

Runtime Diagnostics is designed to be used whenever the help desk or operations reports a problem on the system. You should use Runtime Diagnostics to get ready before calling service. Runtime Diagnostics should also be used to help identify the address space causing a PFA exception. PFA identifies a list of potential villains. Runtime Diagnostics can be used to further analyze that address space to detect if it is causing a real problem and to identify what action to take to resolve the problem.

Runtime Diagnostics and Omegamon XE provide additional lower level details than are provided by PFA. The documentation for Runtime Diagnostics as well as PFA can be found in the z/OS Problem Management guide. Starting with z/OS 1.13, PFA calls Runtime Diagnostics to verify abnormally low conditions.

Using customer policy, TSA (via Netview) can detect that a health checker message for PFA exceptions were issued and drive actions.
Some general considerations ...

- The key to reducing the impact of soft failures is
  - Avoid them using z/OS Health Checker
  - Enable system checking where possible
  - Automate alerts
    - Display, take action

- z/OS can survive / recover from most soft failures
  - Take advantage of what the base operating system has to offer
    - Soft failure detection across many z/OS components
    - Start Health Checker, PFA, RTD (R13) at IPL (e.g., COMMNDxx)

The key to reducing the impact of soft failures is
- Avoid them using health checker
- Enable system checking where possible
- Alerts can be acted upon. You can display them, automate on them and take action to address the detected problem(s)

It is true that z/OS can survive or recover from many forms of soft failures, as demonstrated by the different types of component checking.
- But you need to take advantage of what the base operating system has to offer; this requires enabling some function parameters, and starting health checker, PFA and RTD during the IPL, such as in the COMMNDxx parmlib member

Most metrics that are used to detect soft failures are very time sensitive, especially when predicting activity based on averages sampled over time.

Predictive trend analysis is not intended to find immediate problems that will bring down a system on a machine-time scale, as the sampling minimum is 1 minute ... 15 minutes for some checks. With some checks (like the ENQ request rate), default collection and comparison every minute; so we could detect something within 2 minutes.
Detection of Soft Failures by z/OS Components

- z/OS attempts to detect soft failures as close to the source as possible
  - Uses the least amount of resources
  - Requires the smallest amount of the stack to do detection
- Detection of a soft failure requires ability to identify when something is wrong
  - Thresholds set by the installation
- In general, components try to avoid soft failures
  - “Throttles” may be used to manage internal requests
- Examples follow …

Intro to Component detection of Soft Failures
Several examples of component soft failure detection follow on the next set of charts

*Whenever possible, components try to avoid soft failures!*
# Component Examples: Detection, Identification of soft failures ... Single system

<table>
<thead>
<tr>
<th>Component</th>
<th>Features</th>
<th>Functions</th>
</tr>
</thead>
<tbody>
<tr>
<td>GRS</td>
<td>Enhanced contention analysis for ENQ, Latch</td>
<td>Identify Blocker/Waiter, Deadly embraces, Job name, Creator ASID</td>
</tr>
<tr>
<td></td>
<td>GRS Latch identity string</td>
<td>Associate name with Latch number</td>
</tr>
<tr>
<td></td>
<td>WLM management of blocking units</td>
<td>Prevent deadlocks caused by starvation</td>
</tr>
<tr>
<td></td>
<td>GRS ENF 51</td>
<td>Prevent exhaustion of common storage resulting from GRSQSCAN processing</td>
</tr>
<tr>
<td>UNIX System</td>
<td>Latch identity exploitation</td>
<td>Explanations for latch usage on D GRS</td>
</tr>
<tr>
<td>Services</td>
<td>XCF communication improvements (R13)</td>
<td>Detected lost messages in sysplex, via message ordering</td>
</tr>
<tr>
<td></td>
<td>System Limits</td>
<td>Checks for buildup of processes, pages of shared storage (process &amp; system level)</td>
</tr>
<tr>
<td></td>
<td>D OMVS, WAITERS to diagnose file system latch contention (enhanced R13: file latch activity)</td>
<td>Identifies holders, waiters, latches, file device numbers, file inode numbers, latch set identifiers, file names, and owning file systems</td>
</tr>
<tr>
<td>JES2</td>
<td>JES2 Monitor</td>
<td>Assists in determining why JES2 is not responding to requests &quot;Monitor&quot; msgs issued for conditions that can seriously impact JES2 performance</td>
</tr>
</tbody>
</table>

Details in backup section
## Component Examples: Detection, Identification, recovery of soft failures ... Single system

<table>
<thead>
<tr>
<th>Component</th>
<th>Features</th>
<th>Functions</th>
</tr>
</thead>
<tbody>
<tr>
<td>IOS</td>
<td>Missing Interrupt Handler</td>
<td>Detect incomplete I/O operations, within a policy driven time period (device, CU, fabric); recover, FFDC</td>
</tr>
<tr>
<td></td>
<td>Identify systems sharing a reserve</td>
<td>Identify partner system sharing device D U.VOL= ... D GRS,DEV= ...</td>
</tr>
<tr>
<td></td>
<td>Captured UCB protection</td>
<td>Prevent accidental overlays of real UCBs in SQA by Legacy applications</td>
</tr>
<tr>
<td></td>
<td>I/O timing facility</td>
<td>Abnormally end I/O requests exceeding I/O timing limits for device; Hyperswap devices as well</td>
</tr>
<tr>
<td></td>
<td>Detect &amp; remove “Flapping Links”</td>
<td>Improved channel recovery (hardware)</td>
</tr>
<tr>
<td></td>
<td>Dynamic Channel Path Management</td>
<td>WLM dynamically move channel paths from one CU to another, in response to workload changes</td>
</tr>
<tr>
<td>DFSMS</td>
<td>CAS contention detection</td>
<td>Identify, terminate service tasks beyond a monitored wait time</td>
</tr>
<tr>
<td></td>
<td>VSAM RLS index traps</td>
<td>Checks the structure of all index CIs before writing them to DASD</td>
</tr>
<tr>
<td></td>
<td>Media manager</td>
<td>Recover channel program error retry from I/O errors, using a lower level protocol</td>
</tr>
</tbody>
</table>

Details in backup section
### Component Examples: Detection of soft failures ... Sysplex

<table>
<thead>
<tr>
<th>Component</th>
<th>Features</th>
<th>Functions</th>
</tr>
</thead>
<tbody>
<tr>
<td>XCF / XES</td>
<td>Stalled member support</td>
<td>Identify unresponsive system, restore to normal operation OR remove it to avoid sympathy sickness</td>
</tr>
<tr>
<td></td>
<td>Exploitation of BCPIi to determine dead system more quickly</td>
<td>Avoid waiting the Failure Detection Interval (FDI) if the system is truly dead ... detect &amp; reset failed system, eliminate data corruption, avoid sympathy sickness.</td>
</tr>
<tr>
<td></td>
<td>Sysplex Failure Management, scenarios</td>
<td>Not updating status, Not sending signals (ISOLATETIME(0): Fencing initiated n seconds after FDI exceeded) System updating status, not sending signals (Loss of connectivity: CONNFAIL(YES): remove systems with low weights) System Not Updating Status, But IS Sending Signals (SSUMLIMIT(900) ... length of time system can remain not updating heartbeat (semi-sick), but sending signals Sysplex Member Stalled (MEMSTALLTIME ... break out of an XCF signaling jam by removing the largest build-up) Take action when connector does not respond, avoiding user hangs (CFSTRHANGTIME) (R12)</td>
</tr>
<tr>
<td></td>
<td>Critical Member support; GRS exploitation (R12)</td>
<td>If a critical member is “impaired” for long enough, XCF will eventually terminate the member; GRS: remove system</td>
</tr>
</tbody>
</table>

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Next we’ll discuss Health checks, hosted by the z/OS Health Checker
Role of health checker is to avoid subtle configuration error from resulting in Soft Failures

IBM Health Checker for z/OS
Soft Failure Avoidance

• Health checker’s role is to keep subtle configuration errors from resulting in Soft Failures
  • Performance
  • System effects
  • Check configuration for best practices
  • Single points of failure for log structures, data sets, CDS
  • Storage utilization, running out of resources
  • How many ASIDs do I have left? LXs? When will I run out?
  • Whether DAE is inactive
  • VSAM RLS latch contention, CF Cache size, CDS SPOF, etc.
  • System Logger structure usage
  • I/O timing, protection
  • …

• Also used to emit PFA alerts
  • Warnings of detected soft failures

• 187 z/OS Health Checks in z/OS R13
# Health Checker: Soft Failure avoidance

## Important examples

<table>
<thead>
<tr>
<th>Component</th>
<th>Health Check</th>
<th>Functions</th>
</tr>
</thead>
<tbody>
<tr>
<td>XCF</td>
<td>XCF_CDS_SPOF</td>
<td>Evaluates primary &amp; secondary CDS configuration to determine if Sysprog inadvertently created a single point of failure.</td>
</tr>
<tr>
<td></td>
<td>XCF_SFM_SUM_ACTION</td>
<td>Checks ISOLATETIME value, to allow SFM to fence and partition a system without operator intervention and without undue delay.</td>
</tr>
<tr>
<td></td>
<td>XCF_SFM_SUMLIMIT</td>
<td>Checks status update missing (SUMLIMIT) value</td>
</tr>
<tr>
<td></td>
<td>XCF_SFM_ACTIVE</td>
<td>Verifies SFM active, policy values</td>
</tr>
<tr>
<td></td>
<td>XCF_SFM_CFSTRHANGTIME</td>
<td>Verifies CFSTRUCTURE hang time</td>
</tr>
<tr>
<td></td>
<td>XCF_SFM_CONNFAIL</td>
<td>Threshold for loss of connectivity</td>
</tr>
<tr>
<td>RACF</td>
<td>RACF_GRS_RNL</td>
<td>Evaluates whether the RACF ENQ names are in a GRSRL list: system exclusion resource name list (SERNL) or the system inclusion resource name list (SIRNL).</td>
</tr>
</tbody>
</table>

*Details in backup section*
# Health Checker: Soft Failure avoidance examples

<table>
<thead>
<tr>
<th>Component</th>
<th>Health Check</th>
<th>Functions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Serviceability</td>
<td>DAE_SUPPRESSING</td>
<td>DAE suppresses duplicate SVC dumps so that system resources (processor cycles and dump space) are not used for a dump which provides little or no additional diagnostic value</td>
</tr>
<tr>
<td></td>
<td>SVA_AUTOIPL_DEFINED</td>
<td>Check whether Program-Directed IPL and not GDPS, and whether AUTOIPL policy is active</td>
</tr>
<tr>
<td></td>
<td>SVA_AUTOIPL_DEV_VALIDATION</td>
<td>Validates SADMP, MVS IPL devices</td>
</tr>
<tr>
<td>UNIX System Services</td>
<td>USS_PARMLIB</td>
<td>Validate current system against parmlib IPL’d with Remind you to update parmlib (due to dynamic changes)</td>
</tr>
<tr>
<td></td>
<td>USS_CLIENT_MOUNTS</td>
<td>With Sysplex, some file systems accessed locally, some of function shipped to the File system owner. Some are accessed locally, but are configured to function ship</td>
</tr>
<tr>
<td></td>
<td>USS_FILESYS_CONFIG</td>
<td>Checks if mount attribute access is read only: whether HFS’s in Sysplex root</td>
</tr>
</tbody>
</table>

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## Health Checker: Soft Failure avoidance examples

<table>
<thead>
<tr>
<th>Component</th>
<th>Health Check</th>
<th>Functions</th>
</tr>
</thead>
<tbody>
<tr>
<td>IOS</td>
<td>IOS_CAPTUCB_PROTECT</td>
<td>UCB capture protection is enabled, allowing UCBs to be temporarily copied to 24-bit storage for legacy software access</td>
</tr>
<tr>
<td></td>
<td>IOS_CMRTIME_MONITOR</td>
<td>Detects if any control units in the system are reporting inconsistent average initial command response (CMR) time (round trip delay) for their attached channel paths. Exception issued when a CU has a path with highest avg CMR time greater than a threshold/ratio</td>
</tr>
<tr>
<td>System Logger</td>
<td>IXLOGR_STRUCTUREFULL</td>
<td>Primary structure full; need to offload</td>
</tr>
<tr>
<td></td>
<td>IXLOGR_ENTRYTHRESHOLD</td>
<td>High number of entries in element pools</td>
</tr>
<tr>
<td></td>
<td>IXLOGR_STAGINGDSFULL</td>
<td>Full staging data space</td>
</tr>
</tbody>
</table>

*Details in backup section*
### z/OS Health Check: Example Categories

<table>
<thead>
<tr>
<th>Category</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>Detect Single points of failure</td>
<td>VSAMRLS_SINGLE_POINT_FAILURE (SHCDS data sets)</td>
</tr>
<tr>
<td></td>
<td>XCF_CDS_SPOF (XCF Couple Data Sets)</td>
</tr>
<tr>
<td></td>
<td>XCF_CF_CONNECTIVITY (CF links, SPOF)</td>
</tr>
<tr>
<td>Security</td>
<td>RACF_GRS_RNL (for RACF datasets)</td>
</tr>
<tr>
<td></td>
<td>SDSF_CLASS_SDSF_ACTIVE (SDSF settings)</td>
</tr>
<tr>
<td>Address space checks</td>
<td>IEA_ASIDS (number of ASIDs remaining)</td>
</tr>
<tr>
<td></td>
<td>IEA_LXS (number of LX’s remaining)</td>
</tr>
<tr>
<td></td>
<td>SUP_LCCA_ABOVE_16M</td>
</tr>
<tr>
<td>GRS</td>
<td>GRS_MODE (system configured in STAR mode)</td>
</tr>
<tr>
<td></td>
<td>GRS_SYNCHRES (GRS synchronous reserve processing enabled)</td>
</tr>
<tr>
<td></td>
<td>GRS_CONVERT_RESERVES (reserves converted to ENQs)</td>
</tr>
<tr>
<td>I/O</td>
<td>IOS_CAPTUCB_PROTECT</td>
</tr>
<tr>
<td></td>
<td>IOS_CMRTIME_MONITOR (Check for inconsistent average initial command response (CMR))</td>
</tr>
<tr>
<td></td>
<td>IOS_MIDAW (MIDAW enabled)</td>
</tr>
</tbody>
</table>

z/OS Health Checks categorized by types of areas they examine
z/OS Health Check: *Example Categories*

<table>
<thead>
<tr>
<th>Category</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>Optimal component settings</td>
<td>ALLOC_.* (Allocation)</td>
</tr>
<tr>
<td></td>
<td>CNZ_.* (Consoles)</td>
</tr>
<tr>
<td></td>
<td>CSRES (Comm Server), CSTCP_.* (TCP/IP)</td>
</tr>
<tr>
<td></td>
<td>SDSF_.*, ...</td>
</tr>
<tr>
<td>Sysplex configuration</td>
<td>XCF_.*</td>
</tr>
<tr>
<td></td>
<td>XCF_CF_.*</td>
</tr>
<tr>
<td></td>
<td>CSTCB_.*</td>
</tr>
<tr>
<td></td>
<td>RRS_.*</td>
</tr>
<tr>
<td></td>
<td>IXGLOGR_.*</td>
</tr>
<tr>
<td></td>
<td>VSAMRLS_.*</td>
</tr>
<tr>
<td></td>
<td>XCF_SFM_.*</td>
</tr>
<tr>
<td></td>
<td>CNZ_.*</td>
</tr>
<tr>
<td></td>
<td>Etc.</td>
</tr>
</tbody>
</table>

z/OS Health Checks categorized by types of areas they examine
### z/OS Health Check: *Example Categories*

<table>
<thead>
<tr>
<th>Category</th>
<th>Examples</th>
</tr>
</thead>
</table>
| Serviceability (Dump, Trace options) | SDUMP_AVAILABLE  
SDUMP_AUTO_ALLOCATION (auto-alloc SDUMP data sets)  
CSTCP_SYSTCPIP_CTRACE (CTRACE active, options)  
CSVTAM_VIT_SIZE (VTAM Internal Trace table size)  
CSVTAM_VIT_DSPSIZE (VTAM Internal Trace)  
SVA_AUTO IPL DEFINED  
SVA_AUTO IPL_DEV VALIDATION  
DAE_SHARED SN  
DAE_SUPPRESSING |
| Buffer sizes, storage limits       | CSTCP_TC MAXRCVBUFSIZE  
CSVTAM CSM_STG LIMIT  
VSAMRLS_CFCACHE_MINIMUM_SIZE  
XCF_MAXMSG_NUMBUF_RATIO  
RSM_MEMLIMIT  
RSM_MAXCADS  
RSM_AFQ  
RSM_REAL  
RSM_RSU  
VSM_* |
### z/OS Health Check: Example Categories

<table>
<thead>
<tr>
<th>Category</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hardware</td>
<td>SUP_HIPERDISPATCH (Verify Hiperdispatch enabled)</td>
</tr>
<tr>
<td></td>
<td>SUP_HiperdispatchCPUConfig (monitors the number of CPUs installed and</td>
</tr>
<tr>
<td></td>
<td>Hiperdispatch state of the system)</td>
</tr>
<tr>
<td>Other component specifics</td>
<td>Console configuration</td>
</tr>
<tr>
<td></td>
<td>HSM control data set backups</td>
</tr>
<tr>
<td></td>
<td>JES2 ready to upgrade</td>
</tr>
<tr>
<td></td>
<td>Reconfiguration</td>
</tr>
<tr>
<td></td>
<td>SMS CDS configuration</td>
</tr>
<tr>
<td></td>
<td>System logger</td>
</tr>
<tr>
<td></td>
<td>Staging data sets full, entry thresholds, structure full</td>
</tr>
<tr>
<td></td>
<td>USS/ zFS: File system issues</td>
</tr>
<tr>
<td></td>
<td>VSAM RLS: False contention, monitor contention, monitor unresponsive</td>
</tr>
<tr>
<td></td>
<td>CICS regions, TVS enabled</td>
</tr>
<tr>
<td>Migration checks</td>
<td></td>
</tr>
</tbody>
</table>

z/OS Health Checks categorized by types of areas they examine
**Important considerations when enabling z/OS Health Checks**

1. Don't just change the configuration ... investigate the exception and then take appropriate action
2. There are 187 Health Checks in z/OS R13
   a. Start Health Checker and try to resolve all exceptions
   b. Activate all health checks, resolve all exceptions
   c. *Don't* think that you must activate all health checks *at once* to get benefit
   d. Goal should be to remove all exceptions
      • by fixing the condition
      • by tuning the check so that it looks for what you need it to look for
      • (as a last resort) by deactivating the check
   e. Once you can run cleanly, you will be in the ideal position to know that an exception indicates something has changed
   f. Consider categorizing health checks by
      1) Checks I expect no exceptions from
      2) Checks not turned on because exceptions not cleaned up yet
      3) Plan to move checks to group 1 as you clean up exceptions
3. GDPS recommendations for changing z/OS checks trump z/OS in a GDPS environment
   a. Some z/OS Health Check recommendations conflict with GDPS function, so follow GDPS guidelines

Turn it on. You might find so many exceptions that you feel overwhelmed. But they're all probably things you ought to check out. Since you've been running this way for some time, they're not likely things that you absolutely need to deal with immediately. Your goal should be to get rid of all the exceptions, whether by fixing the condition, or by tuning the check so that it looks for what you need it to look for, or as a last resort by deactivating or even deleting the check. Once you can run cleanly, you will be in the ideal position of knowing that when an exception shows up it is definitely something you want to look at, as something has changed.
Next, let’s transition to the Soft Failure detection & PD segment … Predictive Failure Analysis (PFA) and Runtime Diagnostics (RTD)
Soft Failure Detection: Predictive Failure Analysis

- Predicts expected, normal behavior as well as future behavior; identifies exceptions as Soft Failures
  - Machine-learning technology used to determine what’s normal
  - Statistical analysis used to identify exceptions
  - Focuses on metrics affecting different layers of the software stack
  - Exceptions alerted and reports written using Health Checker for z/OS
  - Identifies areas related to
    - resource exhaustion
    - damaged address spaces and damaged systems
  - Tune comparison algorithms using configurable parameters such as STDDEV; defaults selected based on IBM test systems
  - Tunable configuration parameters per check
  - Invokes Runtime Diagnostics to check for hung address spaces (R13); RTD validates and suggests next steps

Predict expected, normal behavior based on modeling of past behavior over the past 24 hours, week, month and statistical analysis of current activity.
Tunable algorithms, other parameters
Invokes RTD to check for hung address spaces when PFA considers address space when detection rates are “too low”
Example Report: Logrec Arrival Rate Prediction Report

- Available in SDSF (s.ck)
- Heading information
  - Configuration and status
  - Current and predicted information for metric
- Top predicted users
  - Tries to pinpoint potential villains
- IBM Health Checker for z/OS message in its entirety

<table>
<thead>
<tr>
<th>LOGREC Arrival Rate Prediction Report</th>
</tr>
</thead>
<tbody>
<tr>
<td>(heading information intentionally omitted)</td>
</tr>
<tr>
<td>Key 0</td>
</tr>
<tr>
<td>Arrivals in last collection interval:</td>
</tr>
<tr>
<td>Predicted rates based on...</td>
</tr>
<tr>
<td>1 hour of data:</td>
</tr>
<tr>
<td>24 hours of data:</td>
</tr>
<tr>
<td>7 days of data:</td>
</tr>
<tr>
<td>30 days of data:</td>
</tr>
<tr>
<td>Jobs having LOGREC arrivals in last collection interval:</td>
</tr>
<tr>
<td>Job Name</td>
</tr>
<tr>
<td>LOGREC08</td>
</tr>
<tr>
<td>LOGREC00</td>
</tr>
</tbody>
</table>

When PFA detects there is no problem, a prediction is produced in the health check option of SDSF. When PFA detects there is a problem, an exception report is printed. All PFA reports are available in SDSF. There is heading information which contains configuration and status for the collections and models.

Each check has its own check-specific information. All checks will display potential villains when an exception occurs. Most of the checks will also list the top address spaces or other important information even when there isn’t an exception.

The exception message is configured by default to be issued as a WTO. That message is also included in the exception report along with its detailed response information.

The numbers are in this example are not from a real exception.
The PFA Health Checks

- **z/OS 1.10 SPE**
  - Common storage exhaustion check
    - CSA + SQA below the line
    - ECSA + ESQA above the line
  - LOGREC arrival rate check
    - Groups arrivals by key
    - Four time ranges

- **z/OS 1.11**
  - Frames and slots usage check
    - Tracks all address spaces that start within an hour after IPL (persistent)
  - Message arrival rate (WTO/WTOR) check
    - Chatty, persistent address spaces
    - Non-chatty, persistent address spaces
    - Non-persistent address spaces
    - Total system

- **z/OS 1.12**
  - SMF arrival rate check
    - Same categories as message arrival rate check
  - Modeling improvements
    - More granular common storage check
    - Supervised learning (exclude jobs)
    - Dynamic modeling
  - Performance and serviceability

- **z/OS 1.13**
  - JES spool usage check
    - Tracks all persistent address spaces
    - JES2 only
  - Enqueue request rate check
    - Chatty, persistent address spaces
    - Total system
  - Integration with Runtime Diagnostics
to detect rates that are “too low”

**How often do you see soft failures on your systems?**
Runtime Diagnostics

- Analyzes a “sick, but not dead” system in a timely manner
- Performs analysis similar to a very experienced system programmer
  - But faster – goal of 60 seconds or less
  - More comprehensive
  - Looks for specific evidence of “soft failures”
  - Provides suggested next steps
- Runtime Diagnostics
  - Is not automation or a monitor
  - Takes no corrective action, but recommends next steps
  - Has no background processing and minimal dependencies on system services

Component Analysis
  - Analyzes Operlog for specific component msgs

Global Resource Contention
  - Detects contention in ENQs in system address spaces, GRS latches, and the z/OS UNIX file system

Address Space Execution
  - Detects important execution information: CPU usage, local lock usage, and TCB loops

Looking at the operating system only!

Diagnose sick system by identifying symptoms that could lead to identifying the culprit, and offering next steps to take.

3 areas:
- Component analysis (messages)
- Global resources (ENQs)
- Local address space characteristics
Loop detection: Runtime Diagnostics looks through all tasks in all address spaces to determine if a task appears to be looping. Runtime Diagnostics does this by examining various system information for indicators of consistent repetitive activity that typically appears when a task is in a loop. When both a HIGHCPU event and a LOOP event (shown in the example) list the job name, there is a high probability that a task in the job is in a loop. The normal corrective action is to cancel the job name listed.
### Runtime Diagnostics Symptoms Detected

- **z/OS 1.12**
  - Component-specific, critical messages in OPERLOG
    - Looks one hour back, if available
    - Additional analysis for some msgs
    - Message summary found in output
    - Can analyze messages in other system in sysplex
  - Enqueue Contention Checking
    - Looks for system address space waiting > 5 seconds
    - Lists both waiter and blocker
    - Can detect contention in other system in sysplex
  - Local Lock Suspension
    - Any address space whose local lock suspension time is > 50%

- **z/OS 1.12 (continued)**
  - CPU Analysis
    - Takes 2 samples over 1 sec. interval
    - Any task using > 95% is considered a potential problem
  - Loop Detection
    - Investigates all tasks in all address spaces looking for TCP loops

- **z/OS 1.13**
  - z/OS UNIX Latch Contention
    - Looks for z/OS UNIX latch contention or waiting threads that exit for > 5 minutes.
  - GRS Latch Contention
    - Obtains latch contention info from GRS
    - Omits z/OS UNIX file system latch contention
    - Returns longest waiter for each latch set

---

Use it when getting ready for a bridge call.

Discreet symptoms
z/OS 1.13 PFA Integration with Runtime Diagnostics

- Detects damaged or hung system or address space based on rates being “too low”
  - When PFA detects too low, Runtime Diagnostics is executed
- Output
  - “Too low” exception message sent as WTO by default
- Runtime Diagnostics output included in PFA report
- Prediction report and result message available in SDSF (sdfs.ck)
- PFA current rates and predictions relevant to category causing exception
- Supported for Message Arrival Rate, SMF Arrival Rate, Enqueue Request Rate

When an exception for an abnormally low condition is found, a health check exception will be issued explaining the problem. The PFA report will include the current rates and predicted rates for the category that was failing. In addition it will include the Runtime Diagnostics output received when PFA called Runtime Diagnostics to verify the problem.

Note that in this example, PFA indicated that jobs JOBS4 and JOBS5 had a Message Arrival Rate that was too low when compared to their expected rates for any of the time ranges. Runtime Diagnostics verified that there could be a problem by detecting both a HIGHCPU and a LOOP event for JOBS4. Therefore, the abnormally low message arrival rate coupled with the results of Runtime Diagnostics show that JOBS4 is very likely looping. The Runtime Diagnostics output for JOBS5 were similar, but were purposely omitted from this display due to lack of space.

Just like the other PFA prediction reports, the PFA prediction reports for abnormally low conditions are available in SDSF.
Extending to Systems Management Products

- Many (ISV) Systems Management products support
  - Actions based on WTO message events
  - Automation of Health Check events
    - PFA Health Check events = soft failures
  - Performance analysis
  - Integration of Alert displays, performance exceptions, event based actions

Thus far we have discussed functions in the z/OS stack that perform detection, avoidance and PD for Soft Failures, and exceptions are emitted via WTO messages.

Let’s now turn to the Systems Management stack.

Most management stacks provide performance analysis and Resource management, and offer automation of WTO message events to translate the base event to a business action.

In addition, some systems management vendors offer consolidation points for handling OS events, network issues, security, etc.

Tivoli products can integrate a variety of soft failure alert types
- PFA alerts
- Performance issues
- Other message automation
- Policy to control corrective actions
Thus far we have discussed functions in the z/OS stack that perform detection, avoidance and PD for Soft Failures, and exceptions are emitted via WTO messages.

Let's now turn to the Systems Management stack.

Most management stacks provide performance analysis and Resource management, and offer automation of WTO message events to translate the base event to a business action.

In addition, some systems management vendors offer consolidation points for handling OS events, network issues, security, etc.

Transition to next chart ... Systems Management Product box becomes Tivoli products example
Messages provided by PFA via the health checker support, as well as outputs from other health checks and z/OS components, can be used to further analyze the situation.

The OMEGAMON XE for Management Console will see all health check alerts including the PFA ones. You can build a situation that will alert you if a PFA check is raised and forward that event to other Tivoli event management products (like OMNIBUS or Tivoli Event Console). You can also use Runtime Diagnostics to further analyze PFA results. Runtime Diagnostics provides detailed analysis either of either the entire system or address space looking for soft failures. It uses lists of messages identified by specific components to review critical messages in the joblog. It also stores information about enqueues to analyze contention, evaluates local lock conditions, and queries a job that has a task in a TCB loop.

Using customer policy, TSA (via Netview) can detect that a health checker message for PFA exceptions were issued and drive actions.
Example of Health Check display on the zManagement Console (z/MC)
Exception check counts and counts of how often checks are run are shown in the top half of the screen.
Health check status is shown in the bottom half of the screen image
Overall: Reducing Impact of Soft Failures

- Automation of alerts is key
  - Display, take action

- z/OS can survive / recover from most soft failures
  - But, take advantage of what the base operating system has to offer
    - Soft failure detection across many z/OS components
    - Start Health Checker, PFA, RTD (R13) at IPL (e.g., COMMNDxx)

- Most metrics are very time sensitive
  - Defaults selected based on z/OS test environments; should be good for most

- Predictive trend analysis typically not done on a Machine-time scale
  - PFA not designed to detect anomalies that could terminate a system on machine-time scale
  - Shortest data comparison is once a minute; identification of a program consuming CSA make take a couple minutes
  - PFA has tuned comparison algorithms using what is learned from your system
    - Configuration parameters are tunable to make the algorithms more accurate for your workloads
    - All checks have configurable parameters, e.g. STDDEV (Lower -> more sensitive)

The key to reducing the impact of soft failures is
- Avoid them using health checker
- Enable system checking where possible
- Alerts can be acted upon. You can display them, automate on them and take action to address the detected problem(s)

It is true that z/OS can survive or recover from many forms of soft failures, as demonstrated by the different types of component checking.
- But you need to take advantage of what the base operating system has to offer; this requires enabling some function parameters, and starting health checker, PFA and RTD during the IPL, such as in the COMMNDxx parmlib member

Most metrics that are used to detect soft failures are very time sensitive, especially when predicting activity based on averages sampled over time.

Predictive trend analysis is not intended to find immediate problems that will bring down a system on a machine-time scale, as the sampling minimum is 1 minute … 15 minutes for some checks. With some checks (like the ENQ request rate), default collection and comparison every minute; so we could detect something within 2 minutes.
Summary

IBM provides an integrated solution approach to Avoiding, Detection, Diagnosing Soft Failures

Business Application View
Performance, Automation
Analysis / Diagnosis
Avoidance
First point of defense

Predictive Failure Analysis
Runtime Diagnostics
Health Checker
z/OS Components

All elements work together for an integrated IBM soft failure solution … Set Them Up!

All of the elements work together for an integrated IBM soft failure solution … Set Them Up!

z/OS components
Health checks
PFA, RTD
Systems Management … Tivoli products
Acknowledgements

- Thank you to all who contributed information for this presentation

<table>
<thead>
<tr>
<th>Name</th>
<th>Department</th>
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<tbody>
<tr>
<td>Jim Caffrey</td>
<td>z/OS Predictive Technologies</td>
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<tr>
<td>Karla Arndt</td>
<td>PFA / RTD</td>
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<tr>
<td>Scott Bender</td>
<td>USS Kernel</td>
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<tr>
<td>Ron Bretschneider</td>
<td>DFSMS - Media Manager</td>
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<tr>
<td>Mark Brooks</td>
<td>XCF, XES, CF</td>
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<tr>
<td>John Case</td>
<td>USS File System</td>
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<td>Brian Kealy</td>
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<td>Dave Surman</td>
<td>z/OS Architect</td>
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<tr>
<td>Tom Wasik</td>
<td>JES2</td>
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<tr>
<td>Doug Zobre</td>
<td>System Logger</td>
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</table>
Backup Reference – Component Soft Failure detection
**Detection of Soft Failures on a z/OS image:**

**GRS serialization**

- Enhanced contention analysis for ENQ / Latch
  - D GRS,ANALYZE,BLOCKER / WAITER / DEPENDENCY
  - D GRS,ANALYZE,LATCH,BLOCKER / WAITER / DEPENDENCY
    - Blocker/Waiter, Deadly embraces, Job name, Creator ASID, etc.

- GRS Latch identity string
  - Associate name with latch number
  - Included in D GRS latch analysis responses
  - Exploited by USS, RRS, Logger, RACF

- GRS interacts with WLM to manage priority of blocking units of work
  - Prevent deadlocks causing starvation
  - WLM's "trickle" support ensures that critical work is given cycles gradually to resolve any deadlocks

- GRS monitor
  - ENF 51 generates blocks in common storage (SQA)
  - SRBs suspended due to stuck receiver (e.g., RMF)
    - Therefore too many requests can cause common storage outage
  - GRS piped the requests elsewhere to avoid exhausting common storage

- Exploits XCF Critical member support (see XCF critical member support)

GRS provided enhanced contention analysis to identify ENQ blockers and waiters a number of releases ago,

And recently implemented similar support for latches, also displayed using the D GRS,ANALYZE command.

In R11, GRS delivered the ability to identify latch usage, which is included in the D GRS response. The Latch Identity string is exploited by USS, RRS, Logger and RACF for their latches.

GRS encountered a situation where its ENF 51 events schedule an SRB, which gets suspended due to contention events, keeping its control blocks in common storage. If there are too many requests, SRBs exhaust common storage, causing a system outage.

- The 64 bit SSRBs support helped with the ENF 51 case where the consumer could not keep up with spikes. The suspended SRBs (SSRBs) which were in common could previously exhaust common storage. GRS didn’t change to use Pause/Release for this in any way.

- GRS QSCAN/ISGQUERY did change to use Pause/Release rather than schedule a "resume" SRB for its requests as there were cases where the requester space had storage issues which prevented the "resume" SRB from getting it dynamic area in the target address space. This in turn resulted in the QSCAN/ISGQUERY invoker never getting resumed even after the storage issue cleared up. By using Pause/Release, the possibly temporary storage problem was circumvented such that the QSCAN/ISGQUERY invoker was not left hung out to dry.

GRS/WLM support to identify ENQs being held by units of work with lower priorities, thus possibly causing deadlocks. Priority is improved to complete processing and release the serialization.

- WLM/SRM added support to identify critical resources, which allows a resource owner to identify a case where a critical resource is blocked by a holder/holders and as such it should take more action to get the holder moving, above and beyond the ENQHOLD/ENQRelease sysevent services that are used. Only DB2 uses this interface.

- WLM introduced the "trickle" support which insures that all dispatchable work, including discretionary, gets some cycles every so often in order to help alleviate serialization bottle necks that were not resolved by ENQHOLD/ENQRELEASE or "critical", or the resource serialization provider did not use the "promotion" services. This is believed to have helped in these types of cases.

Too many XMPOSTs for same ECB

Looks @ ECB to see if already posted & ensure that the post is not done
Detection of Soft Failures on a z/OS image:
UNIX System Services serialization

- Latch identity explanations for the latches used by USS (R13)
  - FS: <fs name> ...
  - MOUNT ...
  - MessageQ ID=<msg-ID in decimal>
  - System traversing or modifying structures related to the message queue

- XCF communication improvements
  - Lost XCF message detection (R13)
    - Utilizes XCF message ordering to detect lost messages
    - Activate with parmlib option, SETOMVS LOSTMSG=ON/OFF
    - Member Gone detects stall, attempts fix; if takeover fails, initiates sysplex-wide dump

- USS System Limits (R10)
  - Checks for buildup of processes, pages of shared storage (process & system level)
  - When 85% process utilization is reached, WTO messages are issued
  - For example: MAXASSIZE, MAXCPUTIME, MAXFILEPROC, MAXPROCUSER, MAXQUEDSIGS, MAXTHREADS
  - Displayed via D OMVS, LIMITS

- DISPLAY OMVS, WAITERS to diagnose file system latch contention problems
  - Enhanced in R13 to show a table for file latch activity
  - Holders, waiters, latches, file device numbers, file inode numbers, latch set identifiers, file names, and owning file systems

USS examples

GRS Latch identity service is exploited to identify latch usage for file systems and other latch usage.

Example:

SY2 D GRS, ANALYZE, LATCH, WAITER
SY2 ISG374I 16.15.24 GRS ANALYSIS 734
LONG WAITER ANALYSIS: ENTIRE SYSTEM
----- LONG WAITER #1
WAITTIME JOBNAME E/S CASID LSETNAME/LATCHID
00:01:01 TC0 *E* 000E SYS.BPX.A000.FSLIT.FILESYS.LSN
20: FS: HOST12.AJAX.DIRECTORY

FS: <fs name>: If the LSETNAME is SYS.BPX.A000.FSLIT.FILESYS.LSN, the latch is used to serialize operations on the file system named in the latch identity string.

- MOUNT: This latch is used by the file system to serialize operations such as file system mount, unmount, move, and automount and others.
- MessageQ ID=<msg-ID in decimal>: This latch is used when the system is traversing or modifying structures related to the message queue whose identifier is shown in the latch identity string.

Lost XCF message detection ... incurs a performance penalty in high UNIX traffic environments; better reliability

USS built a set of System Limits to identify storage creep
Dynamic socket limit
Detection of Soft Failures on a z/OS image:
IOS examples

Missing Interrupt Handler
- Incomplete I/O: Prevents an application or system outage due to an error in any one of
  the following places:
  ▶ Device
  ▶ Control Unit
  ▶ Fabric
  ▶ Operator/CE error (IML, cable pulls, etc…)
- Outage is prevented by:
  ▶ Detecting when an I/O operation has not completed within a policy driven time period
  ▶ Invoking system diagnostic routines to understand the scope of the error
  ▶ Driving hardware and software recovery mechanisms
  ▶ First Failure Data Capture

Identify sharing systems holding a reserve
- Start-pending MIH condition → D U,VOL= to identify device number
- D GRS,DEV=dddd to determine reserve status
- Identify other system with reserve, in message (IOS431I device reserve to CPU …)

Captured UCB protection
- Creates a temporary copy of UCBs for Legacy applications
- Prevents accidental overlays of real UCBs in SQA

MiH intercepts incomplete I/O operations to prevent an application or system outage due to a device,
control unit, fabric or hardware (cabling) error.
Once the scope of the problem is understood, hardware & software recovery mechanisms are
invoked and diagnostic data is captured.

Identify sharing systems holding a reserve:
IOS071I dddd,**,jobname, START PENDING

Normally, due to a reserve being held on another system
On Sharing systems
  Use D U,VOL=volser to identify device number
  Use D GRS,DEV=dddd to identify reserve status

IOS431I will identify systems holding reserves
  IOS431I DEVICE dddd RESERVED TO CPU=serialmodn,LPAR ID=ii
  SYSTEM=sysname

Captured UCB protection … prevent Legacy components from impacting IOS by modifying the UCB;
Solution for 24-bit programs
The system invokes the I/O timing facility to monitor I/O requests. If an active I/O request has exceeded the I/O timing limit, the system abnormally ends the request and does the following:

- Clears the subchannel of all active, start pending, or halt pending I/O requests.
- Issues a message to the system operator.
- Obtains information about the terminated request (such as whether the request was queued or started) to build an MIH record.

If a queued I/O request has exceeded the I/O timing limit, the system abnormally ends the request and does the following:

- Issues a message to the system hardcopy log
- Obtains information about the terminated request (such as whether the request was queued or started) to build an MIH record.

The I/O timing facility can be enabled to trigger a HyperSwap when an I/O timeout occurs for a device that is monitored for HyperSwap. Optionally, the user can specify whether a timed-out I/O operation that initiates a HyperSwap is to be terminated or allowed to be started on the swap 'TO' device.

For any I/O requests that exceeds the I/O timing limit, the system performs the following actions:

When the I/O timing trigger is not enabled for HyperSwap, or is enabled and the IOTTERM option is also enabled:

- Abnormally ends the I/O request that has exceeded the time limit, and does not requeue the request for execution.
- Issues a message.
- Writes an entry in the SYS1.LOGREC data set for the abnormally ended I/O request.

When the I/O timing trigger is enabled for HyperSwap and the IOTTERM option is disabled:

- Abnormally ends the I/O request that has exceeded the time limit, and requeues the request for later execution on the swap 'TO' device at the completion of the HyperSwap.
- Issues a message for the first timeout condition that triggers a HyperSwap on the associated DASD subsystem.
- Writes an entry in the SYS1.LOGREC data set for the abnormally ended I/O request.
Customers have said that when errors occur frequently enough on a path that they would rather see the path taken offline rather than having the hardware or z/OS repeatedly try to recovery the path. An example where support was added is the flapping links support that was introduced in the z9 processor. Flapping links is a condition where the logical path between the channel and control unit becomes available and unavailable (e.g., loss of light) multiple times within a short period of time. This causes IOS recovery processing to be initiated multiple times for all devices on the affected link, which may delay application I/O for long periods of time, even though there are other paths available. When the channel detects that the link has “flapped” 5-9 times in 5 minutes, it stops attempting to establish a logical path.

In addition, customers have also said that they’d like to see z/OS be more proactive about removing failing paths from devices. That is, instead of waiting for each device to trip over the error and take the required recovery action, they’d like to see z/OS remove the path from all devices in an LCU when an error causes the path to be removed from the first device. This will significantly reduce recovery time and improve application performance when an error occurs.

Dynamic Channel Path Management
Prior to Dynamic Channel Path Management, all channel paths to I/O control units had to be statically defined. In the event of a significant shift in workload, the channel path definitions would have to be reevaluated, manually updated via HCD, and activated or POR’ed into the configuration. Dynamic Channel Path Management lets Workload Management dynamically move channel paths through the ESCON Director from one I/O control unit to another, in response to changes in the workload requirements. By defining a number of channel paths as “managed,” they become eligible for this dynamic assignment. By moving more bandwidth to the important work that needs it, your DASD I/O resources are used more efficiently. This may decrease the number of channel paths you need in the first place, and could improve availability -- in the event of a hardware failure, another channel could be dynamically moved over to handle the work requests.

Dynamic Channel Path Management operates in two modes: balance mode and goal mode. In balance mode, Dynamic Channel Path Management will attempt to equalize performance across all of the managed control units. In goal mode, which is available only when WLM is operating in goal mode on all systems in an LPAR cluster, WLM will still attempt to equalize performance, as in balance mode. In addition, when work is failing to meet its performance goals due to I/O delays, WLM will take additional steps to manage the channel bandwidth accordingly, so that important work meets its goals.
Detection of Soft Failures on a z/OS image: DFSMS examples

- **CAS Contention Detection**
  - Runs as part of the CAS analysis task
  - Periodically checks the Catalog Address Space (CAS) service tasks list (every 30 seconds or upon request)
    - Based on a set wait time and reason class, determines those tasks which are beyond the wait time.
    - Checks for service tasks that are active and waiting on the SYSZTIOT enqueue. It sets timer for each waiting task (10 min)
    - Creates a symptom record for each task past the limit
    - Terminates some of the violating tasks, which were considered safe to terminate

- **VSAM RLS index traps**
  - Set the trap using a V SMS,MONDS command
  - Checks the structure of all index CIs before writing them to DASD.
    - If problem, abend is issued and write is avoided

- **Media Manager**
  - Channel program error retry from I/O errors, using a lower level protocol supported by the device
    - zHPF transport mode channel program
    - Command mode channel program with MIDAWs
    - Command mode channel program with IDAWs
  - Media Manager will retry the I/O with one of the lower level protocols

Catalog contention detection

- The possibility exists that while catalog service tasks are waiting on an event, the event may not finish or complete. It could take the event an unreasonably long time to return, leaving the Catalog Address Space (CAS) service task waiting, possibly indefinitely. Contention support identifies those CAS tasks which appear to be stuck while waiting on an event. When these tasks are identified as having passed a threshold, in all cases, a symptom record will be created. Some CAS tasks past the threshold, which are identified as reasonably safe to terminate, are terminated, freeing the available CAS service task for additional work. The wait threshold is initially based on a time defaulted by the system (30 minutes). The wait threshold is also user selectable with a minimal wait-time of 30 minutes.

VSAM RLS index traps

- Set the trap via a VARY SMS “monitor data set” command (V SMS,MONDS(IGWVSAM.BASE.INDEX.TRAP),ON )
- VSAM checks index Control Intervals for problems

Media Manager

- Channel program error retry from I/O errors, using a lower level protocol supported by the device
  - zHPF transport mode channel program
  - Command mode channel program with MIDAWs
  - Command mode channel program with IDAWs
- Media Manager will retry the I/O with one of the lower level protocols
Detection of Soft Failures on a z/OS image: JES2 Monitor

- Assists in determining why JES2 is not responding to requests (single system)
- “Monitor” messages issued when conditions exist that can seriously impact JES2 performance (z/OS or JES2 issues)
- Automatically started when JES2 is started
- Results displayed via $JD_STATUS command
  - Any conditions the monitor detected that could impact JES2
- Corresponding monitor address space for each JES2 address space
  - $JD_MONITOR displays status info for each monitor task
  - Samples values at regular intervals
- Incident categories:
  - Normal processing
  - Tracking: processing time exceeds threshold
  - Alerts: Incident being tracked crosses a second (sampling) threshold
    - Exclusive incidents focus attention on primary incident
- Resource utilization
  - Low, high, average, current utilization
- $JD_HISTORY displays up to 72 hours of resource utilization & CPU sample statistics

For more information, see JES2 Diagnosis book, GA22-7531

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Detection of Soft Failures in a Sysplex: XCF stalled member support

- A system may appear to be healthy with respect to XCF system status monitoring:
  - Updating status in the sysplex CDS
  - Sending signals
- But is the system actually performing useful work?
  - There may be critical functions that are non-operational, making the system unusable
    - Could induce sympathy sickness elsewhere in the sysplex
    - Waiting for a response; waiting to get an ENQ, latch, lock
  - Causes include
    - Dead system
    - Loops (spin, SRB)
    - Low weighted LPAR
    - Loss of a Coupling Facility
- Long periods of sympathy sickness may have a greater negative impact on the sysplex than termination of an XCF group member, address space, structure connector, or even a system
- Action should be taken to restore the system to normal operation OR remove it to avoid sympathy sickness
  - Helps reduce the incidence of sysplex-wide problems that can result from unresponsive critical components

XCF and Sysplex sympathy sickness

Intro to XCF/SFM support for termination of stalled XCF group members, related to avoiding sympathy sickness.

Many of the issues detected by XCF & SFM are causes of soft failures … stalled members, underlying system issues. The stall of an XCF group member is often the result of some single or cascaded set of problems that will ultimately affect the ability of the sysplex to service business applications.

To present these types of soft failures, action should be taken to restore the impacted system to normal operation OR remove it to avoid sympathy sickness.
Detection of Soft Failures in a Sysplex: Sysplex Failure Management (SFM)

- Single system “Sick but not dead” issues can escalate to cause sysplex-wide problems
  - Typically holds resources needed by other systems in the sysplex
- Implements best practices of a resilient sysplex
- Enables automatic, timely, corrective action to be taken when applications or systems appear to be causing sympathy sickness
- Protects your sysplex when your operators and/or your automation are inattentive, unable, or incapable of resolving the problem
- Define an SFM policy to help meet availability and recovery objectives
  - Applications or systems are not permitted to linger in an extremely sick state such that they adversely impact other systems in the sysplex
  - Applications or systems are not terminated prematurely
  - Failure Detection Interval (FDI): amount of time a system is permitted to appear unresponsive (Not updating heartbeat, Not sending signals)
- Use of BCPii to determine a system is down dramatically improves this detection (over use of heartbeat) (see BCPii topic)

SFM deals with the detection and resolution of soft failures that could cause sympathy sickness conditions when a system or sysplex application is unresponsive.

Single-system “sick but not dead” issues can and do escalate to cause sysplex-wide problems.

A sick system typically holds resources needed by other systems a unable to participate in sysplex wide processes

Thus other systems become impacted.

Root cause of the sickness is a single system problem … contention, dispatching delays, spin loops, overlays, queue/data corruption, etc.

(These are soft failure symptoms as well.)

Routing work away from the troubled system does not necessarily guarantee that other systems will not be impacted.

However, allowing non-terminating problems, where something simply becomes unresponsive, to persist typically compounds the problem.

By the time manual intervention is attempted, it is often very difficult to identify the appropriate corrective action.

Appropriate SFM specifications enable systems in the sysplex to take corrective action automatically.

In general, each parameter arises out of real world situation that led to some sort of (usually quite ugly) outage.

The next few charts outline features that are important to detecting soft failure situations related to cluster processing.

XCF_SFM_ACTIVE health check
Detection of Soft Failures in a Sysplex: SFM

- System Not Updating Status, Not Sending Signals
  - ISOLATETIME(0)
    - n seconds after the FDI exceeded fencing is initiated by all systems
    - Commands are sent across the coupling facility to the target system and I/O is isolated
    - After fencing completes successfully, sysplex partitioning continues

- System updating status, not sending signals
  - Loss of connectivity: CONNFAIL(YES)
    - SFM determines sets of systems that do have full signal connectivity
    - Selects a set with largest combined system weights
    - Systems in that set survive, others are removed
    - Ensure the weights assigned to each z/OS system adequately reflect the relative importance of the system

- System Not Updating Status, But IS Sending Signals
  - SSUMLIMIT(900)
    - Indicates the length of time a system can remain in the state of not updating the heartbeat and sending signals
    - This is the amount of time a system will remain in a “semi-sick” state
    - Once the SSUMLIMIT has been reached the specified action will be initiated against the system

- Sysplex Member Stalled
  - MEMSTALLTIME (600-900)
    - Enable XCF to break out of an XCF signaling traffic jam
    - SFM automatically starts removing the largest build-up, adversely impacting other systems in the sysplex
    - Action XCF will take: terminate the stalled member with the highest quantity of signals backed up

XCF_FDI health check
XCF_SFM_SUM_ACTION health check
XCF_SFM_SSUMLIMIT health check

FDI = Failure Detection Interval (XCF)
- Amount of time a system is permitted to appear unresponsive (not updating heartbeat, not sending signals)
- If the specified FDI value is too short, it might trigger unnecessary actions by SFM;
- If FDI is set too long, it could elongate the detection window for soft failures related to sympathy sickness
- It’s best to let FDI default to being based on internal “spin time” (rather than specifying it as a “user FDI” value)

This chart outlines a number of common sysplex customer situations detected by SFM.
MEMSTALLTIME enables system to break out of an XCF signaling traffic jam. SFM will automatically start removing the largest build up. In the picture above, imagine all the blue cars were instantly removed.

(SSUM = Status Update Missing condition) … SSUMLIMIT(#seconds)
When you have specified or defaulted to SSUMLIMIT(NONE), and a system has not updated its status within the failure detection interval but continues to produce XCF signaling traffic, SFM prompts the operator to optionally force the removal of the system. The fact that XCF signalling continues indicates that the system is functional but may be experiencing a temporary condition that does not allow the system to update its status. If the operator decides that removal of the system is necessary, message IXC426D provides the prompt to isolate the system and remove it from the sysplex. In this case, the ISOLATETIME interval specified in the SFM policy is ignored.

If XCF signaling also stops, SFM will start to isolate the failing system at the expiration of the ISOLATETIME interval. With a value other than none specified for the SSUMLIMIT SFM administrative data utility parameter, SFM will start to isolate the system when the time specified for the SSUMLIMIT parameter has expired for a system that is in status update missing condition but still producing XCF signalling traffic.

If the system stops producing XCF signalling traffic, SFM may start to isolate the failing system before the SSUMLIMIT time expires, at the expiration of the ISOLATETIME interval.
Taking Action When a Connector Does Not Respond

- Connectors to CF structures participate in processes, respond to relevant events
  - XES monitors the connectors, reports unresponsive connectors
  - Users of the structure may hang until offending connector responds or is terminated
- CFSTRHANGTIME (z/OS R12)
  - How long the system should allow a structure hang condition to persist before taking action
  - Enables XES to automatically take action if a connector does not respond to a structure event in a timely fashion
- XES corrective actions:
  - Stop rebuild
  - Force user to disconnect
  - Terminate connector task, address space or system
  - RAS: ABEND026 dumps collected
  - CFSTRHANGTIME(900-1200)

Connectors to CF structures need to participate in various processes and respond to relevant events. XES monitors the connectors to ensure that they are responding in a timely fashion. If not, XES issues messages (IXL040E or IXL041E) to report the unresponsive connector (outstanding responses). Users of the structure may hang until the offending connector responds or is terminated. Installations often fail to react to these messages, or worse, react by terminating the wrong connector.

CFSTRHANGTIME indicates how long the system should allow a structure hang condition to persist before taking corrective action(s) to remedy the situation. Corrective actions may include:
- Stopping rebuild
- Forcing the user to disconnect
- Terminating the connector task, address space, or system

Each system acts upon its own connectors.

IXL040E CONNECTOR NAME: connector-name, JOBNAME: jobname, ASID: asid HAS text process FOR STRUCTURE structure-name CANNOT CONTINUE. | MONITORING FOR RESPONSE STARTED: mondate montime. DIAG: x

IXL049E HANG RESOLUTION ACTION FOR CONNECTOR NAME: conname TO STRUCTURE | strname, JOBNAME: jobname, ASID: asid: actiontext

IXL041E CONNECTOR NAME: connector-name, JOBNAME: jobname, ASID: asid HAS NOT RESPONDED TO THE event FOR SUBJECT CONNECTION: subject-connector-name, process FOR STRUCTURE structure-name | CANNOT CONTINUE. MONITORING FOR RESPONSE STARTED: mondate | montime. DIAG: x

IXL050I CONNECTOR NAME: conname TO STRUCTURE strname, JOBNAME: jobname, | ASID: asid HAS NOT PROVIDED A REQUIRED RESPONSE AFTER | noresponsetime SECONDS. TERMINATING termtarget TO RELIEVE THE | HANG.
Detection of Soft Failures in a Sysplex: SFM

BCPii: Avoid waiting the FDI+ if the system is truly dead!

- BCPii allows XCF to query the state of other systems via authorized interfaces through the support element and HMC network
- Benefits:
  - XCF can detect and/or reset failed systems more quickly
  - Works in scenarios where fencing cannot work
    - CEC checkstop or powered down
    - Image reset, deactivated, or re-IPLed
  - No CF
  - Eliminates the need for manual intervention, which may lead to data corruption problems
  - **Reduction in sympathy sickness time**
    - *Set this up. It is a critical component of Resiliency AND Soft Failure Avoidance*

SFM will automatically exploit BCPii and as soon as the required configuration is established. (a) Pairs of systems running z/OS 1.11 or higher (b) BCPii configured, installed, and available (c) XCF has security authorization to access BCPii defined FACILITY class resources (d) z10 GA2 with appropriate MCL’s, or z196 (e) New version of the sysplex CDS is primary in the sysplex (f) toleration APAR OA26037 for z/OS 1.9 & 1.10 (g) SYSSTATE DETECT function is not enabled.
Detection & Prevention of Soft Failures in a Sysplex: Critical Member support

- A Critical Member is a member of an XCF group that identifies itself as “critical” when joining its group
- If a critical member is “impaired” for long enough, XCF will eventually terminate the member
  - Per the member’s specification: task, space, or system
  - SFM parameter MEMSTALLTIME determines “long enough” before terminating the stalled member with the highest quantity of backed up signals

- GRS declares itself a “critical member”
  - If GRS cannot perform work for as long as the FDI, GRS is said to be “impaired”
  - XCF will remove a system from the sysplex if GRS on that system becomes “impaired” (key tasks not operating) to avoid sympathy sickness
    - Based on SFM MEMSTALLTIME(n)
    - For MEMSTALLTIME(NO), N=MAX(FDI, 120 seconds)

Critical member is a member (component) of an XCF group identified to be “critical”
If GRS cannot perform its work for as long as the failure interval, it is marked “impaired”; GRS indicated that it is to be removed from the sysplex to avoid sympathy sickness. The monitoring includes work queues, units of working being able to be dispatched, and XCF messages that appears hung due to GRS not processing messages. The messaging support also include GRS STAR lock structure signal required for contention management.

SFM MEMSTALLTIME
MEMSTALLTIME enables the system to break out of an XCF signalling jam; specifies action XCF will take: terminate the stalled member with the highest quantity of signals backed up
“Back stop” to allow the system to take automatic action to alleviate a problem if it cannot be resolved in a timely manner
Health Check details
Health Checker: Soft Failure avoidance examples

- **DAE_SUPPRESSING**
  - DAE suppresses duplicate SVC dumps so that system resources (processor cycles and dump space) are not used for a dump which provides little or no additional diagnostic value.
  - IBM recommendation is to activate this function.
    - If turned off, then health checker will issue an exception to alert the team to this suboptimal configuration.

- **XCF_CDS_SPOF**
  - z/OS uses two coupling data sets (CDS) to manage a parallel sysplex, primary and alternative.
  - This check evaluates the I/O configuration to determine if the I/O configuration has inadvertently created a single point of failure (SPOF) when accessing the data on the primary and alternative CDS.
  - Alternative CDS created to handle a problem with a switch or a storage device.

- **SVA_AUTOIPL_DEFINED, SVA_AUTOIPL_DEV_VALIDATION**
  - Check whether environment can support AUTOIPL, whether active
  - Validates SADMP, MVS IPL devices

Examples of Soft Failure avoidance

- **DAE**
- **CDS Single points of failure**
- **AutoIPL defined and valid DASD devices specified for Stand Alone Dump & MVS IPLs**
Health Checker: Soft Failure avoidance examples

- **System Logger**
  - IXGLOGR_STRUCTUREFULL
    - Primary structure full; need to offload
  - IXGLOGR_ENTRYTHRESHOLD
    - High number of entries in element pools
  - IXGLOGR_STAGINGDSFULL
    - Full staging data space

- **UNIX System Services**
  - USS_PARMLIB
    - Validate current system against parmlib IPL’d with
    - Remind you to update parmlib (due to dynamic changes)
  - USS_CLIENT_MOUNTS
    - With Sysplex, some file systems accessed locally, some of function shipped to the File system owner. Some are accessed locally, but are configured to function ship
    - Check if function ship but could be done locally (performance awareness)
  - USS_FILESYS_CONFIG
    - Checks if mount attribute access is read only
    - HFS’s in Sysplex root

- **Sysplex Failure management**
  - Examines / validates SFM values
    - XCF_SFM_ACTIVE
    - XCF_SFM_CFSTRHANGTIME
    - XCF_SFM_CONNFFAIL
    - XCF_SFM_SSUMLIMIT
    - XCF_SFM_SUM_ACTION

Examples of Soft Failure avoidance
Examples of Soft Failure avoidance

IOS Captured UCB protection verifies that Captured UCB protection is enabled on this system. This allows UCBs to be temporarily copied to 24-bit addressable storage to allow access by Legacy software in the first 16 Mb of storage. This ensures that legacy software cannot interfere with the state of the devices.

CMR time monitor detects if any control units in the system are reporting inconsistent average initial “command response” (CMR) time for their attached channel paths. An exception is raised if at least one control unit in the system has a path with an average CMR time that is highest among the other paths to the control unit, greater than a specified thresholds.
Tivoli Management Products

- Tivoli Management Products integrate
  - Soft Failures detected by PFA
    - Health Check exceptions surfaced by zMC (to be supported on Omegamon XE)
    - Tivoli System Automation policy to control of corrective actions
  - Performance issues detected by Omegamon
    - Evaluate entire software stack
    - Customer-defined model, selection of critical events
  - Netcool/OMNIbus provide centralized monitoring of Health Check Alerts, Performance, Situations, Network activity, etc.
Event Pump for z/OS

Each subsystem writes messages to z/OS
• These messages may contain **state** and **status** information
• The Event Pump parses the message, interprets the resource information, and converts the message to an EIF event

Event Pump is a fairly new z/OS agent that extracts information based on messages, System Automation events, Netview PPI, Health Checker ... and represents the events in terms of state & status, via EIF (event information FW).

EIF (Event Integration Facility) communicates with other (distributed) Tivoli products, as well as other vendor products.